

Junction of 5d CFT, 5-branes and AGT relation

Masato Taki RIKEN, Hashimoto Lab

based on

[L.Bao-V.Mitev-E.Pomoni-M.T-F.Yagi, arXiv:1310.3841]

[M.T, to appear]

2013. 10/24

@ Tokyo Univ.

Question:

Why 5d gauge theory?

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Why 5d gauge theory?



non-renormalizable



only cut-off theory?

well-defined QFT ?

well-defined QFT ?

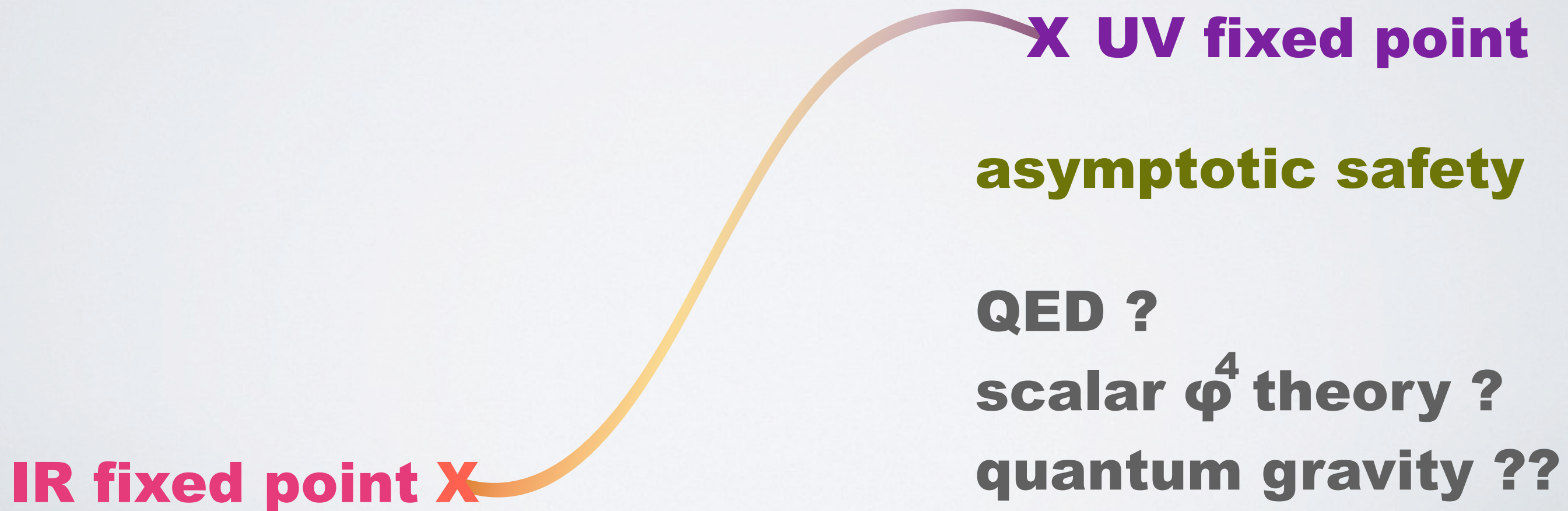
One answer: UV fixed point



well-defined QFT ??



well-defined QFT ??



castle in the air ?

castle in the air ?

**No! Many 5d thys have
non-Gaussian fixed points!**

1. 5D SCFTs

5D gauge theories with 8 SUSY



minimal SUSY in 5D

5D minimal SUSY gauge theory



non-renormalizable, IR free

5D minimal SUSY gauge theory



non-renormalizable, IR free

mere cut-off theory ?

theory is not defined ?

5D minimal SUSY gauge theory



non-renormalizable, IR free

mere cut-off theory ?

theory is not defined ?

**NO! Some of them have UV
fixed points**

5D minimal SUSY gauge theory

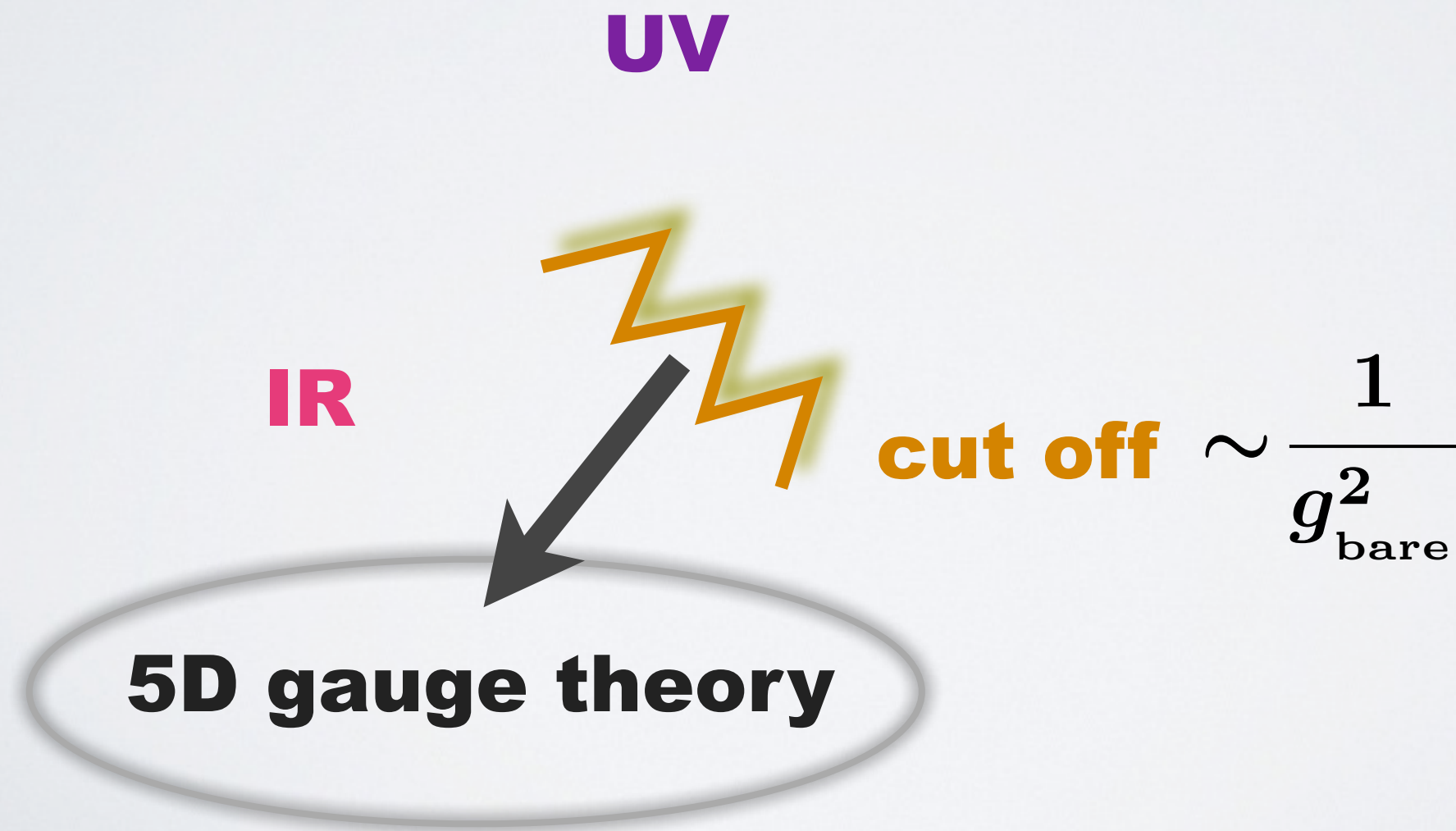
[Seiberg, '96]

**$SU(2)$ gauge theory with 0, 1, 2, ... , 7
quarks has UV fixed point.**

5D minimal SUSY gauge theory

[Seiberg, '96]

