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Non-Abelian Duality and Confinement in Supersymmetric Gauge Theories

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1 Motivation for SUSY Gauge Theory

Supersymmetry is

- One of the Principles beyond Standard Model.
- Important Basis for Superstring Theory (or Quantum Gravity ?).
- **Powerful and Useful Tool for Non-Perturbative Analysis.**

Today, We Focus on $\mathcal{N} = 2$ Supersymmetric Gauge Theory, i.e.,

Seiberg-Witten Theory \sim “2-Dim. Ising Model” for Gauge Theory ?
--

- Possible to Obtain Exact Analytic Solutions \Rightarrow GOOD “Model”
- Open Up New Field (**Duality**, **CFT**, Integrability, Mathematics...)

We Try to Extract the Non-Perturbative Information of Gauge Theory
(Confinement, Chiral Sym. Breaking) from the Seiberg-Witten Theory.

2 Exact Solutions for $\mathcal{N} = 2$ SUSY Gauge Theories

★ $\mathcal{N} = 2$ $SU(N_c)$ Gauge Theory with N_f Fundamental Hypermultiplets*

$$\mathcal{L} = \frac{1}{8\pi} \text{Im} \left[\tau_{cl} \int d^4\theta \Phi^\dagger e^V \Phi + \int d^2\theta \frac{1}{2} W_\alpha W^\alpha \right] + \mathcal{L}^{(\text{quark})},$$

$$\mathcal{L}^{(\text{quark})} = \sum_i \left[\int d^4\theta \left(Q_i^\dagger e^V Q_i + \tilde{Q}_i e^{-V} \tilde{Q}_i^\dagger \right) + \int d^2\theta \left(\sqrt{2} \tilde{Q}_i \Phi Q^i + m_i \tilde{Q}_i Q^i \right) + h.c. \right]$$

and $\mathcal{N} = 1$ Soft-Breaking Term :

$$\Delta\mathcal{L} = \int d^2\theta \mu \text{Tr} \Phi^2 + h.c.,$$

where

$$\tau_{cl} \equiv \frac{\theta}{\pi} + \frac{8\pi i}{g^2}, \quad m_i : \text{Quark Bare Mass.}$$

* Φ : Adjoint Rep. and Q_i, \tilde{Q}^i : Fundamental Rep. ($i = 1, \dots, N_f$)

Seiberg-Witten Exact Solution for $SU(2)$ Pure Yang-Mills Theory (without Q and \tilde{Q})

At Generic Points on Moduli Space $\langle \Phi \rangle = a \frac{\sigma_3}{2} \neq 0$,

$$\text{Gauge Sym : } SU(2) \implies U(1).$$

Low-Energy Effective Action for $U(1)$ Theory :

$$\mathcal{L}_{\text{eff}} = \frac{1}{4\pi} \text{Im} \left[\int d^4\theta A_D A^\dagger + \tau_{\text{eff}} \int d^2\theta W_\alpha W^\alpha \right],$$

where
$$A_D = \frac{\partial \mathcal{F}(A)}{\partial A}, \quad \tau_{\text{eff}} = \frac{\partial^2 \mathcal{F}(A)}{\partial A^2}.$$

Holomorphic **Prepotential** $\mathcal{F}(A)$ Completely Determines LEEA

This LEEA is upto 2-Derivative and “Assumes” Manifest $\mathcal{N} = 2$ SUSY in Wilsonian Sense.

◇ BPS States in Low-Energy Effective Theory,

$$M_{BPS} = \sqrt{2} |n_e a + n_m a_D|,$$

where n_e and n_m are Electric and Magnetic Charges.

Seiberg-Witten's Idea

1. LEEA is Invariant under the E-M Duality Transf. $(W, A; \tau) \rightarrow (W_D, A_D; -\frac{1}{\tau})^\dagger$.
2. Classical Moduli Space should be Deformed :

Dynamical Abelianization $SU(2) \Rightarrow U(1)$ Occurs Everywhere !

3. Quantum Singularity in LEEA is Realized by “Massless” Monopole (or Dyon).

◇ Exact Solution \sim Seiberg-Witten Elliptic Curve :

$$y^2 = x^2(x - u) - \frac{1}{4}\Lambda^4 x \quad (u = \langle \text{Tr } \Phi^2 \rangle).$$

(a, a_D) is Given by the SW 1-Form on this Curve :

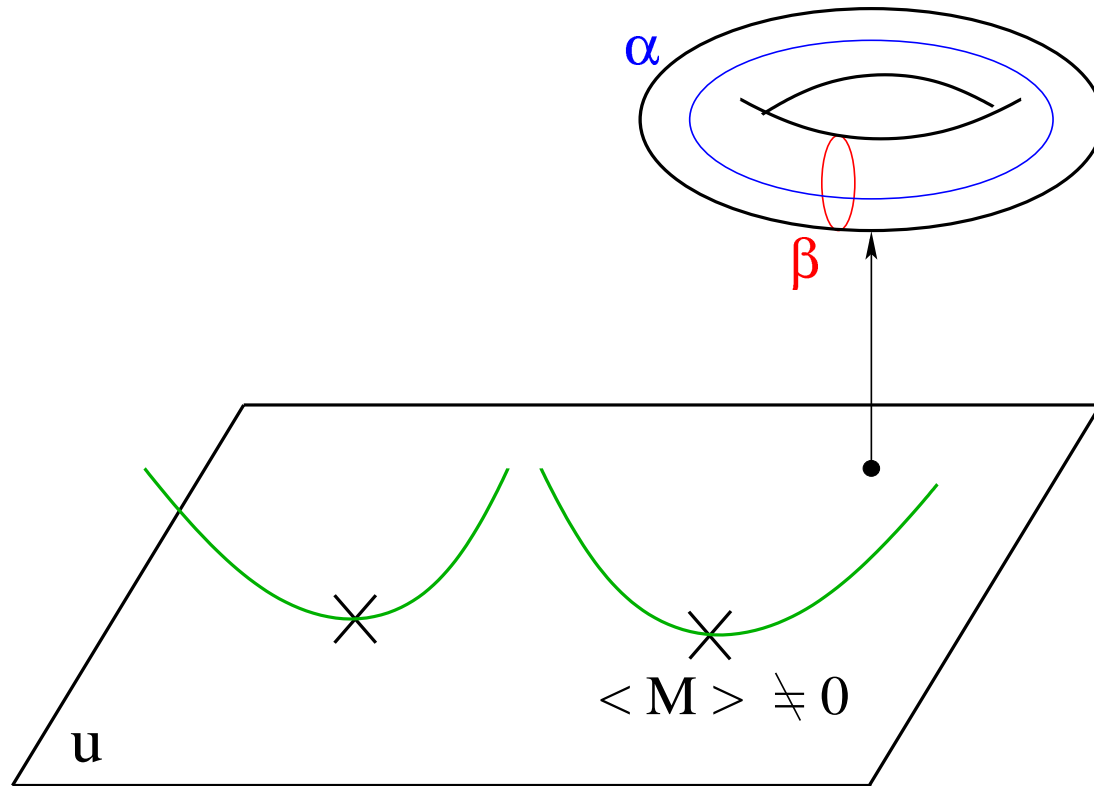
$$a_D(u) = \oint_{\beta} \lambda_{SW}, \quad a(u) = \oint_{\alpha} \lambda_{SW}.$$

and $\tau_{\text{eff}}(u) = \partial a_D / \partial a$ is Moduli Parameter of the Torus.

Prepotential $\mathcal{F}(A)$ is Obtained from the Soln. (a, a_D) .

[†] This can be Shown by a SUSY Legendre Transformation.

Quantum Moduli Space of $SU(2)$ Pure Yang-Mills Theory



Confinement from Monopole Condensation

Breaking to $\mathcal{N} = 1$ by $\Delta W = \mu \text{Tr } \Phi^2 \implies$ Moduli Space is Almost Lifted.

Effective Superpotential Becomes

$$W_{\text{eff}} = \sqrt{2} A_D M \tilde{M} + \mu U(A_D) \quad (M, \tilde{M} : \text{Monopole Multiplet}).$$

$$\text{SUSY Vacuum : } a_D = 0 \quad (\text{Singularity}), \quad \langle M \rangle \propto \sqrt{\mu \frac{\partial u}{\partial a_D}} \Big|_{a_D=0} \neq 0.$$

Monopole Condensation is Realized \implies Color Confinement and Mass Gap.

