

Deconstruction, lattice SUSY and noncommutative geometry

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at RIKEN topical workshop,
“Lattice chiral fermions and geometry
in matrix models”, Apr. 26, 2003

— Reference —

- J.N., S.-J. Rey and F. Sugino,
JHEP **02**, 032 (2003) [[hep-th/0301025](#)].

0. Introduction

- lattice chirality
 - introduce **extra dimension** (Kaplan '92)
 - realize **chiral fermions** on the **boundaries**
- **lattice SUSY** (Kaplan-Katz-Ünsal '02)
based on idea of **“deconstruction”**
(Arkani-Hamed–Cohen–Georgi '01)
 - create a **new dimension** (lattice) from **internal degrees of freedom**
 - original motivation :
a **UV completion** of a 5d theory
 - **finite N matrix models** can accommodate **exact SUSY**
 \implies SYM in $(1+1)d$, $(2+1)d$, $(3+1)d$
without fine-tuning

- new interplays between **lattice** and **matrix**
 - twisted reduced models
 \iff lattice field theories
 on noncommutative geometry (NCG)
 (Aoki-Ishibashi-Iso-Kawai-Kitazawa-Tada '99,
 Bars-Minic '99, Ambjørn-Makeenko-J.N.-Szabo '99, '00)
 - Monte Carlo sim. of matrix models
 (Bietenholz-Hofheinz-J.N. '02, Ambjørn-Catterall '02)
 - Ginsparg-Wilson fermions on NCG
 (J.N.-Vazquez-Mozo '01, Aoki-Iso-Nagao '02)
 - topological issues on NCG
 (Iso-Nagao '02)
 - quenched reduced models
 as large N gauge theory
 (Kiskis-Narayanan-Neuberger '02, Kikukawa-Suzuki '02)
- further studies may benefit **both sides** !

Plan of the talk

0. Introduction
1. deconstruction
2. realization in matrix model (“orbifolding”)
toroidal compactification of M-theory
3. SYM on the lattice : $(1 + 1)d$ example
4. generalization to higher dimensions
5. noncommutative geometry
6. Summary and Discussions

1. deconstruction (Arkani-Hamed–Cohen–Georgi '01)

4d theory with $SU(k)^N$ gauge group
with **bifundamental** complex scalar field

$$\Phi_n \rightarrow g_n \Phi_n g_{n+1}^\dagger \quad (1)$$

$$D_\mu \Phi_n = \partial_\mu \Phi_n - i A_\mu^n \Phi_n + i \Phi_n A_\mu^{n+1} \quad (2)$$

$$S = \int d^4x \left[-\frac{1}{2g^2} \sum_{n=1}^N \text{tr} F_{n\mu\nu}^2 + \sum_{n=1}^N \text{tr} (D_\mu \Phi_n)^\dagger D_\mu \Phi_n + \sum_{n=1}^N \text{tr} (\Phi_n^\dagger \Phi_n - f^2 \mathbf{1})^2 \right]$$

“moose” (or “quiver”) diagram

At low energy :

$$\langle \Phi_n(x) \rangle = f U_n \quad ; \quad U_n \in U(k) \quad (3)$$

→ **5d** $SU(k)$ gauge theory

with **latticized** 5-th dimension

- VEV of Φ_n
 \implies “hopping” in extra dimensions
- From the viewpoint of 4d theory
 - Higgs mechanism

$$\underbrace{\text{SU}(k) \times \cdots \times \text{SU}(k)}_N \longrightarrow \text{SU}(k)$$
 - massive gauge bosons = KK excitations
- Lorentz inv. restored in the cont. lim.
 by taking lattice spacing : $a = \frac{1}{f}$
- locality in 5-th direction
 \longleftarrow particular choice of interaction
 represented by the moose diagram