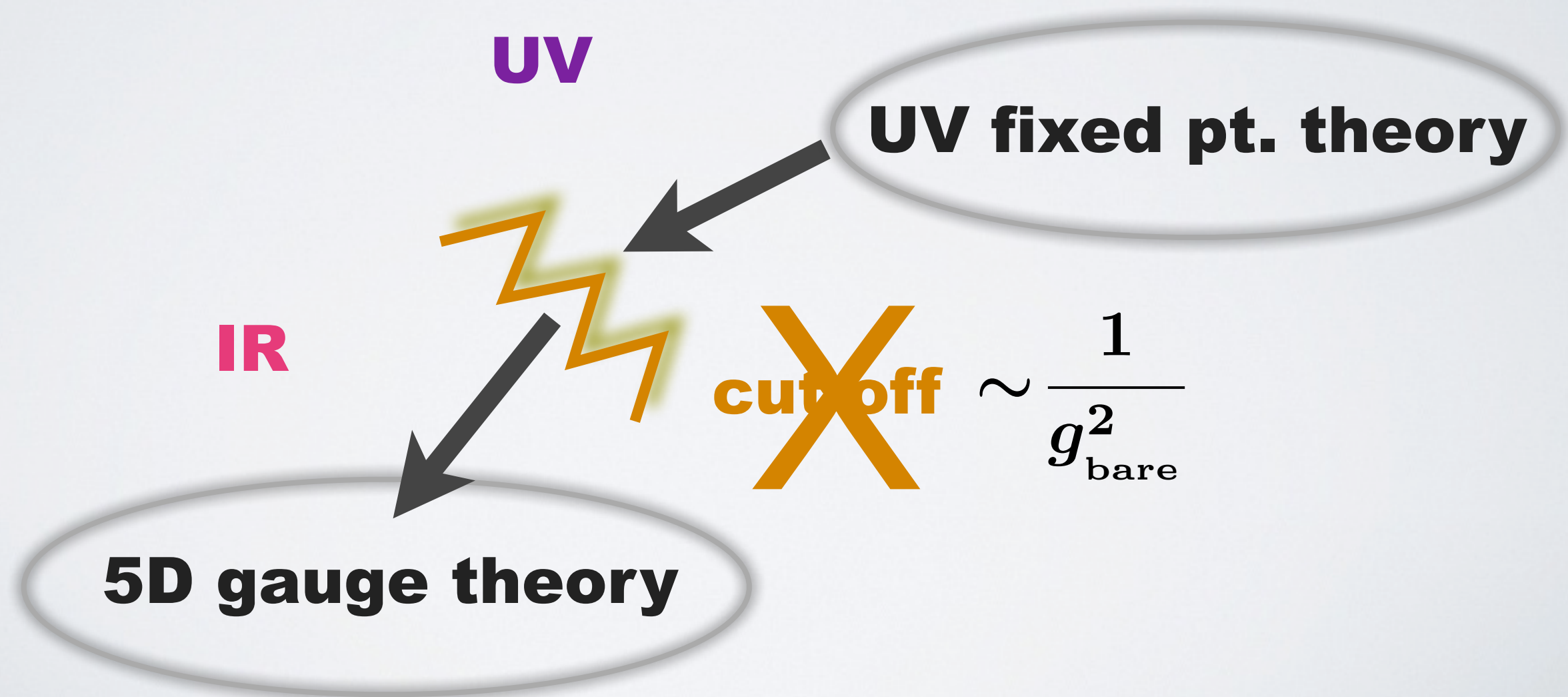
SU(2) gauge theory with 0, 1, 2, ... , 7 quarks has UV fixed point.



5D minimal SUSY gauge theory

[Seiberg, '96]

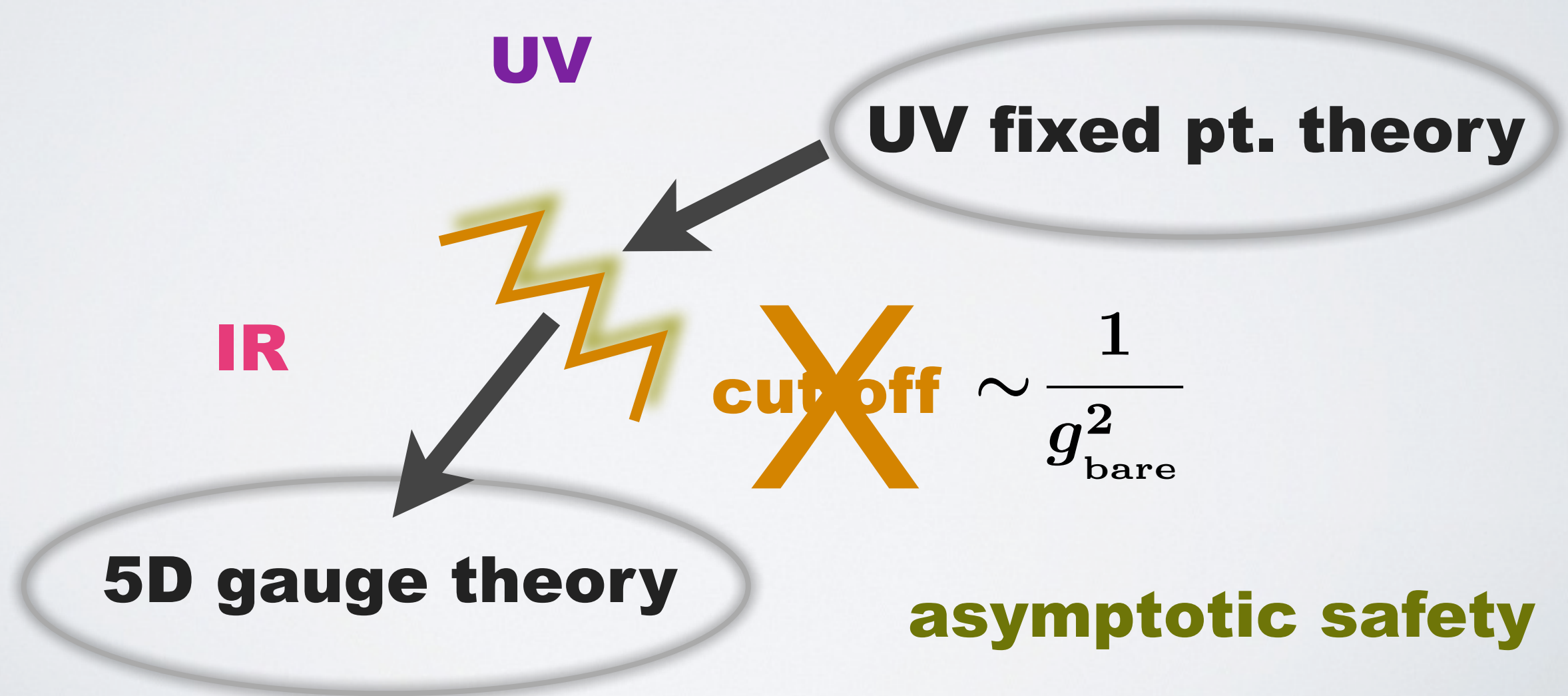
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5D minimal SUSY gauge theory