Inclusion of “Quarks”

Important Difference is Existence of Monopoles with Flavor Charge (Jackiw-Rebbi)

$$|\text{Mon.}\rangle, \psi_0^i |\text{Mon.}\rangle, \psi_0^i \psi_0^j |\text{Mon.}\rangle, \dots \quad (\psi_0^i : \text{Fermionic Zero-Modes})$$

Monopole Condensation \implies Confinement and Chiral Symmetry Breaking !

E.g., in Massless $N_f = 2$ Case,

$$SO(4) \sim SU(2) \times SU(2) \implies SU(2) \times U(1)$$

Generalizations to $SU(N_c)$ Gauge Theory

In $SU(N_c)$ Pure Yang-Mills Theory,

Complete Dynamical Abelianization : $SU(N_c) \rightarrow U(1)^{N_c-1}$

$\Delta W \implies N_c$ SUSY Vacua with Abelian Monopole Condensation.

$SU(N_c)$ QCD with N_f Fundamental Quarks

SW-Curve for Exact Solution of Coulomb Branch :

$$y^2 = \prod_{k=1}^{N_c} (x - a_k)^2 - 4\Lambda^{2N_c - N_f} \prod_{i=1}^{N_f} (x + m_i)$$

$\mathcal{N} = 1$ Vacua (with ΔW) by Minimizing Effective Superpotential,

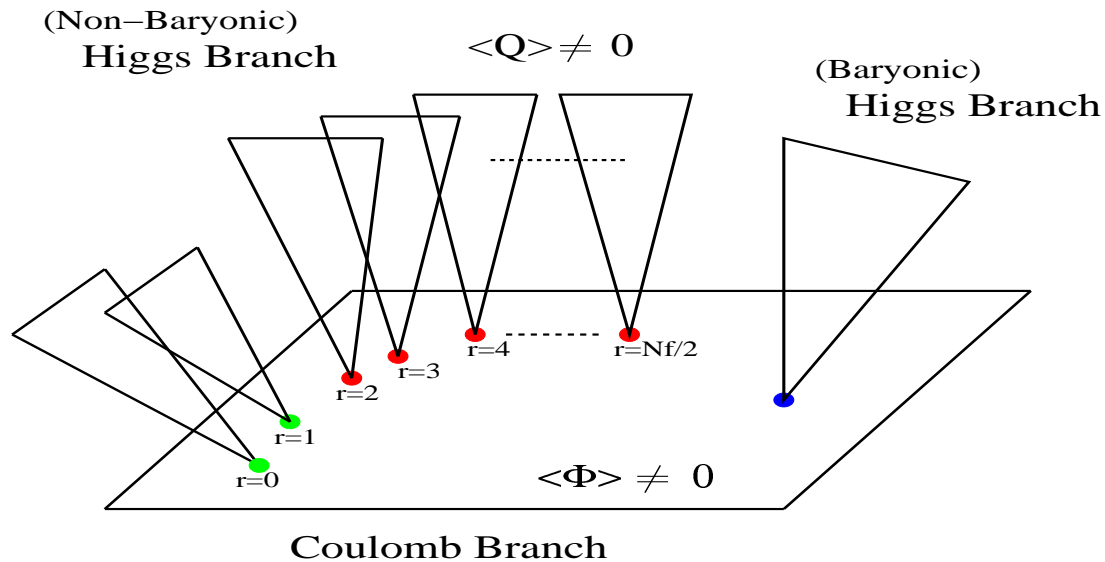
$$W_{\text{eff}} = \sum_{i=1}^{N_c-1} \left(\sqrt{2} A_D^i \tilde{M}^i M_i + S^k m_k \tilde{M}^i M_i \right) + \mu U(A_D^i),$$

$\implies (N_c - 1)$ Pairs of Zero-Points Should Appear in SW-Curve.

In the Equal Mass Case, Higgs Branch, $\langle Q_i \rangle \neq 0$, Also Exists.

Note : Higgs Branch is NOT Modified Quantum Mechanically due to Hyper-Kähler Str.

Quantum Moduli Space of $\mathcal{N} = 2$ $SU(N_c)$ QCD with N_f Fund. Quarks ($\forall m_i = m$)



◇ $\mathcal{N} = 1$ Vacua with $\Delta W = \text{Tr } \mu \Phi^2$ ($m = 0$)

1. : Abelian Vacua with Abelian Monopole Condensation.

2. : r -Vacua with $SU(r) \times U(1)^{N_c - r}$ Gauge Sym.

~ Confinement and DSB : $U(N_f) \rightarrow U(N_f - r) \times U(r)$.

3. : Baryonic Vacua with $SU(N_f - N_c) \times U(1)^{2N_c - N_f}$ Gauge Sym.

~ NO Confinement and NO DSB.

r -Vacua and Baryonic Vacua Has Magnetic DOFs with Charge of $SU(r) \times U(1)^{N_c - r}$.

Non-Abelian Magnetic Monopoles ?

Situation is Similar to “Dual Quarks” in Seiberg Duality[‡].

Brief Summary of Seiberg Duality

In the Range of $N_c + 2 \leq N_f < 3N_c$,

$$\begin{array}{c} \mathcal{N} = 1 \text{ } SU(N_c) \text{ SQCD with } N_f \text{ Quarks} \\ \Updownarrow \text{ IR Equivalent} \\ \mathcal{N} = 1 \text{ } SU(N_f - N_c) \text{ SQCD with } N_f \text{ Quarks and a Singlet Meson} \end{array}$$

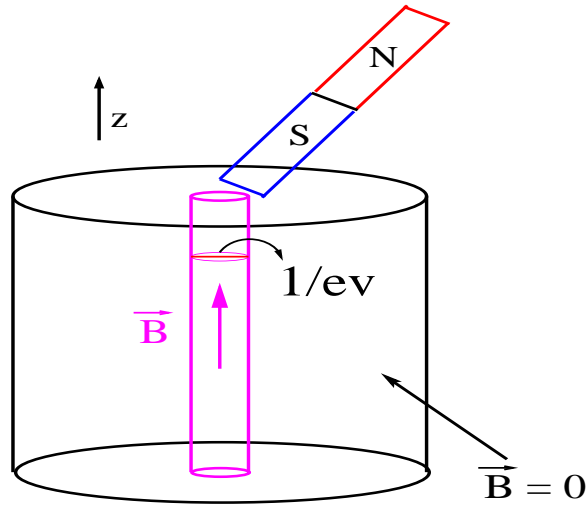
- Seiberg’s Conjecture on Existence of the SAME IR Fixed Points.
- Non-Trivial Matching of ’t Hooft Anomaly
- Correspondence between Vacuum Str. and Gauge Inv. Chiral Operators.

What is Dual (or Magnetic) Quarks in the Dual Theory ? (in Original Sense)

[‡] Actually, Baryonic Vacua Has been Studied from the Viewpoint of Seiberg Duality.

3 What Can We Learn about Confinement from SW-Theory

Vortex Soln. in Abelian Higgs Model as Squeezed Magnetic Flux in Superconductor



- Flux Energy is Proportional to Length
 \implies "Probe Monopoles" are Confined.
- Stability from Non Simply-Connected Vacuum Manifold
 $\iff \pi_1(S^1) = \pi_1(U(1)) = \mathbf{Z}$.

Electric-Magnetic Duality

\mathbf{E}	\iff	\mathbf{B}
e	\iff	$g = 1/e$

Abelian Monopole Condensation \implies DUAL Meissner Effect.

◇ Confining String \iff Vortex Soln. in Dual Theory

However, Abelian Dual Theory Has Different Dynamical Properties from QCD.

\implies Spectrum of Vortex Tension Reflects Dynamical Properties.

1. Abelian Theory Has an Infinite Number of STABLE Vortices $\Leftarrow \pi_1(U(1)) = \mathbf{Z}$.

2. Richer Hadron Spectrum from Vortex Spectrum :

Meson $Q\bar{Q}$ Splits to N_c Mesons due to $SU(N_c) \rightarrow U(1)^{N_c-1}$.

In Pure $SU(N)$ Yang-Mills Theory (or Heavy Quark Limit[§]), ONLY k -Strings are Stable.

k -String : N -ality k Flux Tube in the Center Z_N of $SU(N)$.

◇ Also, QCD would NOT Have an Effective Weak-Coupling Gauge Theory Description.

Note : Solitonic Vortex in Weakly-Coupled Theory does not Give Linear Regge Trajectory.