2. realization in matrix models

2.1 rough idea

clock and shift matrices

$$\omega = \exp(2\pi i/N)$$

$$Q = \begin{pmatrix} \mathbf{1}_k & & & & \\ & \omega \mathbf{1}_k & & & \\ & & \dots & & \\ & & & \dots & \\ & & & & \omega^{N-1} \mathbf{1}_k \end{pmatrix} \quad (4)$$

$$P = \begin{pmatrix} 0 & \mathbf{1}_k & & & 0 \\ & 0 & \mathbf{1}_k & & \\ & & \dots & \dots & \\ & & & \dots & \mathbf{1}_k \\ \mathbf{1}_k & & & & 0 \end{pmatrix} \quad (5)$$

satisfying 't Hooft-Weyl algebra

$$QP = \omega PQ \quad (6)$$

“orbifolding” : $Q^\dagger \Phi Q = \Phi$
 \implies Φ becomes **block-diagonal**

$$\Phi = \begin{pmatrix} \tilde{\Phi}_1 & & & \\ & \tilde{\Phi}_2 & & \\ & & \dots & \\ & & & \tilde{\Phi}_N \end{pmatrix} \quad (7)$$

P shifts the diagonal blocks

$$P^\dagger \Phi P = \begin{pmatrix} \tilde{\Phi}_N & & & \\ & \tilde{\Phi}_1 & & \\ & & \dots & \\ & & & \tilde{\Phi}_{N-1} \end{pmatrix} \quad (8)$$

moose diagram can be realized as

$$\text{Tr}[\Phi(P^\dagger \Phi P)] = \sum_{n=1}^N \text{tr}(\tilde{\Phi}_n \tilde{\Phi}_{n+1}) \quad (9)$$

$$\tilde{\Phi}_{N+1} = \tilde{\Phi}_1 \text{ (periodic)}$$

space-time indices
gauge indices } treated on equal footing

relation to NCG (see later)

2.2 toroidal compactification of M-theory

(Taylor '96)

IKKT model (bosonic part)

$$S = -\text{Tr} \left([X_\mu, X_\nu]^2 \right) \quad (10)$$

X_μ ($\mu = 1, \dots, 10$) : hermitian matrices

toroidal compactification in 1-direction

$$\begin{cases} \Omega X_1 \Omega^\dagger = X_1 + R \mathbf{1} \\ \Omega X_j \Omega^\dagger = X_j \quad (j \geq 2) \end{cases} \quad (11)$$

$$(\Omega f)(s) = e^{is} f(s) \quad (0 \leq s < 2\pi) \quad (12)$$

$$\text{solution} : \begin{cases} X_1 = i R \frac{\partial}{\partial s} + A(s) \\ X_j = Y_j(s) \quad (j \geq 2) \end{cases} \quad (13)$$

$$S = \int ds \text{tr} \{ R^2 (\nabla Y_i(s))^2 - [Y_i, Y_j]^2 \} \quad (14)$$

$$\nabla = \frac{\partial}{\partial s} - \frac{i}{R} A(s) \quad (15)$$

10d YM reduced to 1 dim.

consistent with T-duality

2.3 finite N version

Eguchi-Kawai model

$$S = -\text{Tr}(U_\mu U_\nu U_\mu^\dagger U_\nu^\dagger) \quad (16)$$

$$U_\mu = e^{iX_\mu} \implies \text{Hermitian model}$$

“toroidal compactification” in 1-direction

$$\begin{cases} QU_1Q^\dagger = \omega U_1 \\ QU_jQ^\dagger = U_j \quad (j \geq 2) \end{cases} \quad (17)$$

$$U_1 = P \text{ (particular solution)}$$

$$U_1 = U'_1 P \implies QU'_1Q^\dagger = U'_1$$

general solution

$$U'_1 = \begin{pmatrix} \tilde{U}_1^{(1)} & & & \\ & \tilde{U}_1^{(2)} & & \\ & & \dots & \\ & & & \tilde{U}_1^{(N)} \end{pmatrix} \quad (18)$$

$$U_j = \begin{pmatrix} \tilde{U}_j^{(1)} & & & \\ & \tilde{U}_j^{(2)} & & \\ & & \dots & \\ & & & \tilde{U}_j^{(N)} \end{pmatrix} \quad (19)$$