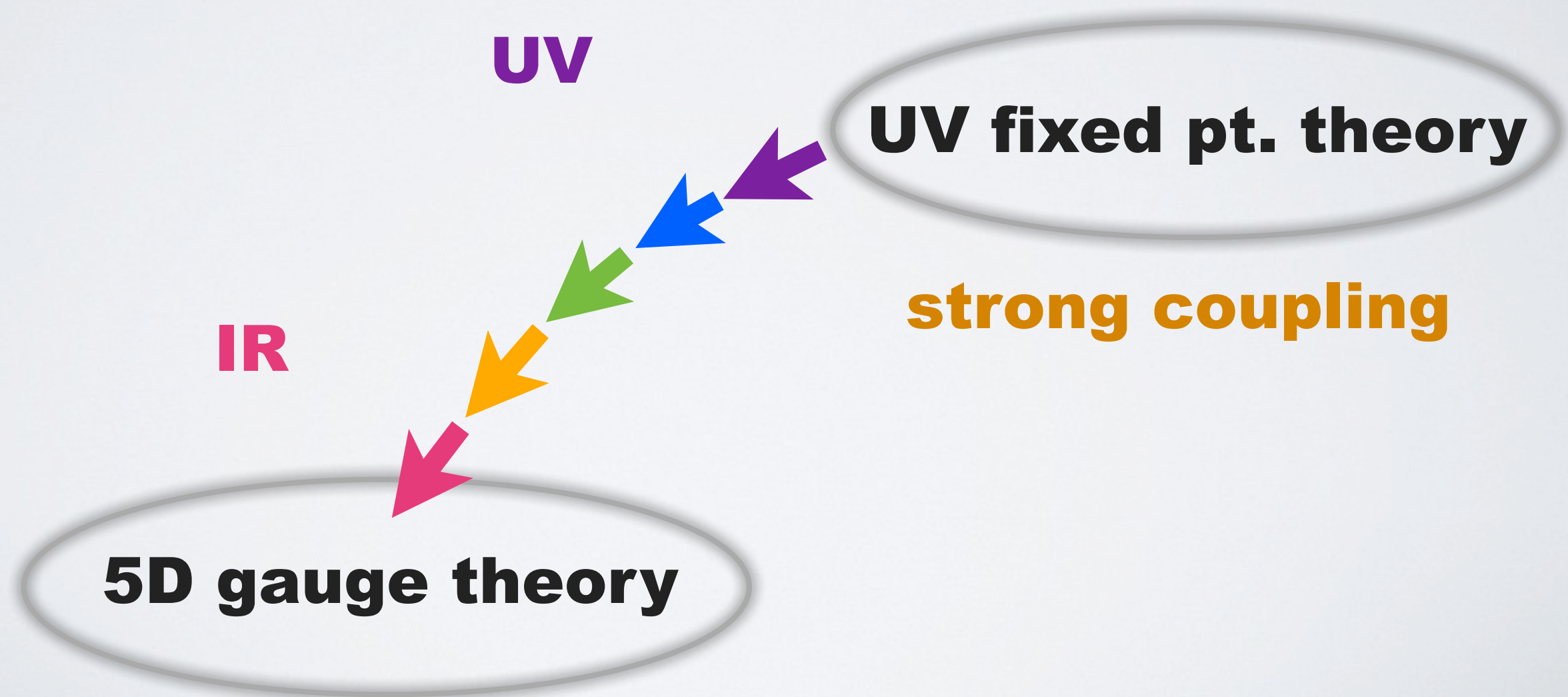
[Seiberg, '96]

SU(2) gauge theory with 0, 1, 2, ... , 7 quarks has UV fixed point.