(Strongly-Coupled) Non-Abelian Effective Description and
Non-Abelian Version of E-M Duality

[§] Actually, Confining Strings in QCD is Unstable due to Production of Quark Pair.

“Wilsonian” Renormalization Group Approach to AD-Point (Kubota-Yokoi)

M_0 : UV Cut-Off and M : RG Scale (or IR Cut-Off),

$$\begin{aligned} \text{Define, } \beta \left(\frac{u}{\Lambda^2}, \frac{m_i}{\Lambda} \right) &= M \frac{\partial}{\partial M} \tau_{\text{eff}} \left(\frac{u}{\Lambda^2}, \frac{m_i}{\Lambda} \right) \\ &= \left(\gamma_u \frac{\partial}{\partial u} + \gamma_{m_i} \frac{\partial}{\partial m_i} \right) \tau_{\text{eff}} \left(\frac{u}{\Lambda^2}, \frac{m_i}{\Lambda} \right). \end{aligned}$$

γ_u and γ_{m_i} is Scaling Dimensions : $\gamma_u / \Lambda^2 \equiv M \partial / \partial M (u / \Lambda^2)$, etc.

However, We Do NOT Know the M -Dependence (What is IR Cut-Off ?)

Parametrize the Flow along $t = M_0/M$ NEAR AD Point ($SU(2)$, $N_f = 1$):

$$\frac{m - m^*}{\Lambda^2} = D_1 t^\alpha, \quad \frac{u - u^*}{\Lambda^2} = D_2 t^\alpha + D_3 t^\beta + \dots$$

$$\tau_{\text{eff}} \rightarrow \tau^* \sim \mathcal{O}(1) \implies \alpha = \frac{4}{5}, \quad \beta = \frac{6}{5} \quad (D_1 = D_2).$$

Scaling Dimensions are $[m] = 4/5$, $[u] = 6/5$, $[\tau] = 2/5$.

Non-Abelian Argyres-Douglas Point (Auzzi-Grena-Konishi, Marmorini-Konishi-Yokoi)

Simplest Example in $SU(3)$ QCD with $N_f = 4$ Flavor

$$\text{SW-Curve : } y^2 = (x^3 - ux - v)^2 - 4\Lambda^2 (x + m)^4 .$$

At $(u, v) = (3m^2, 2m^3)$, Curve Becomes

$$y^2 \propto (x + m)^4 \implies \text{Unbroken } SU(2) \text{ Symmetry } (r = 2 \text{ Vacuum})$$

For $m \gg \Lambda$, LEET Becomes $SU(2)$ QCD with 4-Flavor (SCFT !)

For Small $m (\ll \Lambda)$, Non-Abelian Generalization of AD-Point Appears !

- Mutually Non-Local DOUBLETS Appear !

- τ^* is $\mathcal{O}(1)$ Fixed Value.

DSB with $\Delta W : U(4)_V \rightarrow U(2) \times U(2)$

$$\epsilon^{\alpha\beta} \langle M_\alpha^i M_\beta^j \rangle \neq 0$$

Particle	Charge : $(n_m^1, n_m^2; n_e^1, n_e^2)$
M	$(\pm 1, 1; 0, 0) \times 4$
D	$(\pm 2, -2; \pm 1, 0)$
E	$(0, 2; \pm 1, 0)$

Strongly-Coupled Monopole Condensation !

Another Interesting Example in $USp(4)$ QCD with Massless $N_f = 4$ Flavor

$$\text{SW-Curve : } y^2 = x(x^2 - ux - v)^2 - 4\Lambda^4 x^3.$$

At the Chebyshev Vacua ($u = \pm 2\Lambda^2, v = 0$), Curve Becomes $y^2 \propto x^4$.

LEET is Also $SU(2) \times U(1)$ Non-Local Theory !

- Extra Doublet C Appears.
- DSB with $\Delta W : SO(8) \rightarrow U(4)$

$$\delta^{\alpha\beta} \langle M_\alpha^i \tilde{M}_\beta^j \rangle \neq 0.$$

Another Type of Condensation.

Particle	Charge : $(n_m^1, n_m^2; n_e^1, n_e^2)$
M	$(\pm 1, 1; 0, 0) \times 4$
D	$(\pm 2, -2; \pm 1, 0)$
E	$(0, 2; \pm 1, 0)$
C	$(\pm 2, 0; \pm 1, 0)$

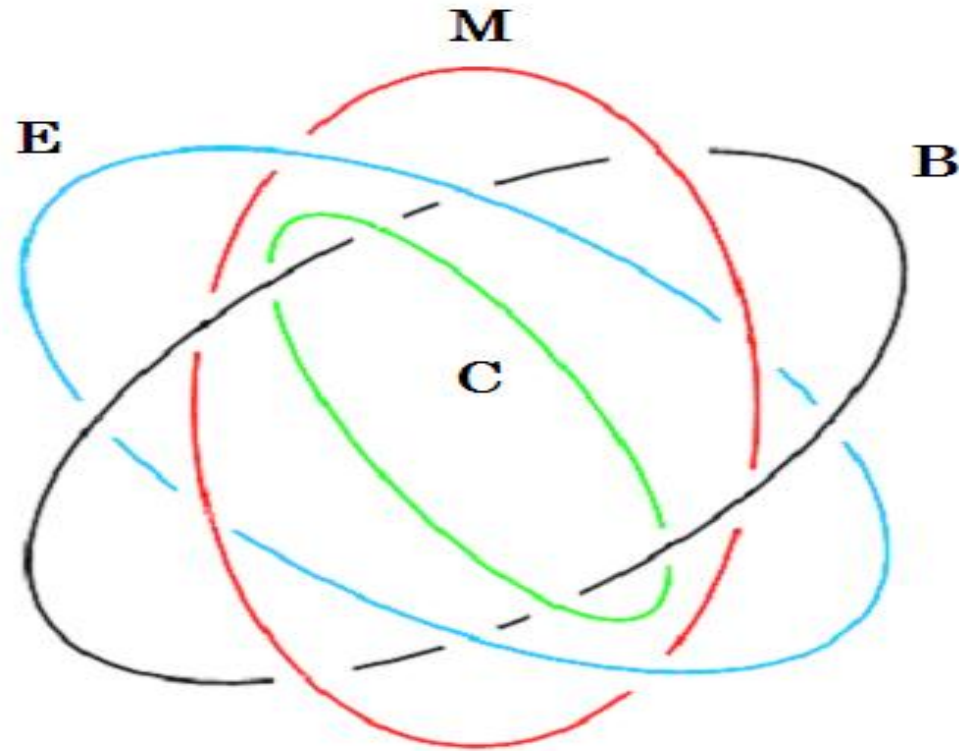
In $USp(2N_c)$ Case, DSB Pattern is $SO(2N_f) \rightarrow U(N_f)$.

Non-SUSY Massless $USp(2N_c)$ QCD : $SU(2N_f) \rightarrow USp(2N_f)$.

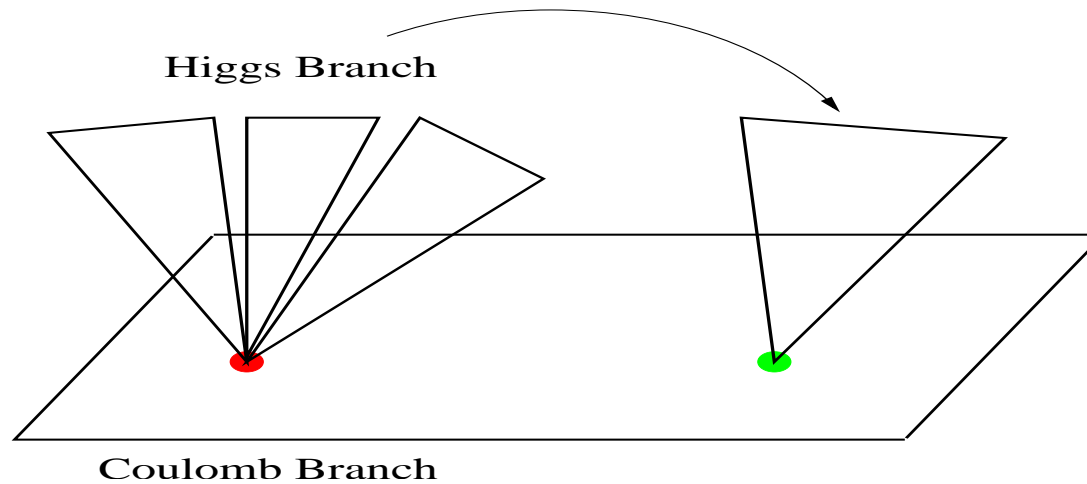
Actually, $USp(2N_f) \cap SO(2N_f) = U(N_f)$.