The action becomes

$$\begin{aligned}
 S = & - \sum_{n=1}^N \sum_{j=2}^{10} \text{tr}(\tilde{U}_1^{(n)} \tilde{U}_j^{(n+1)} \tilde{U}_1^{(n)\dagger} \tilde{U}_j^{(n)\dagger}) \\
 & - \sum_{n=1}^N \sum_{i,j \geq 2}^{10} \text{tr}(\tilde{U}_i^{(n)} \tilde{U}_j^{(n)} \tilde{U}_i^{(n)\dagger} \tilde{U}_j^{(n)\dagger})
 \end{aligned}$$

gauge symmetry $\subset \mathbf{U}(kN)$

$$\begin{cases}
 \tilde{U}_1^{(n)} & \rightarrow g^{(n)} \tilde{U}_1^{(n)} g^{(n+1)\dagger} & : \text{link} \\
 \tilde{U}_j^{(n)} & \rightarrow g^{(n)} \tilde{U}_j^{(n)} g^{(n)\dagger} \quad (j \geq 2) & : \text{site}
 \end{cases} \quad (20)$$

“Deconstruction” is realized naturally.

But **unitary matrices** cannot accomodate **SUSY**.

Can **finite N Hermitian** matrix model
 leads to any sensible **lattice theory**
 via **orbifolding** ?

note : no. of d.o.f. is the same...

3. SYM on the lattice : (1 + 1)d

(Kaplan-Katz-Ünsal '02)

target theory :

(3 + 1)d $\mathcal{N} = 1$ SYM reduced to (1 + 1)d

$$\begin{aligned} \mathcal{L} = & \text{Tr} \left(-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \bar{\Psi} i \not{D} \Psi \right. \\ & - (D_\mu S)^\dagger (D^\mu S) + \sqrt{2} (\bar{\Psi}_L [S, \Psi_R] + h.c.) \\ & \left. - \frac{1}{2} [S^\dagger, S]^2 \right) \end{aligned}$$

(v_0, v_1) : gauge field

S : complex scalar }
 Ψ : 2-comp. Dirac } adjoint rep.

Mother theory :

(3 + 1)d $\mathcal{N} = 1$ SYM reduced to (0 + 1)d

superfield notation in (1 + 1)d \longrightarrow (0 + 1)d

$$V = (v_0 - \sigma) - 2i\theta\bar{\lambda} - 2i\bar{\theta}\lambda - 2\bar{\theta}\theta d$$

$$\Phi = \phi + \sqrt{2}\theta\psi + i\bar{\theta}\theta\dot{\phi}$$

Orbifolding :

$$Q^\dagger V Q = V \quad (21)$$

$$Q^\dagger \Phi Q = \omega \Phi \quad (22)$$

Daughter theory :

$$L = \sum_n \text{tr} \left[\frac{1}{2} (D_0 \sigma_n)^2 + \bar{\lambda}_n i D_0 \lambda_n \right. \\ \left. + |D_0 \phi_n|^2 + \bar{\psi}_n i D_0 \psi_n \right. \\ \left. - \bar{\lambda}_n [\sigma_n, \lambda_n] + \bar{\psi}_n (\sigma_n \psi_n - \psi_n \sigma_{n+1}) \right. \\ \left. - \sqrt{2} (i \bar{\phi}_n (\lambda_n \psi_n + \psi_n \lambda_{n+1}) + h.c.) \right. \\ \left. - |\sigma_n \phi_n - \phi_n \sigma_{n+1}|^2 \right. \\ \left. - \frac{1}{2} (\phi_n \bar{\phi}_n - \bar{\phi}_{n+1} \phi_{n+1})^2 \right]$$