5D minimal SUSY gauge theory

we will study these UV fixed pt theories



5D $SU(2)$ theory & flavor sym. of UV SCFT

$$N_f = 0 \quad E_1 = SU(2)$$

$$N_f = 1 \quad E_2 = SU(2) \times U(1)$$

$$N_f = 2 \quad E_3 = SU(3) \times SU(2)$$

$$N_f = 3 \quad E_4 = SU(5)$$

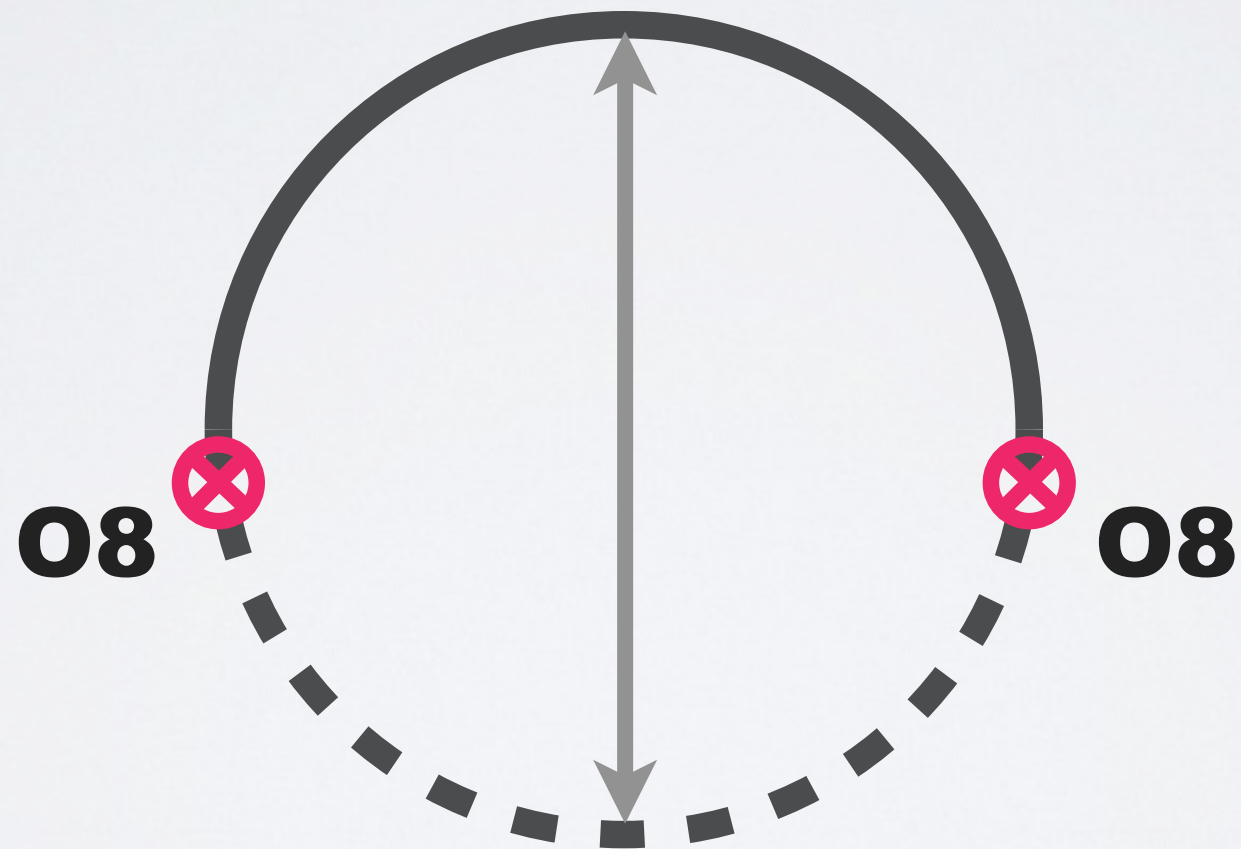
$$N_f = 4 \quad E_5 = SO(10)$$

$$N_f = 5 \quad E_6$$

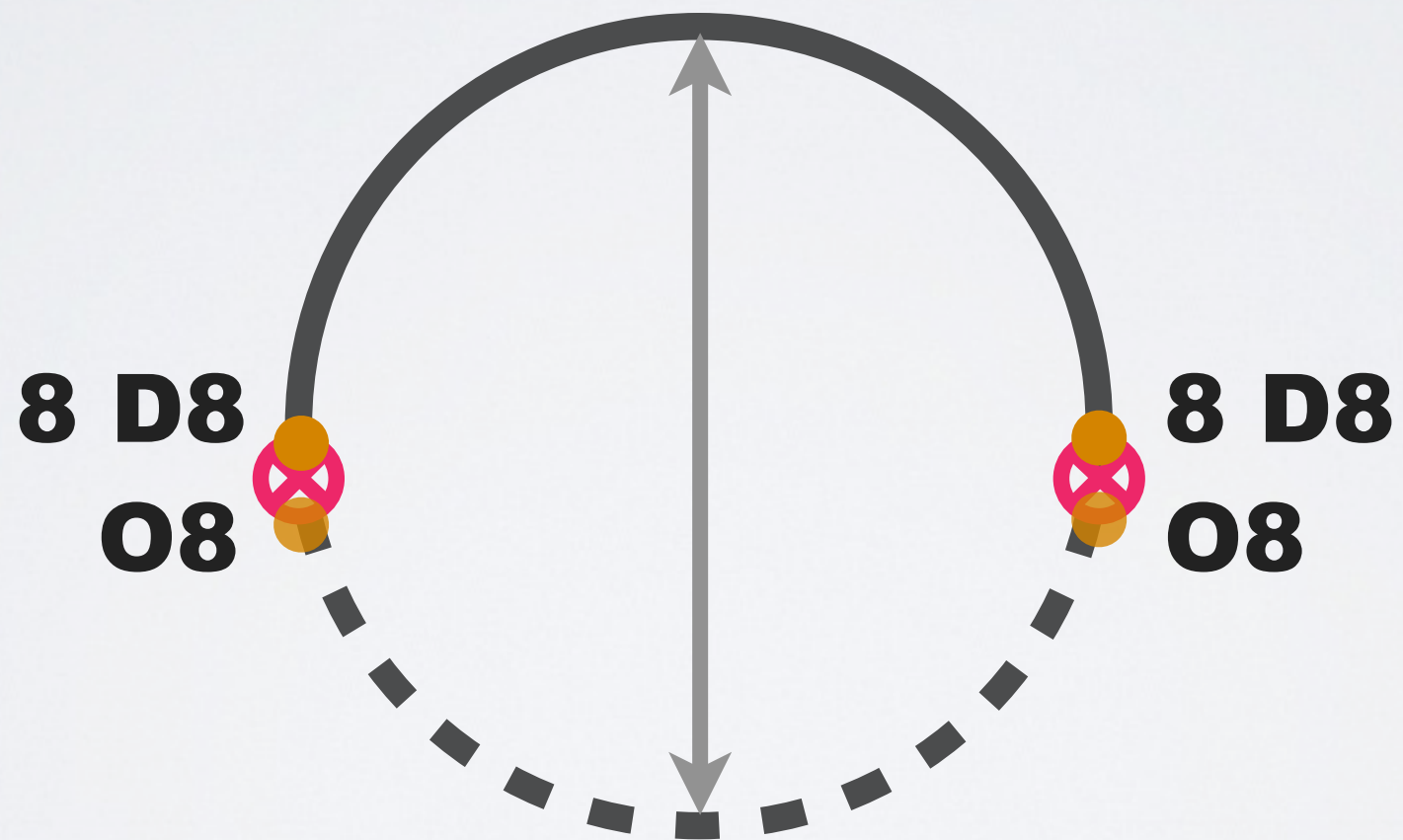
$$N_f = 6 \quad E_7$$

$$N_f = 7 \quad E_8$$

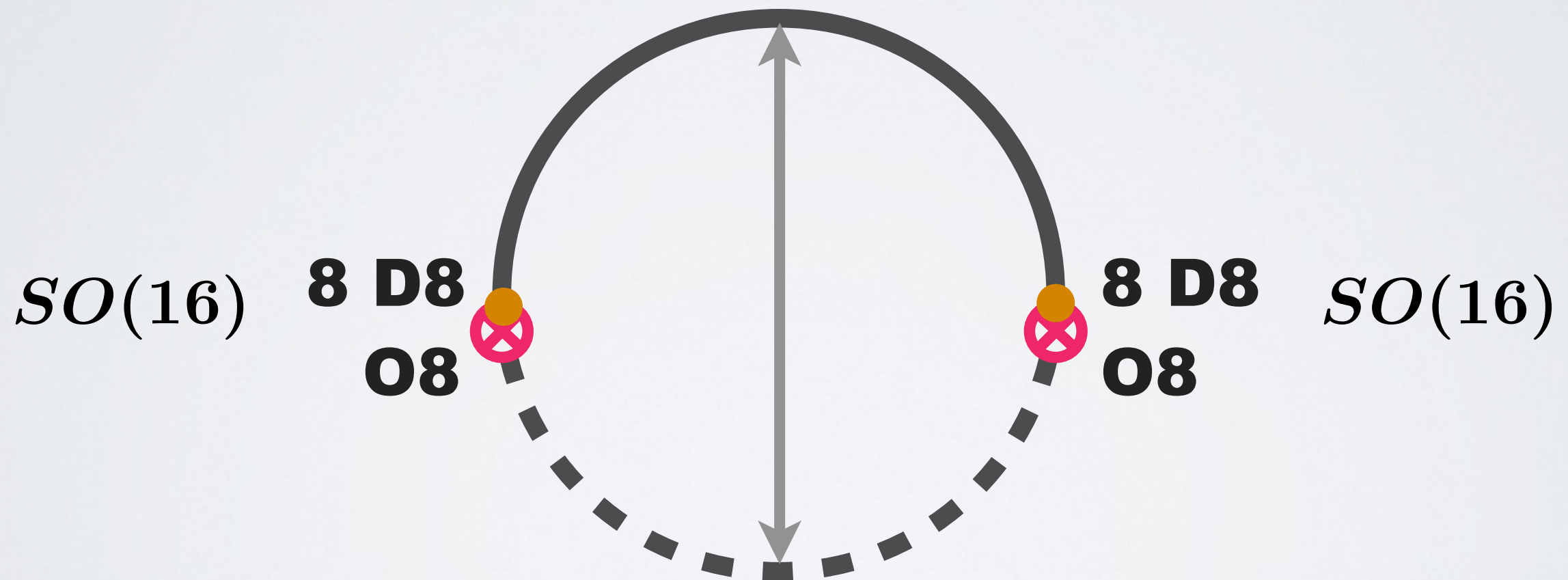
E_n via Typell on orbifold [Seiberg, '96]