Hints for Chiral Sym. Breaking in Non-SUSY QCD ?

Singular Loci in Moduli Space of $USp(4)$ QCD



Quantum Moduli Space of $USp(2N_c)$ QCD with Massless N_f Flavors



$\mathcal{N} = 1$ SUSY Vacua with ΔW

1. Chebyshev Vacuum \implies Strongly-Coupled Non-Local Eff. Theory
 Dynamical Symmetry Breaking : $SO(2N_f) \Rightarrow U(N_f)$.
2. Baryonic Vacuum $\implies USp(2\tilde{N}_c) \times U(1)^{N_c - \tilde{N}_c}$ Gauge Theory[¶]
 NO Confinement and NO DSB.

[¶] $\tilde{N}_c = N_f - N_c - 2$.

5 Introduction to Non-Abelian Duality

Goddard-Nuyts-Olive-Weinberg (GNOW) Duality

For System with the Breaking Pattern, $G \implies H$ (H : Non-Abelian),
GNOW Duality:

$$\begin{array}{ccc} H & \iff & H^* \\ \alpha & \iff & \alpha^* = \frac{\alpha}{\alpha \cdot \alpha} \end{array}$$

★ H^* : DUAL Group Generated by DUAL Root α^*

Example :

$$\begin{array}{ccc} SU(N) & \iff & SU(N)/Z_N \\ SO(2N) & \iff & SO(2N) \\ SO(2N + 1) & \iff & USp(2N) \end{array}$$

Note : $U(N)$ is Self-Dual.

● Evidence for GNOW Duality : **Non-Abelian Monopoles**

- Topological Argument :

$\pi_2 (G/H)$ is Non-Trivial \implies Regular Solitonic Monopoles.

Asymptotic Behavior of Solution at $r \sim \infty$ ($U \in G$, $T_i \in \text{C.S.A. of } H$)

$$\phi \sim U \langle \phi \rangle U^{-1}, \quad F_{ij} \sim \epsilon_{ijk} \frac{x^k}{r^3} (\beta \cdot T).$$

◇ Generalized Dirac Quantization Condition :

$$2\alpha \cdot \beta \in \mathbb{Z} \quad \text{for Roots } \alpha \text{ of } H.$$

β Gives a Weight Vector of H^* \implies Monopoles Form a Multiplet of H^*

We Discuss the Dual Transformation among these Non-Abelian Monopoles.

In Fact, This is **NOT** an Easy Task as You See...

6 Brief Summary on Non-Abelian Monopole and Vortex

- (Semi-)Classical Solution for Non-Abelian Monopole

Simple Example : $SU(3)$ Yang-Mills Theory with Ajoint Higgs Φ .

$$SU(3) \xrightarrow{\langle \Phi \rangle} \frac{SU(2) \times U(1)}{\mathbb{Z}_2} \quad \text{by} \quad \langle \Phi \rangle = \begin{pmatrix} v & 0 & 0 \\ 0 & v & 0 \\ 0 & 0 & -2v \end{pmatrix}$$

In This Case, $\pi_2(G/H) \sim \pi_1\left(\frac{SU(2) \times U(1)}{\mathbb{Z}_2}\right) = \mathbb{Z}$.

Regular BPS Solitonic Solution :

$$\Phi(x) = \begin{pmatrix} -\frac{1}{2}v & 0 & 0 \\ 0 & v & 0 \\ 0 & 0 & -\frac{1}{2}v \end{pmatrix} + 3v \vec{S} \cdot \hat{r} \phi(r)$$

$$\vec{A}(x) = \vec{S} \times \hat{r} A(r),$$

where $\phi(r)$, $A(r)$ are BPS-'t Hooft's Profile Function.

- \vec{S} is a Minimal Embedded $SU(2)$ Algebra ($\sigma_a/2$) in $(1, 3)$ (and $(2, 3)$) Subspace.

◇ Two Degenerate Solutions \Rightarrow Doublet of Dual $SU(2)$?

In Fact, These Two are **Continuously Connected** by Unbroken $SU(2)$ Transformation.

Multiplicity of the Monopoles are 1 or 2 or ∞ ?

In Order to Answer the Question, Need to Understand the Transformation Properties.

However, Some Difficulties are Well-Known in Semi-Classical Analysis for the Solutions

- Non-Normalizable Zero-Modes Appear due to Unbroken $SU(2)$.
- There exists Topological Obstacle to Definition of Charge of the $SU(2)$.

Standard Quantization Procedure Breaks Down due to the Difficulties.

How can We Overcome These Situations ?

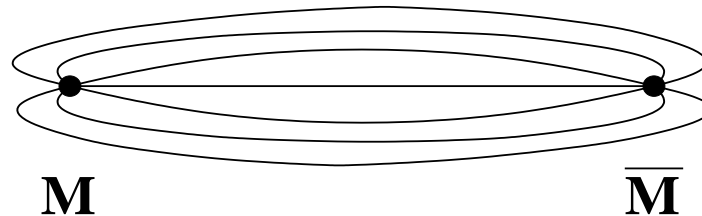
◇ Our Idea : Consider the System with Hierarchical Symmetry Breaking

$$G \xrightarrow{v_1} H \xrightarrow{v_2} \emptyset, \quad v_1 \gg v_2.$$

In this System with $\pi_2(G/H) \neq 0$, Everything Goes Better.

1. At High Energy ($\sim v_1$), $G \rightarrow H$ Breaking Produces Non-Abelian Monopoles.
2. At Low Energy ($\sim v_2$), Breaking of H Produces Non-Abelian Vortices.

Non-Abelian Monopoles are Confined by Non-Abelian Vortex !



- Low Energy H -Theory is in Higgs Phase \Rightarrow DUAL Theory is in Confining Phase.
(Cf. H^* is in Higgs Phase \Rightarrow NO Multiplet Structure)
- Light Higgs in the Fundamental Rep. is Needed for Breaking of H .
 \Rightarrow Massless "Flavor" is Crucial for Non-Abelian Duality (See Later)

Set Bare Mass Parameter for Quarks $\forall m_i = m$ ($i = 1, 2, \dots, N_f$).

- The r -Vacuum with $r = N$:

$$\Phi = -\frac{1}{\sqrt{2}} \begin{pmatrix} m & 0 & 0 & 0 \\ 0 & \ddots & \vdots & \vdots \\ 0 & \dots & m & 0 \\ 0 & \dots & 0 & -Nm \end{pmatrix},$$

$$Q = \tilde{Q}^\dagger = \begin{pmatrix} d & 0 & 0 & 0 & \dots \\ 0 & \ddots & 0 & \vdots & \dots \\ 0 & 0 & d & 0 & \dots \\ 0 & \dots & 0 & 0 & \dots \end{pmatrix}, \quad d = \sqrt{(N+1)\mu m}.$$

◇ For $\mu \ll m$ (i.e. $d \ll m$),

- Φ Breaks $SU(N+1) \Rightarrow \frac{SU(N) \times U(1)}{Z_N}$ at $v_1 \sim m$
- Q Breaks $\frac{SU(N) \times U(1)}{Z_N}$ Completely at $v_2 \sim d$.

★ However, Diagonal $SU(N)_{C+F} \subset SU(N) \times SU(N_f)$ Sym. is Preserved.

High-Energy Theory ($v_2 \rightarrow 0$) Has (Almost) BPS Monopole Solutions:

$$B_k^A = -(\mathcal{D}_k \Phi)^A, \quad B_k^A = \frac{1}{2} \epsilon_{ijk} F_{ij}^A$$

- Mass of Monopoles : $M_{\text{mon}} \sim \frac{2\pi v_1}{g}$.
- Topological Charge from $\pi_2 \left(\frac{SU(N+1)}{SU(N) \times U(1)} \right) = \mathbb{Z}$.

Low Energy Effective Theory at $(v_2 \lesssim) E \ll v_1$

$\mathcal{N} = 2 SU(N) \times U(1)$ Gauge Theory with N_f Fund. Quarks and “FI-Term”.

- The Effective Theory Has (Almost) BPS Vortex Solutions with Tension $\sim 2\pi v_2$.
- Topological Charge from $\pi_1(SU(N) \times U(1)) = \mathbb{Z}$.