$$D_0 \sigma_n = \partial_0 \sigma_n + i v_{0,n} \sigma_n - i \sigma_n v_{0,n}$$

$$D_0 \phi_n = \partial_0 \phi_n + i v_{0,n} \phi_n - i \phi_n v_{0,n+1} \quad \text{etc.}$$

classical moduli space :

$$\phi_n(t) = \text{diag}(\lambda_n^{(1)}, \dots, \lambda_n^{(k)}) \quad (23)$$

expand around the **U(k) symmetric** point :

$$\phi_n(t) = \frac{f}{\sqrt{2}} \mathbf{1}_k \quad (24)$$

lattice spacing : $a = 1/f$

decompose ϕ as

$$\phi = \frac{h^{(1)} + ih^{(2)}}{\sqrt{2}} \quad (25)$$

and identify

$$v_1 = h^{(2)}, \quad S = \frac{\sigma + ih^{(1)}}{\sqrt{2}}, \quad \Psi = \begin{pmatrix} \psi \\ -i\bar{\lambda} \end{pmatrix} \quad (26)$$

\implies target theory (classical continuum limit)

Problems:

classical moduli space in $(0+1)d$ SYM :
unstable quantum mechanically

But “radion” has mass of $O(N)$

\implies fix initial/final conditions properly

Does it really work ?

recovery of full SUSY

\longleftarrow perturbative power counting argument

Nonperturbatively OK ?

4. generalization to higher dim.

Consider : $S = \text{Tr}(\Phi_1 \cdots \Phi_M)$

Orbifolding :

$$Q_a \Phi_j Q_a^\dagger = \omega^{r_{j,a}} \Phi_j \quad ; \quad a = 1, 2, \dots, d \quad (27)$$

matrix size = $N^d \cdot k$

$$\begin{aligned} Q_1 &= Q \otimes \mathbf{1}_N \otimes \cdots \otimes \mathbf{1}_N \otimes \mathbf{1}_k \\ Q_2 &= \mathbf{1}_N \otimes Q \otimes \cdots \otimes \mathbf{1}_N \otimes \mathbf{1}_k \\ &\vdots \\ Q_d &= \mathbf{1}_N \otimes \mathbf{1}_N \otimes \cdots \otimes Q \otimes \mathbf{1}_k \end{aligned}$$

$$\mathcal{P}(\vec{n}) = P^{n_1} \otimes P^{n_2} \otimes \cdots \otimes P^{n_d} \otimes \mathbf{1}_k$$

particular solution : $\Phi_j = \mathcal{P}(\vec{r}_j)$

$$\Phi_j = \Phi'_j \mathcal{P}(\vec{r}_j) \quad \implies \quad Q_a \Phi'_j Q_a^\dagger = \Phi'_j$$

general solution :

$$\Phi'_j = \sum_{\vec{n}} \Delta(\vec{n}) \otimes \phi_j(\vec{n}) \quad (28)$$

$$\Delta(\vec{n}) = J^{(n_1)} \otimes \cdots \otimes J^{(n_d)}$$

$$(J^{(n)})_{ij} = \delta_{in} \delta_{jn}$$

$$\mathcal{P}(\vec{m})\Delta(\vec{n})\mathcal{P}(\vec{m})^\dagger = \Delta(\vec{n} + \vec{m})$$

The action becomes

$$S = \sum_{\vec{n}} \text{tr}[\phi_1(\vec{n})\phi_2(\vec{n} + \vec{r}_1) \cdots \cdots \phi_M(\vec{n} + \vec{r}_1 + \cdots + \vec{r}_{M-1})]$$

gauge symmetry $\subset \text{U}(N^d \cdot k)$

$$\phi_i(\vec{n}) \longmapsto g(\vec{n}) \phi_i(\vec{n}) g^\dagger(\vec{n} + \vec{r}_i) \quad (29)$$