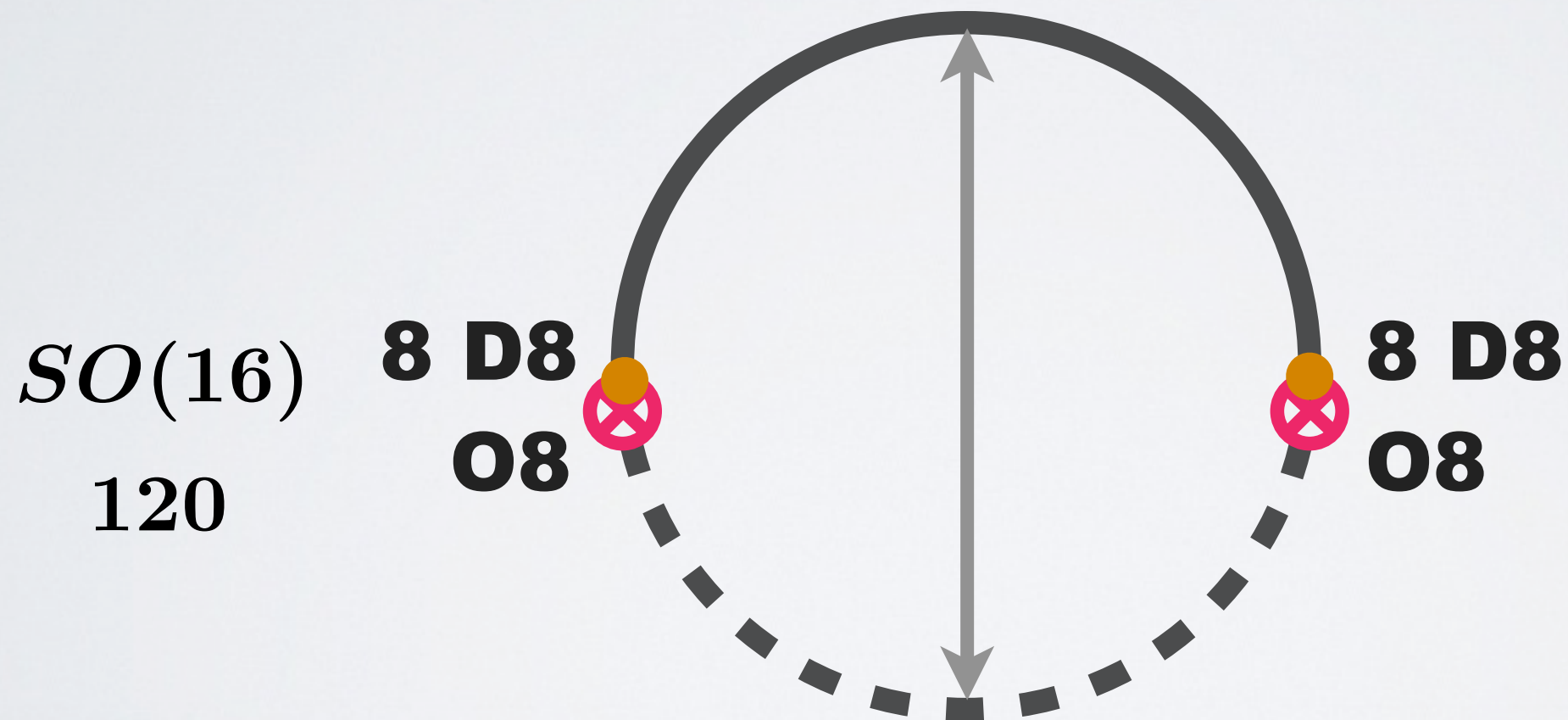
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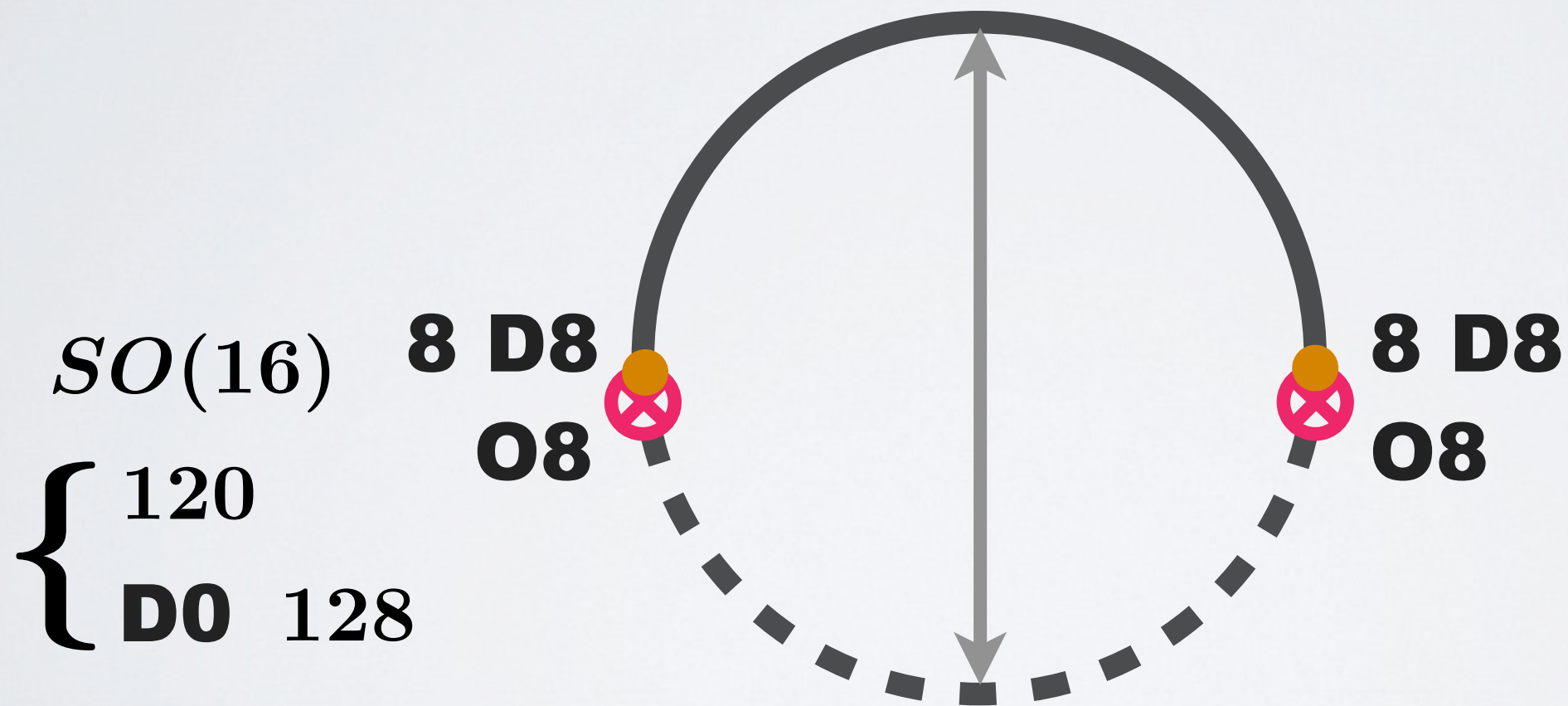
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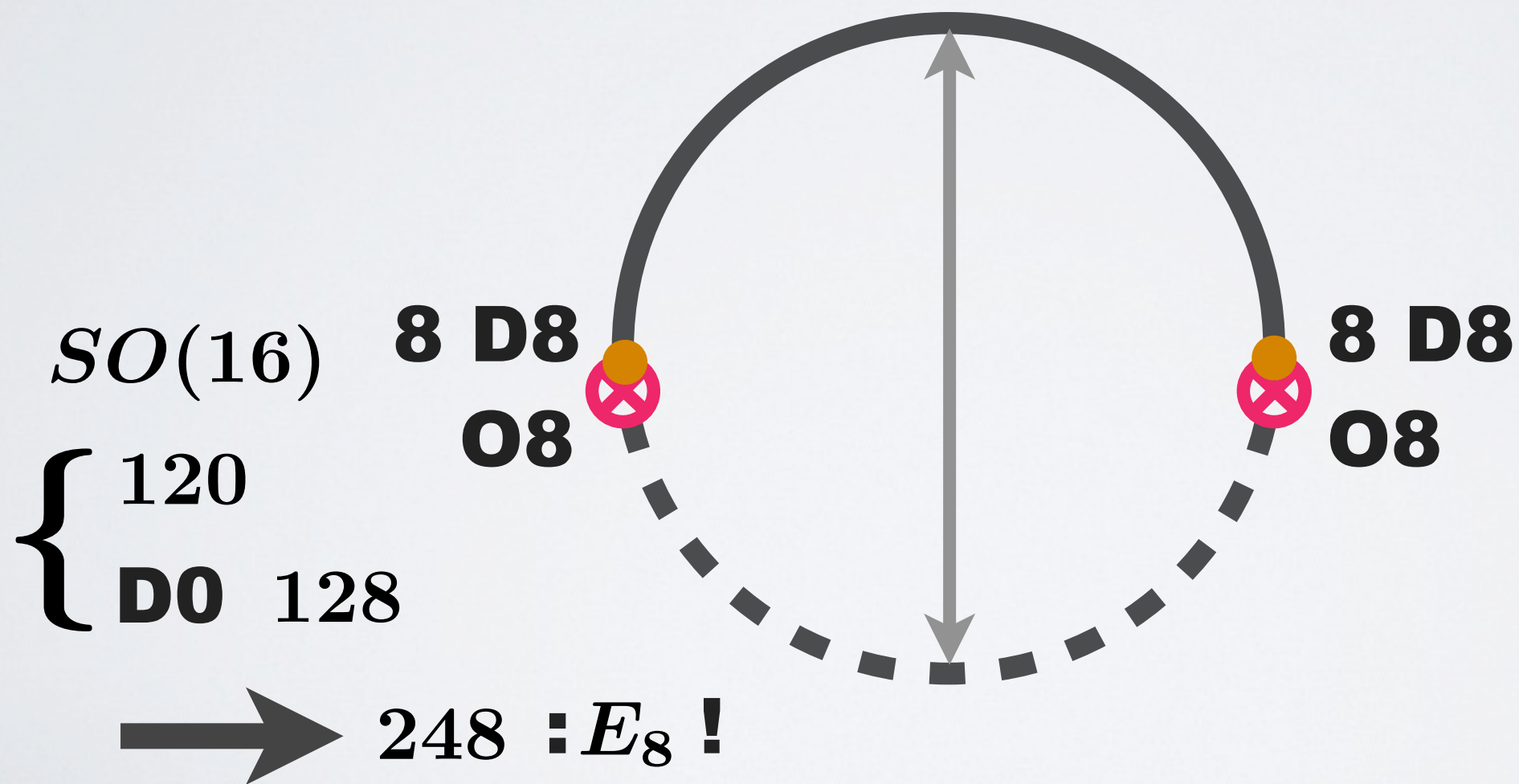
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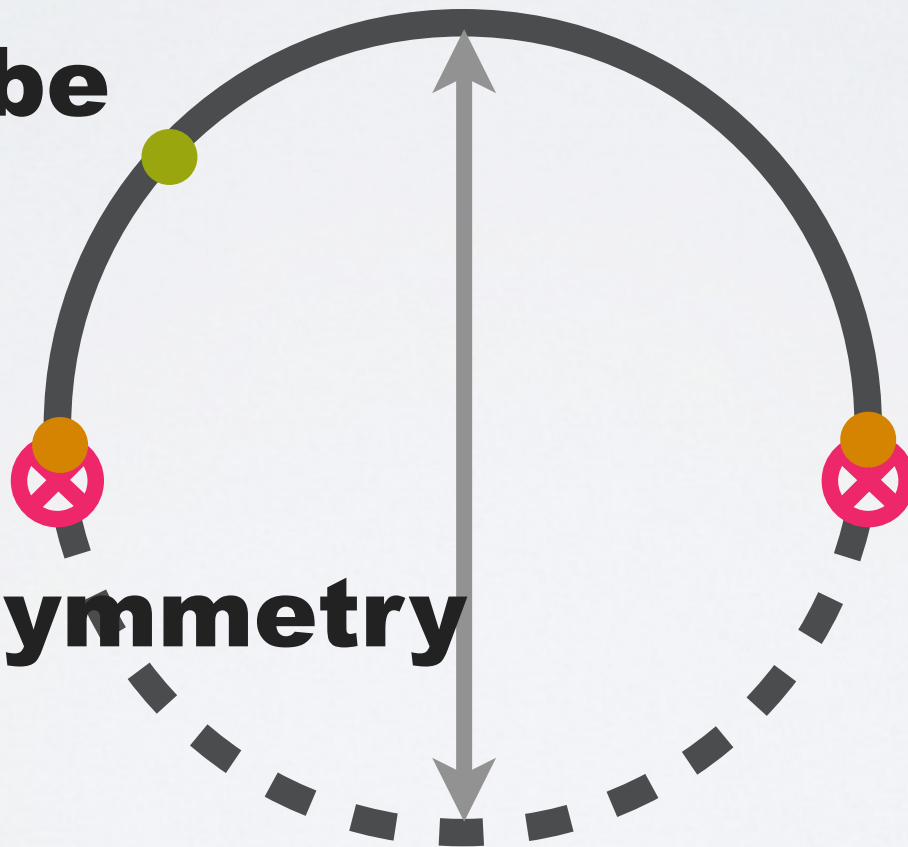
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E_n via Tyrell on orbifold [Seiberg, '96]