◇ These Solutions are BPS Non-Abelian Vortex Solutions.

Short Review of Non-Abelian Vortex (Hanany-Tong, Auzzi-Bolognesi-Evslin-Konishi-Yung)

Consider the $U(N)$ Gauge Theory

$$\mathcal{L} = \text{Tr} \left[-\frac{1}{2g^2} F_{\mu\nu} F^{\mu\nu} - \frac{2}{g^2} \mathcal{D}_\mu \Phi^\dagger \mathcal{D}^\mu \Phi - \mathcal{D}_\mu H \mathcal{D}^\mu H^\dagger - \lambda (c \mathbf{1}_N - H H^\dagger)^2 \right] + \text{Tr} [(H^\dagger \Phi - m H^\dagger)(\Phi H - m H)],$$

where Φ : Adjoint Higgs, H : N ($= N_f$) Fundamental Higgs in Matrix Form.

The Vacuum of this Theory:

$$\langle \Phi \rangle = m \mathbf{1}_N, \quad \langle H \rangle = \sqrt{c} \mathbf{1}_N.$$

The Vacuum Preserves Color-Flavor Diagonal Sym. $SU(N)_{C+F}$.

- Eq. of Motion for BPS Case ($\lambda = g^2/4$):

$$(\mathcal{D}_1 + i\mathcal{D}_2) H = 0, \quad F_{12} + \frac{g^2}{2} (c \mathbf{1}_N - H H^\dagger) = 0.$$

- ◇ “Non-Abelian” Zero Modes from the Breaking of $SU(N)_{C+F}$ by Vortex.

◇ Moduli Matrix Formalism for Non-Abelian Vortex (Eto-Isozumi-Nitta-Ohashi-Sakai)

Solutions for the Eq. of Motion ($z = x_1 + ix_2$):

$$H = S^{-1}(z, \bar{z}) \mathbf{H}_0(z), \quad A_1 + i A_2 = -2i S^{-1} \bar{\partial}_z S(z, \bar{z}).$$

- $S(z, \bar{z})$ Satisfies a Nonlinear “Master Equation”:

$$\partial_z (\Omega^{-1} \partial_{\bar{z}} \Omega) = \frac{g^2}{4} (c 1_N - \Omega^{-1} H_0 H_0^\dagger). \quad (\Omega \equiv S S^\dagger)$$

- $\mathbf{H}_0(z)$ is Moduli Matrix Encoding All Moduli Parameters up to the V -Transformation :

$$H_0(z) \rightarrow V(z) H_0(z), \quad S(z, \bar{z}) \rightarrow V(z) S(z, \bar{z}) \quad (V \text{ is any Hol. Matrix}).$$

Another Construction of Moduli Space of k -vortex by Kähler Quotient

$$\frac{\{H_0(z) \mid \det H_0 \sim z^k\}}{\{V(z) \mid V \in GL(N_c; \mathbb{C})\}} \iff \frac{\{Z, \Psi \mid (k \times k) \text{ and } (N_c \times k) \text{ Const. Matrix}\}}{\{U \mid U \in GL(k; \mathbb{C})\}},$$

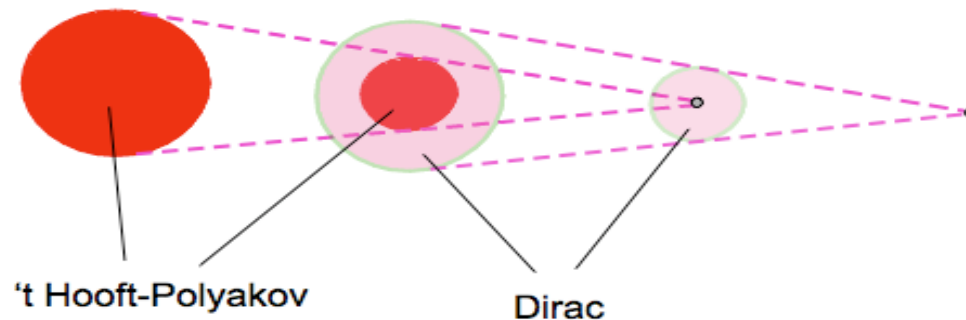
where $Z \sim U Z U^{-1}$ and $\Psi \sim \Psi U^{-1}$.

- 1-Vortex for $U(N)$ Theory : $\mathcal{M}_{k=1} = \mathbb{C}P^{N-1}$.
- Composite 2-Vortex in $U(2)$ Theory : $\mathcal{M}_{k=2} = W\mathbb{C}P^2_{(2,1,1)}$.

7 Non-Abelian Duality from Monopole-Vortex Complex

◇ Monopole-Vortex Complex from Topological Argument, “Exact Homotopy Sequence”:

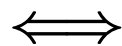
$$\dots \rightarrow \pi_2(G) \rightarrow \pi_2(G/H) \rightarrow \pi_1(H) \rightarrow \pi_1(G) \rightarrow \dots$$



In Our Full Theory, $\pi_2(G) = 0$ (\implies NO Stable Monopole) and
 $\pi_1(G) = 0$ (\implies NO Stable Vortex).

$$\pi_2\left(\frac{SU(N+1)}{U(N)}\right) = \pi_2(CP^N) \sim \pi_1(U(N)) = \mathbb{Z}$$

High-Energy Monopole



Low-Energy Vortex

★ Monopoles should be Confined by Vortices !

- Simplest Example for $SU(2) \times U(1)$ Theory

Moduli Matrix up to V-Transformation

$$H_0^{(1,0)} \simeq \begin{pmatrix} z - z_0 & \mathbf{0} \\ -b_0 & 1 \end{pmatrix}, \quad H_0^{(0,1)} \simeq \begin{pmatrix} 1 & -a_0 \\ \mathbf{0} & z - z_0 \end{pmatrix}.$$

- a_0 and b_0 are Orientational Moduli and Correspond to Two Patches of $\mathbb{C}P^1$.
- Under $SU(2)_{C+F}$ Transformation :

$$H_0 \rightarrow V(z) H_0 U^\dagger, \quad U = \begin{pmatrix} \alpha & \beta \\ -\beta^* & \alpha^* \end{pmatrix} \quad (|\alpha|^2 + |\beta|^2 = 1),$$

Moduli Parameter a_0 Transforms as

$$a_0 \rightarrow \frac{\alpha a_0 + \beta}{\alpha^* - \beta^* a_0}.$$

★ This is Nothing But the Transformation of Doublet.

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \rightarrow \begin{pmatrix} \alpha & \beta \\ -\beta^* & \alpha^* \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}, \quad a_0 \equiv \frac{a_1}{a_2}.$$

◇ This Derivation Does NOT Rely on Semi-Classical Analysis of Monopole

General $SU(N) \times U(1)$ Case

A Standard Form of Moduli Matrix for Minimal 1-Vortex:

$$H_0(z) \simeq \begin{pmatrix} 1 & 0 & 0 & -a_1 \\ 0 & \ddots & 0 & \vdots \\ 0 & 0 & 1 & -a_{N-1} \\ 0 & \dots & 0 & z - z_0 \end{pmatrix}.$$

Under the $SU(N)_{C+F}$ Transformation and V-Transformation,

$$H(z, \bar{z}) \rightarrow U H U^\dagger \implies H_0(z) \rightarrow V(z) H_0 U^\dagger.$$

◇ For $U = \mathbf{1} + X$, a_i Transform as Inhomogenous Coordinates of $\mathbb{C}P^{N-1}$.

Note: Homogenous Coord. of $\mathbb{C}P^{N-1}$ Transform as N Rep. under $SU(N)$ Isometry.

Our Result is Consistent with Quantum Result from Seiberg-Witten Solution

With Appropriate Number of Flavors, on the Quantum $r = N$ Vacuum,

Effective Theory Has $SU(N) \times U(1)$ Gauge Sym. with Monopoles of N Rep.

★ Another Non-Trivial Example : $SO(2N + 1) \rightarrow U(N) \rightarrow \emptyset$

● Simplest Case for $SO(5) \rightarrow U(2) \rightarrow \emptyset$.

Essential Differences : $\pi_1(SO(5)) = Z_2$

● Minimal Monopole is Dirac-Type and Minimal Vortex is Truly Stable.

(1). Vortex Side : We have Investigated Moduli Space of Composite 2-Vortex (See Next)

$$\mathcal{M}_{k=2} = W\mathbb{C}P^2_{(2,1,1)} \simeq \mathbb{C}P^2 / Z_2.$$

● Bulk of $W\mathbb{C}P^2$: **Triplet** under $SU(2)_{C+F}$.