$\phi_i(\vec{n})$: link connecting \vec{n} and $\vec{n} + \vec{r}_i$
(site, if $\vec{r}_i = 0$)

$\sum_j \vec{r}_j = 0$ is necessary (otherwise $S \equiv 0$)

A convenient choice for \vec{r}_j :

lin. comb. of charges associated with R sym.

rank of R sym. of the reduced model

= maximum lattice dimension

no. of fermions with $\vec{r} = 0$

= no. of unbroken supercharges

5. Noncommutative geometry

5.1 a different type of compactification

(Connes-Douglas-Schwarz '97)

IKKT model : $S = -\text{Tr} [X_\mu, X_\nu]^2$

$$\Omega_a X_\mu \Omega_a^\dagger = X_\mu + 2\pi\delta_{\mu a} \quad (30)$$

$$\begin{aligned} \Omega_1 &= e^{i\gamma s} \otimes (\tilde{\Gamma}_1)^\dagger p \\ \Omega_2 &= e^{2\pi i \partial_s} \otimes (\tilde{\Gamma}_2)^\dagger \end{aligned} \quad (31)$$

$\tilde{\Gamma}_1, \tilde{\Gamma}_2$: $q \times q$ **clock & shift** matrices

General solution : $X_\mu = X_\mu^{(0)} + A_\mu$

$$\begin{aligned} X_1^{(0)} &= 2\pi i \frac{1}{\gamma} \partial_s \otimes \mathbf{1}_q \\ X_2^{(0)} &= s \otimes \mathbf{1}_q \\ A_\mu &= \int dx A_\mu(x) \Delta(x) \end{aligned} \quad (32)$$

$\Delta(x)$: complete basis

$$\begin{aligned}\Delta(x) &= \sum_{\vec{k}} (Z_1)^{k_1} (Z_2)^{k_2} e^{-i\theta \epsilon_{\mu\nu} k_\mu k_\nu / 2} e^{i\vec{k} \cdot \vec{x}} \\ Z_1 &= e^{i\frac{1}{q}s} \otimes (\tilde{\Gamma}_1)^\dagger \\ Z_2 &= e^{-\frac{2\pi i}{\gamma q} \partial_s} \otimes (\tilde{\Gamma}_2)^a,\end{aligned}\tag{33}$$

where $ap - bq = 1$ ($\exists b$).

$$Z_\mu \Omega_\nu = \Omega_\nu Z_\mu \tag{34}$$

$$Z_1 Z_2 = e^{-i\theta} Z_2 Z_1 \tag{35}$$

identifying $Z_\mu = e^{-i\hat{x}_\mu}$,

$$[\hat{x}_1, \hat{x}_2] = i\theta \implies \text{NCG !} \tag{36}$$

$X_a^{(0)}$: derivative op. on 2d NC torus; i.e.,

$$[X_a^{(0)}, \Delta(x)] = i \frac{2\pi}{\gamma q} \frac{\partial}{\partial x_a} \Delta(x) \tag{37}$$

IKKT model \implies 2d NCYM

5.2 finite dimensional version

(Ambjørn-Makeenko-J.N.-Szabo '99)

Take $\gamma = \frac{m}{nq}$

matrix size : $N = (mnq) \times q$

$$\begin{aligned}\Omega_1 &= (\Gamma_2)^m \otimes (\tilde{\Gamma}_1)^{\dagger p} \\ \Omega_2 &= (\Gamma_1)^m \otimes (\tilde{\Gamma}_2)^{\dagger} \\ Z_1 &= (\Gamma_2)^n \otimes (\tilde{\Gamma}_1)^{\dagger} \\ Z_2 &= (\Gamma_1)^{\dagger n} \otimes (\tilde{\Gamma}_2)^a\end{aligned}\quad (38)$$