D4-brane probe

E_8 gauge symmetry

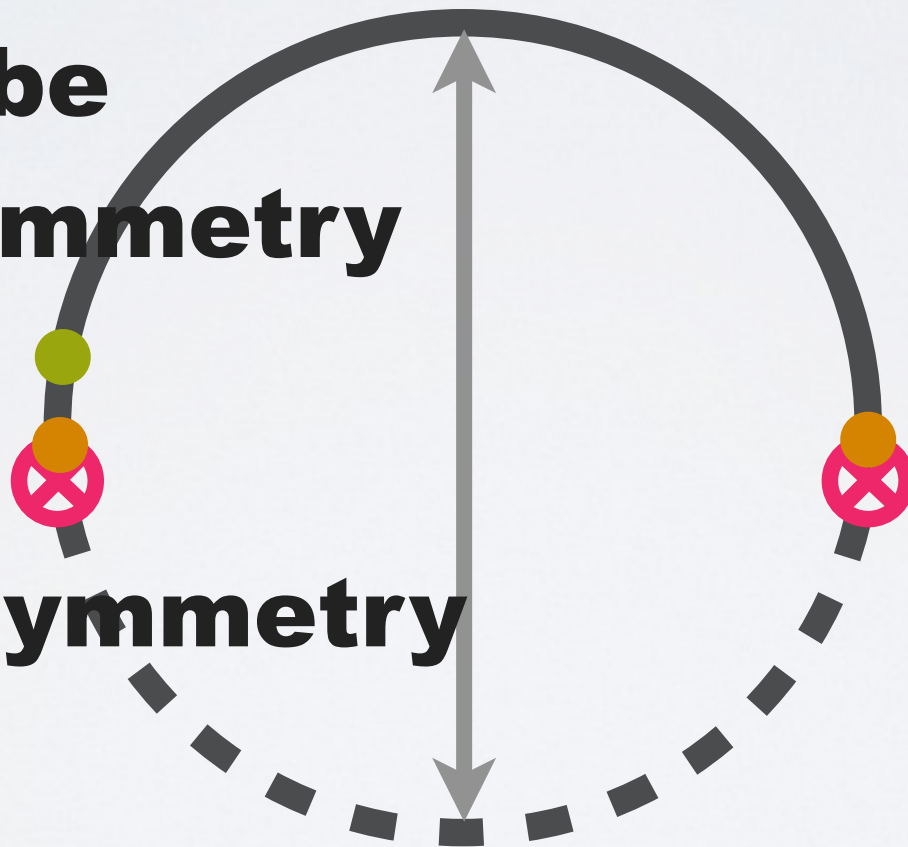


E_n via Tyrell on orbifold [Seiberg, '96]

D4-brane probe

E_8 flavor symmetry

E_8 gauge symmetry



5d theory via (p,q) 5-brane web

[Aharony-Hanany, '97]

**We can construct these 5d thys by using
 (p,q) 5-brane web in Type IIB string**

5d theory via (p,q) 5-brane web

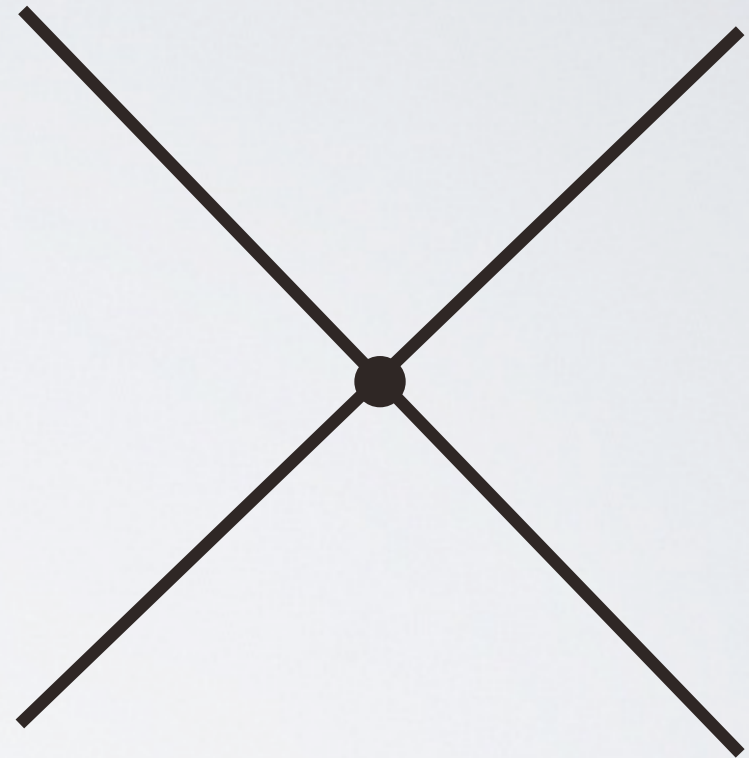
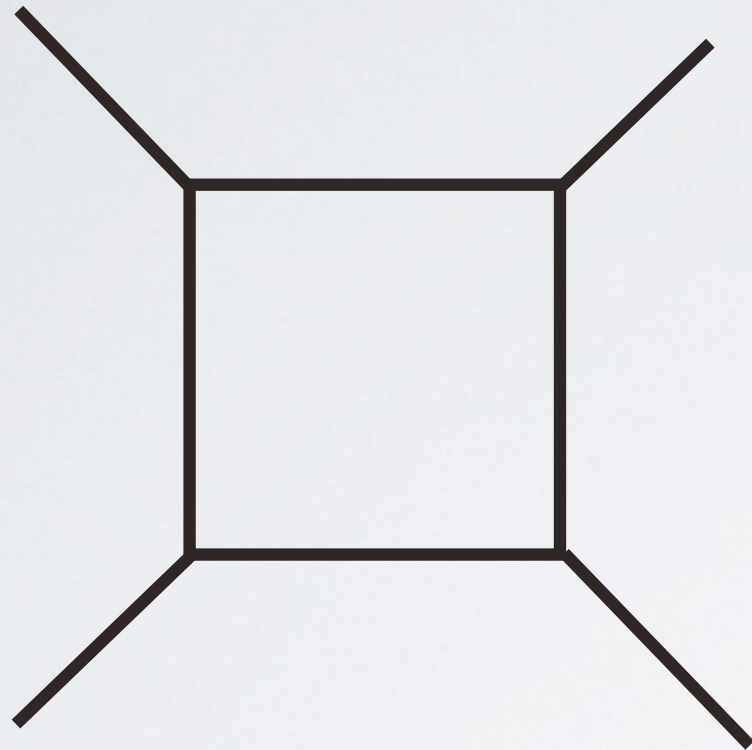
[Aharony-Hanany, '97]