● Conical Singularity : **Singlet**.

(2). Monopole Side : Regular Solutions with 1-Parameter Not Related to Sym. (E. Weinberg)

Fortunately, Moduli Space and Metric is KNOWN in This Case,

$$\mathcal{M}_{\text{mon}} = \mathbb{C}^2 / Z_2 \simeq H_0^{(1,1)} : \text{A Patch of } W\mathbb{C}P^2$$

● A “Compactification” of \mathcal{M}_{mon} Gives $W\mathbb{C}P^2$.

★ Monopoles Transform : $3 \oplus 1 (= 2 \otimes 2)$.

- Moduli Matrix for Composite 2-Vortex in $U(2)$ Theory ($z_0 = 0$)

$$H_0^{(0,2)}(z) = \begin{pmatrix} 1 & -az - b \\ 0 & z^2 \end{pmatrix}, \quad H_0^{(1,1)}(z) = \begin{pmatrix} z - \phi & -\eta \\ -\tilde{\eta} & z - \phi \end{pmatrix},$$

with the Constraint $\phi^2 + \eta\tilde{\eta} = 0$.

The Constraint in $H_0^{(1,1)}$ can be Solved as

$$XY = -\phi, \quad X^2 = \eta, \quad Y^2 = -\tilde{\eta}.$$

◇ Correct Coord. of Moduli Space : $(X, Y) \sim (-X, -Y) \implies \mathbb{C}P^2 / \mathbb{Z}_2$.

- Another Representation of $H_0^{(1,1)}$

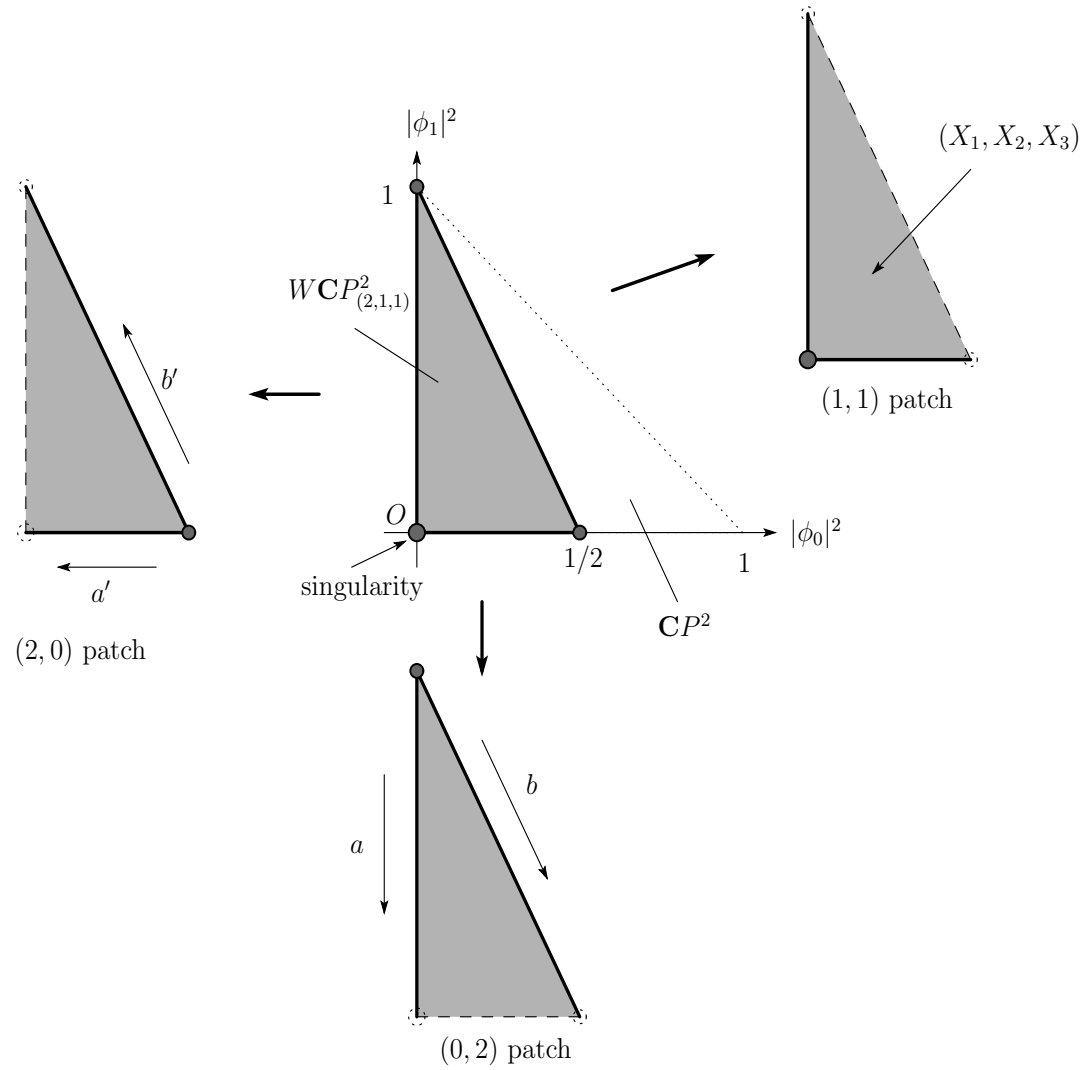
$$H_0^{(1,1)}(z) = z\mathbf{1}_2 + \vec{X} \cdot \vec{\sigma} \quad (X_3 = \phi, \quad \overset{(\sim)}{\eta} = X_1 \mp X_2)$$

Under $SU(2)_{C+F}$ Transf. $H_0^{(1,1)}(z) \rightarrow UH_0U^\dagger$ (with $V(z) = U$)

$\implies \vec{X}$ Transforms as a Triplet of $SU(2)_{C+F}$.

Note : A Point $\vec{X} = \mathbf{0}$ is Invariant \implies Nothing But the Singularity $X = Y = \mathbf{0}$.

- Picture of Moduli Space of Composite 2-Vortex in $U(2)$ Theory



Non-Abelian Dual Symmetry as Color-Flavor Diagonal Symmetry

- Color-Flavor Diagonal Sym. $SU(N)_{C+F}$ is EXACT Symmetry of the Theory.
 \implies Energy of Whole Monopole-Vortex Complex is Invariant.
- In High Energy Theory ($v_2 \rightarrow 0$), This Sym. Acts as ONLY Color Part of $SU(N)_{C+F}$.
 \implies In Full Theory, This Sym. Becomes Non-Local Sym. Involving Flavor !

★ Dual Transformation as Non-Local Transformation by $SU(N)_{C+F}$

Quantum Aspects of Non-Abelian Duality

In Full-Quantum Theory, This Dual Sym. $SU(N)_{C+F}$ Has Trouble.

- According to Famous Seiberg-Witten Results,
Strong Coupling Dynamics Breaks $SU(N)$ to ABELIAN $U(1)^{N-1}$.

To Resolve this, $N_f \geq 2N$ Massless Flavors are Crucial

\implies Low-Energy Theory Becomes Infra-Red Free Due to Flavors.

Note : EXACT Flavor Sym. (Equal Mass) is Needed for Non-Abelian Dual Theory.

8 Semilocal Non-Abelian Vortex

Abrikosov-Nielsen-Olesen (ANO) Vortex in Abelian Higgs Model

Finite Tension Soln. of Eq. of Motion : For BPS Case,

$$(\mathcal{D}_x + i\mathcal{D}_y) \Phi = 0, \quad B + \frac{1}{2} (|\Phi|^2 - v^2) = 0.$$

- Stability from **Non Simply-Connected Vacuum Manifold** $\iff \pi_1(S^1) = \mathbf{Z}$.
- Characterized by Position Moduli on the Plane.

What Happens for Multi-Flavor Case, e.g. for $N_f = 2$ Case ?

1. Vacuum Manifold Changes to S^3 : $\pi_1(S^3) = 1$ (Trivial) \implies Stable Solution ?

◇ For $\lambda/e^2 \leq 1$, Stable Solutions Do Exist and Classified by $\pi_1(U(1))$.

2. For $\lambda/e^2 = 1$, Vortex Solutions Have Transverse “Size Moduli” other than Positions !
3. Large r Behavior is Quite Different from ANO \implies “Lump” in Sigma Models.

These Vortex Solutions are Called **Semilocal Vortex (or String)** (Vachaspati-Achucarro).