Action and orbifolding condition :

$$\begin{aligned}S &= \sum_{\mu \neq \nu} Z_{\mu\nu} \text{Tr} [U_\mu U_\nu U_\mu^\dagger U_\nu^\dagger] \\ \Omega_\nu U_\mu \Omega_\nu^\dagger &= e^{2\pi i \delta_{\mu\nu} / (nq)} U_\mu\end{aligned}\quad (39)$$

particular solution :

$$\begin{aligned}U_1^{(0)} &= (\Gamma_1)^{\dagger} \otimes \mathbf{1}_q \\ U_2^{(0)} &= \Gamma_2 \otimes \mathbf{1}_q\end{aligned}\quad (40)$$

General solution : $U_\mu = U'_\mu U_\mu^{(0)}$

$$U'_\mu = \sum_x U_\mu(x) \Delta(x)$$

$$\Delta(x) = \sum_{\vec{k}} (Z_1)^{k_1} (Z_2)^{k_2} e^{-i\theta \epsilon_{\mu\nu} k_\mu k_\nu / 2} e^{i\vec{k} \cdot \vec{x}}$$

$U_\mu^{(0)}$: **lattice shift** operator; i.e.,

$$U_\mu^{(0)} \Delta(x) U_\mu^{(0)\dagger} = \Delta(x - \hat{\mu}) \quad (41)$$

$$\begin{aligned} S &= \sum_{\mu \neq \nu} Z_{\mu\nu} \text{Tr} [U_\mu U_\nu U_\mu^\dagger U_\nu^\dagger] \\ &= \sum_{\mu \neq \nu} \text{Tr} \left[U'_\mu (U_\mu^{(0)} U'_\nu U_\mu^{(0)\dagger}) (U_\nu^{(0)} U_\mu'^\dagger U_\nu^{(0)\dagger}) U_\nu'^\dagger \right] \\ &= \sum_x \text{tr} (U_\mu(x) \star U_\nu(x + \epsilon \hat{\mu}) \star U_\mu(x + \epsilon \hat{\nu})^\dagger \star U_\nu(x)^\dagger) \end{aligned}$$

twisted Eguchi-Kawai model \implies

NC version of Wilson's lattice gauge theory

Using the **same** orbifolding condition,

IKKT model \implies SYM on the **NC lattice**

(J.N., S.-J. Rey and F. Sugino '03)

5.3 twisted reduced models and NCG

IKKT model : $S = -\text{Tr} [X_\mu, X_\nu]^2$

expand around the classical solution

$$\begin{cases} X_1 &= \hat{q} \\ X_2 &= \hat{p} \end{cases} \quad (42)$$

\implies **NCYM** (Aoki-Ishibashi-Iso-Kawai-Kitazawa-Tada '99)
 $[\hat{q}, \hat{p}] = i$ realizable only at $N = \infty$

finite N version:

Eguchi-Kawai model

$$S = -Z_{\mu\nu} \text{Tr}(U_\mu U_\nu U_\mu^\dagger U_\nu^\dagger) \quad (43)$$

$$\text{classical solution : } \begin{cases} U_1^{(0)} &= Q \\ U_2^{(0)} &= P \end{cases} \quad (44)$$

$U_\mu = U'_\mu U_\mu^{(0)} \implies$ **NCYM on the lattice**
(Ambjørn-Makeenko-J.N.-Szabo '99)

nonperturbative studies of
various field theories on **NCG**

(Bietenholz-Hofheinz-J.N. '02, Ambjørn-Catterall '02)

6. Summary and Discussions

- Deconstruction
 - creating new dimensions (lattice) from internal d.o.f.
 - naturally realizable in matrix models “orbifolding”
 - toroidal compactifications of M-theory
- a proposal for SYM on the lattice
 - moduli instability ?
 - presence of gravity
- matrix models and NC geometry
 - dynamical generation of 4d space-time
 - * New Monte Carlo approach
 - * Gaussian expansion method
 - emergence of local field theory, chiral fermions, gauge group, etc.