**We can construct these 5d thys by using
 (p,q) 5-brane web in Type IIB string**

$(1,0)$ 5-brane : D5-brane

$(0,1)$ 5-brane : NS5-brane

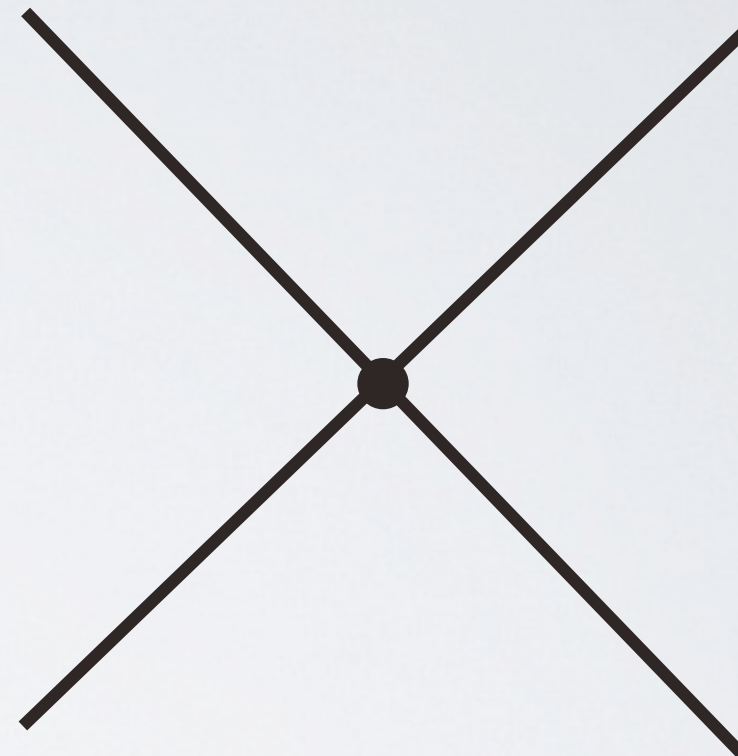
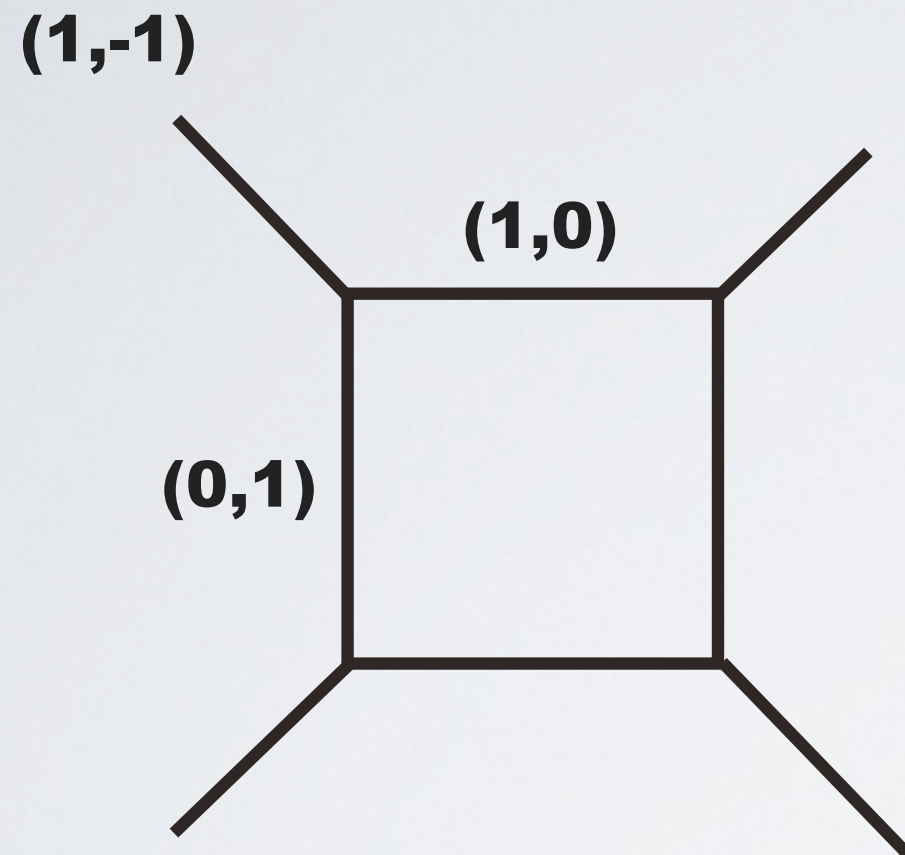
pure $SU(2)$ YM [Aharony-Hanany, '97]