Moduli Space for Semilocal Non-Abelian Vortex with $N_f > N_c$ (Eto et. al.)

- Non-Trivial Degenerate Higgs Vacua Appear:

$$\mathcal{V}_{\text{Higgs}} \simeq \frac{SU(N_f)}{SU(N_c) \times SU(N_f - N_c) \times U(1)}$$

$\implies SU(N_c)_{C+F} \times SU(N_f - N_c)$ Global Symmetry is Preserved.

- Moduli Matrix Becomes Rectangular : $H_0(z) = (D(z), Q(z))$,
where $D(z) : N_c \times N_c$ Matrix and $Q(z) : N_c \times (N_f - N_c)$ Matrix.

\implies Additional "Size" Moduli Appear from $Q(z)$.

- Vortex Number $k \iff \det H_0 H_0^\dagger \sim |z|^{2k} \quad (|z| \sim \infty)$.

However, Kähler Quotient Construction Can be Also Applied to Semilocal Case !

Construction of Moduli Space by Kähler Quotient

$$\frac{\{H_0^{(k)}(z)\}}{\{V(z)\}} \iff \frac{\{\mathbf{Z}, \Psi, \tilde{\Psi} \mid (k \times k), (N_c \times k), (k \times (N_c - N_f)) \text{ Matrix}\}}{\{U \mid U \in GL(k; \mathbb{C})\}},$$

where $GL(k; \mathbb{C})$ Action : $\{\mathbf{Z}, \Psi, \tilde{\Psi}\} \sim \{UZU^{-1}, \Psi U^{-1}, U\tilde{\Psi}\}$,

and U is Free on $\{\mathbf{Z}, \Psi\}$: $\{UZU^{-1}, \Psi U^{-1}\} = \{\mathbf{Z}, \Psi\} \implies U = 1$.

Simplest Example : 1-Vortex in $U(2)$ Theory with $N_f = 3$ ($GL(1; \mathbb{C}) = \mathbb{C}^*$)

$$\left(\mathbf{Z}, \Psi, \tilde{\Psi}\right) \sim \left(\mathbf{Z}, \lambda^{-1}\Psi, \lambda\tilde{\Psi}\right), \quad \lambda \in \mathbb{C}^*,$$

where $\mathbf{Z}, \tilde{\Psi}$: Constant and Ψ : 2-Vector.

- Except for Position Moduli \mathbf{Z} , Moduli Space Appears to be

$$W\mathbb{C}P^2[1, 1, -1] : (y_1, y_2, y_3) \sim (\lambda y_1, \lambda y_2, \lambda^{-1} y_3) \quad (\neq (0, 0, 0)).$$

This Space is NON-Hausdorff Space !

Because Two Distinct Points $(a, b, 0)$ and $(0, 0, 1)$ Have NO Disjoint Neighborhoods. ||

|| $(\epsilon a, \epsilon b, 1) \sim (a, b, \epsilon)$, where ϵ is Arbitrarily Small.

Two “Regularized” Spaces as Moduli Spaces of “Dual” Theories

In Order to Make the Space Hausdorff, We Should Eliminate Either Point:

Two “Regularizations” \implies Two Different Manifolds

This Corresponds to the Choice Between $U(2)$ Theory and “Dual” $U(1)$ Theory.

$$1. \mathcal{WCP}^2[\underline{1}, \underline{1}, -1] \equiv \mathcal{WCP}^2[1, 1, -1] - (0, 0, 1)$$

Moduli Space of $U(2)$ Theory $\implies \mathcal{M}_{2,3} = \tilde{\mathbf{C}}^2$: Blow Up of \mathbf{C}^2

$$2. \mathcal{WCP}^2[1, 1, \underline{-1}] \equiv \mathcal{WCP}^2[1, 1, -1] - \mathbb{C}P^1$$

Moduli Space of “Dual” $U(1)$ Theory $\implies \mathcal{M}_{1,3} = \mathbf{C}^2$

$GL(k, \mathbf{C})$ Free Condition \iff Removing “Irregular” Subspace.

Generalization to $U(N_c)$ with N_f : Parent Space is $\mathcal{WCP}^{N_f-1}[1^{N_c}, -1^{N_f-N_c}]$.

$$1. \mathcal{M}_{N_c, N_f} = \mathcal{WCP}^{N_f-1}[\underline{1}^{N_c}, -1^{\tilde{N}_c}] : \mathcal{O}(-1)^{\oplus \tilde{N}_c} \rightarrow \mathbb{C}P^{N_c-1},$$

$$2. \mathcal{M}_{\tilde{N}_c, N_f} = \mathcal{WCP}^{N_f-1}[1^{N_c}, \underline{-1}^{\tilde{N}_c}] : \mathcal{O}(-1)^{\oplus N_c} \rightarrow \mathbb{C}P^{\tilde{N}_c-1},$$

where

$$\tilde{N}_c = N_c - N_f.$$

Lump Solution in Strong Coupling Limit

LEET of Strong Coupling Limit \implies Non-Linear Sigma Model on $\mathcal{V}_{\text{Higgs}}$.

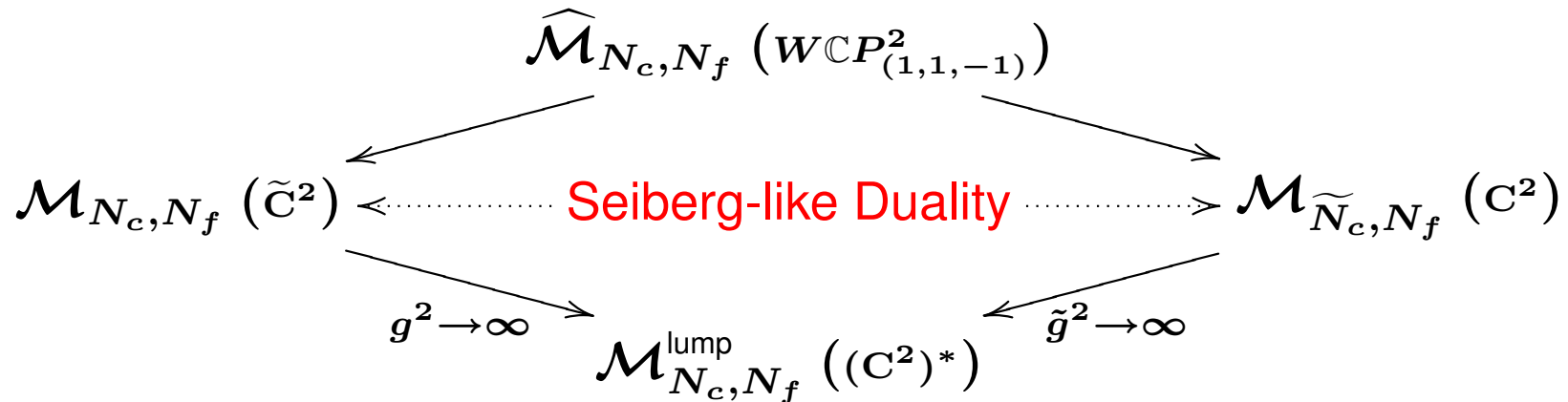
This Sigma Model Has Codim. 2 Lump Solitons from $\pi_2(\mathcal{V}_{\text{Higgs}}) = \mathbb{Z}$.

\implies In the Strong Coupling Limit, Our Vortex Becomes this Lump Soliton.

Moduli Space of Smooth k -Lump Soliton is Also Determined by Moduli Matrix :

$$\begin{aligned} \mathcal{M}_{N_c, N_f}^{\text{lump}} &= \left\{ (\mathbf{Z}, \Psi, \tilde{\Psi}) \mid GL(k, \mathbb{C}) \text{ free on } (\mathbf{Z}, \Psi) \text{ and } (\mathbf{Z}, \tilde{\Psi}) \right\} / GL(k, \mathbb{C}) \\ &= \mathcal{M}_{N_c, N_f} \cap \mathcal{M}_{\tilde{N}_c, N_f}. \end{aligned}$$

Finally, We Have the Following Diamond Diagram:



9 Worksheet Effective Action of Moduli on Vortex

Worksheet Effective Theory on Vortex

Possible to Obtain Eff. Theory by Promoting the Moduli to Slowly-Moving Fields



2-Dim. Non-Linear Sigma Model on Our Moduli Space

In SUSY Context, Moduli Matrix Can Also Provide the Kähler Potential :

$$K = \text{Tr} \int d^2 z \left(\xi \log \Omega + \Omega^{-1} H_0 H_0^\dagger + \mathcal{O}(1/g^2) \right).$$

Note : This Gives Standard $\mathbb{C}P^N$ Metric for Local NA-Vortex.

Crucial Difference from Local Vortex is **Existence of Non-Normalizable Moduli**.

An Example for $U(2)$ Theory with $N_f = 3$ (L : IR Cut-Off)

$$K_{N_c=2, N_f=3} = \xi \pi |c|^2 (1 + |b|^2) \log \frac{L^2}{|c|^2 (1 + |b|^2)} + \mathcal{O}(L^0).$$

Replacement ($\tilde{c} = c, \tilde{b} = cb$) Gives $K_{N_c=1, N_f=3}$ of $U(1)$ Dual Theory.

10 Z_N Vortex in $\mathcal{N} = 1^* SU(N)$ Gauge Theory

Our $\mathcal{N} = 1^*$ Gauge Theory is

A Mass-Deformed $\mathcal{N} = 4$ Super Yang-Mills Theory.

(Deformed Version of) Montonen-Olive (MO) Duality :

Electric Flux : Center of $SU(N) \sim Z_N$

\Updownarrow Dual

Magnetic Flux : $\pi_1 (SU(N)/Z_N) \sim Z_N$

Note : This Duality Exchanges Also Confining Phase for Higgs Phase.

◇ Magnetic Strings can be Constructed as Solitonic Vortex.

\implies (In Some Regime,) Semiclassical Analysis can be Applied !

We Discuss the Solitonic Vortex with Charge k of N -ality
in $\mathcal{N} = 1^* SU(N)$ Gauge Theory.

$\mathcal{N} = 1^* SU(N)$ Gauge Theory with Φ_I^a ($I = 1, 2, 3$)

Superpotential

$$W = i \frac{\sqrt{2}}{g^2} f_{abc} \Phi_1^a \Phi_2^b \Phi_3^c + \frac{m}{2g^2} \sum_{I=1}^3 \Phi_I^a{}^2.$$

◇ Theory has $SO(3)$ Symmetry as a Flavor Symmetry.

- $E \gg m$, Theory is (Approximately) Scale Invariant.
- $E \ll m$, Theory Becomes $\mathcal{N} = 1$ Pure Yang-Mills Theory

SUSY Vacuum Condition** $\Rightarrow \Phi_I = i \frac{m}{\sqrt{2}} X_I$: X_I is Some Rep. of $SU(2)$.

1. **Higgs Vacuum** : X_I is N -Dim. Irrep. and Gauge Sym. is Completely Broken.
2. **Confining Vacuum** : X_I is Trivial Rep. and Gauge Sym. is Unbroken.
3. **Coulomb Vacua** : X_I is some Smaller Rep. and Gauge Sym. is Partially Broken.

** $\sqrt{2} [\Phi_I, \Phi_J] + m \epsilon_{IJK} \Phi_K = 0$ and $[\bar{\Phi}_I, \Phi_I] = 0$.

On the Higgs Vacuum,

All $\langle \Phi_I \rangle \propto m \implies SU(N)$ Gauge Sym. is Broken at $E \sim m$.

\Downarrow

Weak-Coupling Effective Theory below $m \longrightarrow$ Semiclassical Analysis

Solitonic Z_N Vortex Solution (Marmorini-Konishi-Vinci-Yokoi)

The Center Z_N is Trivial on Adjoint Fields : $SU(N) \xrightarrow{\langle \Phi_I \rangle} Z_N$

◇ Non-Trivial Winding Characterized by $\pi_1 (SU(N)/Z_N) \sim Z_N$

Ansatz for the Fields : $f(\infty) = \phi_I(\infty) = 1$ and $f(0) = \phi_1(0) = \phi_2(0) = 0$,

- Gauge Fields : $A_\varphi(r) = (f(r)/r) \beta T_0$, Others = 0.
- Matter Fields : $\Phi_I(r) = \phi_I(r) V(\varphi) \Phi_I^{(0)} V^{-1}(\varphi)$,
 $\Phi_I^{(0)} = i(m/\sqrt{2}) X_I$.

Generator of Center

$$T_0 = \frac{1}{N} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \ddots & 0 & \vdots \\ 0 & 0 & 1 & 0 \\ 0 & \dots & 0 & -(N-1) \end{pmatrix}$$

$$V(\varphi) = \exp(i\beta T_0 \varphi), \quad V(2\pi) \in Z_N \quad (\beta \in \mathbf{Z})$$

Explicit Solution for $SU(2)$ and $SU(3)$ Vortex $(\phi_1(r) = \phi_2(r) \equiv \phi(r))$

Take $X_I = \sigma_I/2$ ($SU(2)$) and $(X_I)_{ab} = -i\epsilon_{Iab}$ ($SU(3)$)

$$\frac{d}{dr} \left(\frac{1}{r} \frac{df}{dr} \right) + C_1 \frac{2(1-f)}{r} m^2 \phi^2 = 0,$$

$$\frac{1}{r} \frac{d}{dr} \left(r \frac{d\eta}{dr} \right) + m^2 (3\phi^2 - \eta - 2\eta\phi^2) = 0,$$

$$\frac{1}{r} \frac{d}{dr} \left(r \frac{d\phi}{dr} \right) - C_2 \beta^2 \frac{(1-f)^2}{r^2} \phi - m^2 (\phi^3 + \eta^2 \phi - 3\eta\phi + \phi) = 0$$

Non-Abelian Orientational Moduli

The Higgs Vacuum is a Kind of “Color-Flavor Locking” Phase

- Global Flavor Sym. : $X_I \rightarrow X'_I = R_{IJ} X_J, \quad R_{IJ} \in SO(3)$
- Gauge Sym. : $X_I \rightarrow X'_I = U X_I U^\dagger, \quad U \in SU(N)$

For Particular Gauge Transformation $\tilde{U} = \exp(i \alpha^I X_I)$,

$$X_I \rightarrow X'_I = \tilde{U} X_I \tilde{U}^\dagger = R_{IJ} X_J \quad (\tilde{U} \in SU(2) \subset SU(N))$$
$$\implies \text{SO}(3) \text{ Color-Flavor Diagonal Symmetry}^{\dagger\dagger}$$

Worksheet Effective Theory on the Vortex

- Our Vortex Solution Breaks This $SO(3)_{C+F}$ Sym. to $U(1)$ ($\phi_1 = \phi_2 \neq \phi_3$)
- Z_N Vortex should NOT be BPS.

$$\implies \text{2-Dim. Non-SUSY } CP^1 (\sim SO(3)/U(1)) \sigma\text{-Model}$$

^{††} For $SU(2)$ Case, Discussed by Markov-Marshakov-Yung.

11 Worksheet Dynamics on Vortex and MO-Duality

Interesting Observation by Markov-Marshakov-Yung : On Higgs Vacuum,

Confinement of Monopole \iff Confinement of Kinks on Vortex

- MO-Duality in $\mathcal{N} = 4$ SYM Implies Dual-Description by Monopole in ADJOINT Rep.
- 2-Dim. Non-SUSY CP^1 σ Model Has Mass-Gap and Triplet-Meson under $SO(3)$.

Non-Abelian Duality from Vortex Moduli Dynamics

This is Consistent for $SU(2) \sim SO(3)$ Case \implies How about $SU(3)$ Case ?

Also for $SU(3)$ Case, Orientational Moduli Appears to be Same as $CP^1 \sim SO(3)/U(1)$.

Can Dual $SU(3)$ Sym. be Understood from this Eff. Theory ?
Or Does Some Additional Moduli Exist ?

Moduli for Composite Vortex might be Useful for This Problem.

12 Summary and Overview

We Have Discussed

- Confinement from Strongly-Coupled Monopole Condensation at NA-AD Point.
- Non-Abelian (GNO) Duality from Moduli of NA-Vortex.
- Seiberg-like Duality from Moduli of Semilocal NA-Vortex.
- Montonen-Olive Duality from Z_N Vortex in $\mathcal{N} = 1^*$ Theory.

Outlook

- More Detailed Study and Deeper Understanding of All the Idea is Needed.
- Extract More Information about Quark Confinement from Seiberg-Witten Theory.
- Understanding of Dynamics of NA-Vortex and NA-Monopole.
 \implies Relation to String and D-Brane Dynamics.

Many Interesting Problems are Remaining in SUSY Gauge Theory !