5d UV fixed pt thy

E_1 SCFT

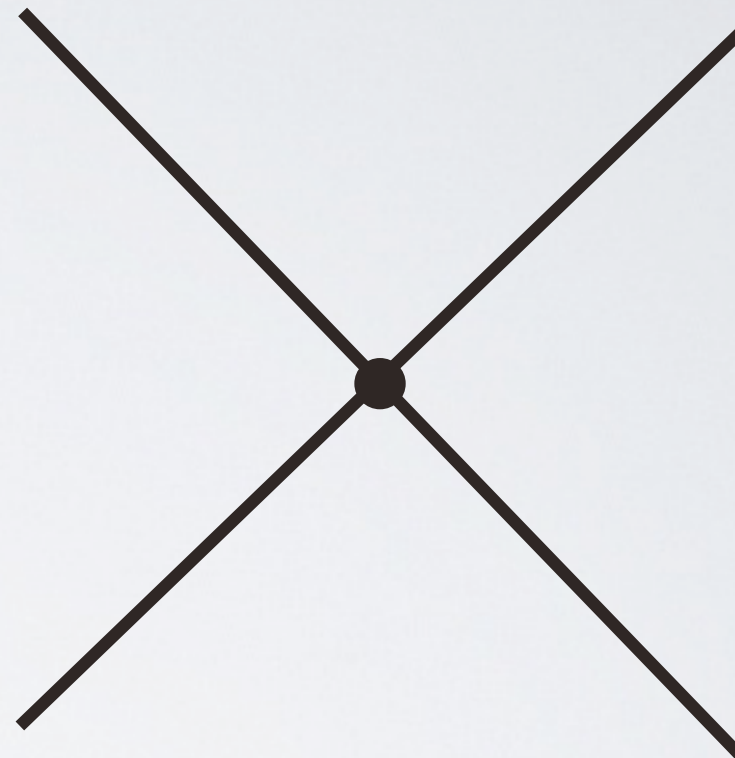
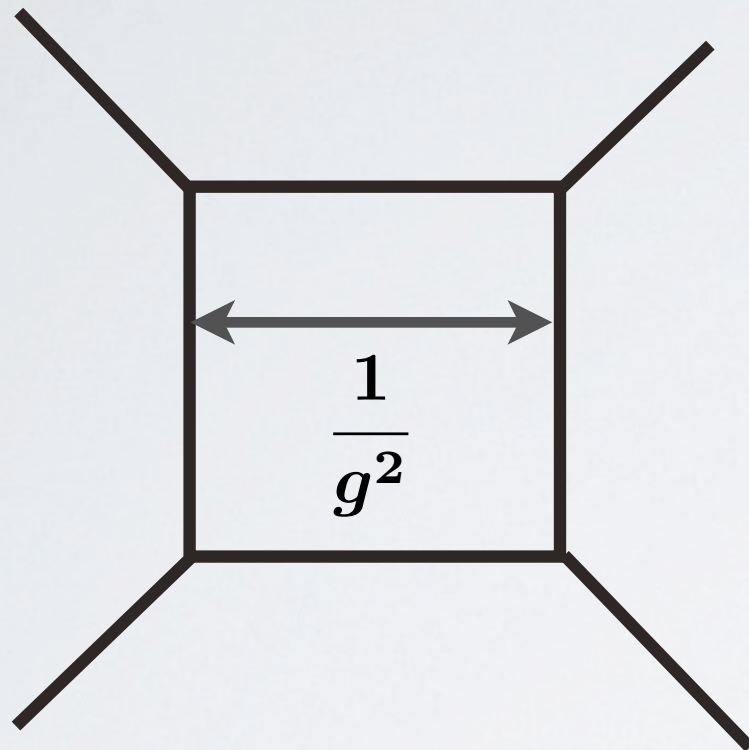
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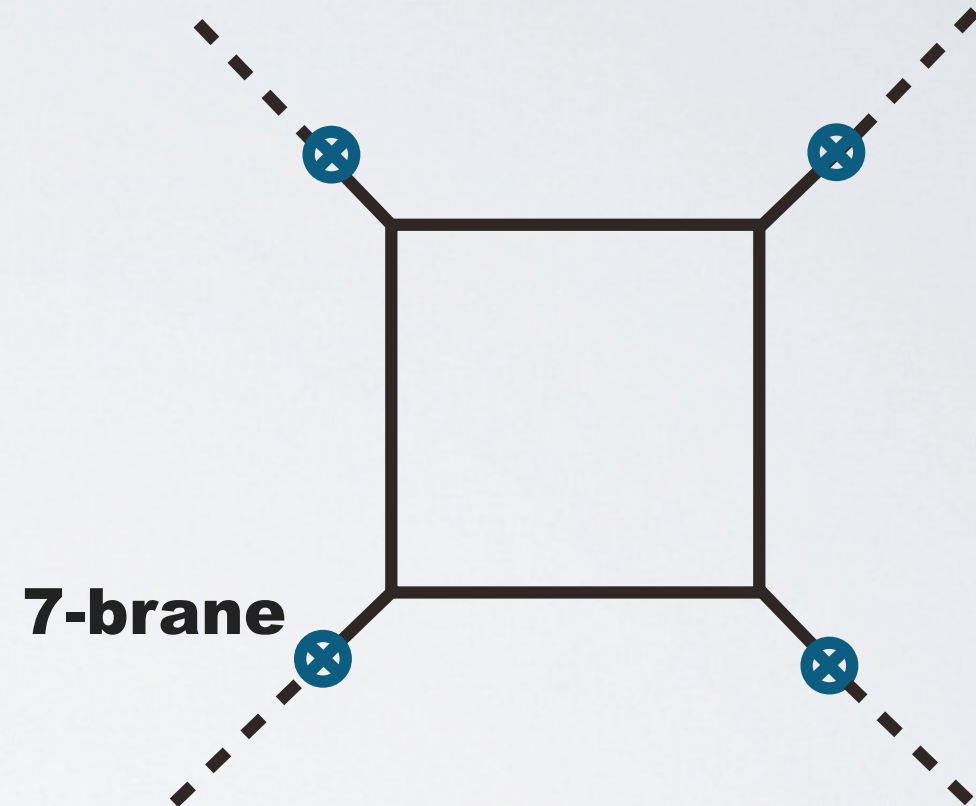
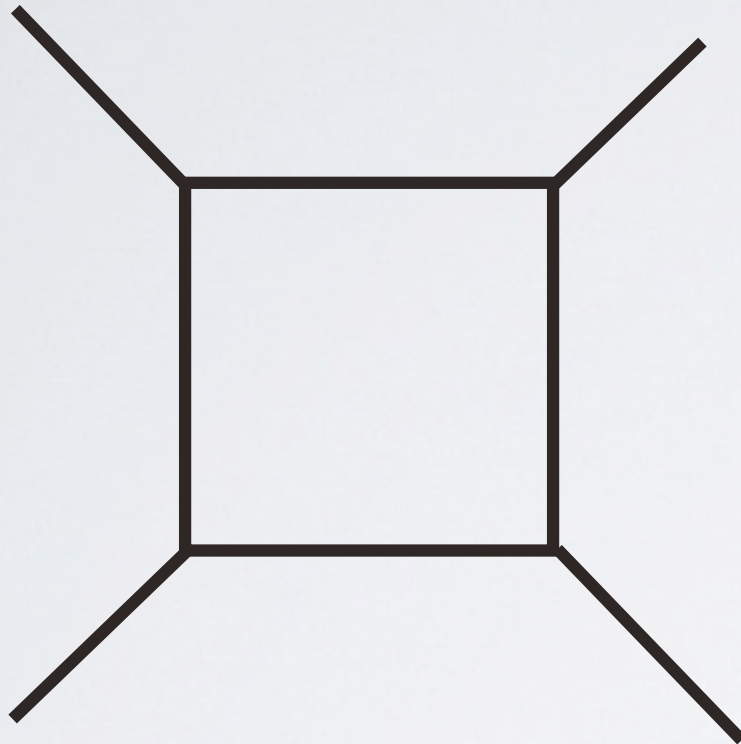
pure $SU(2)$ YM [Aharony-Hanany, '97]



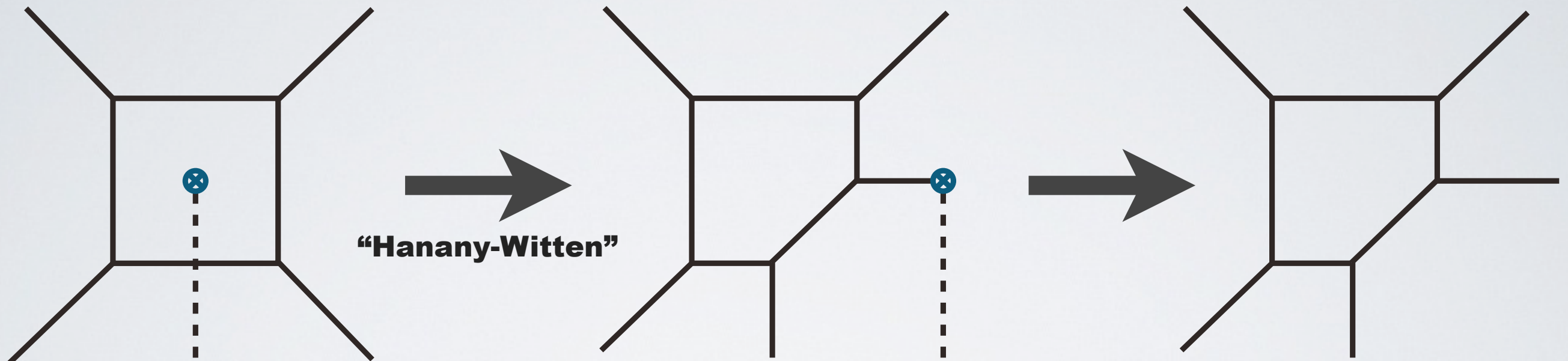
strongly-coupled

E_1 SCFT

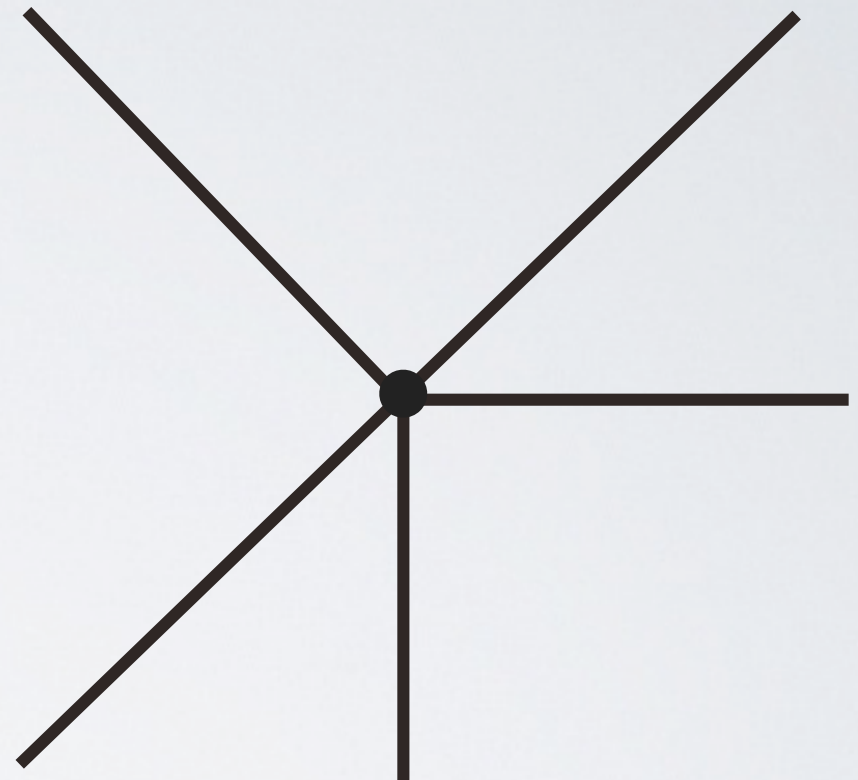
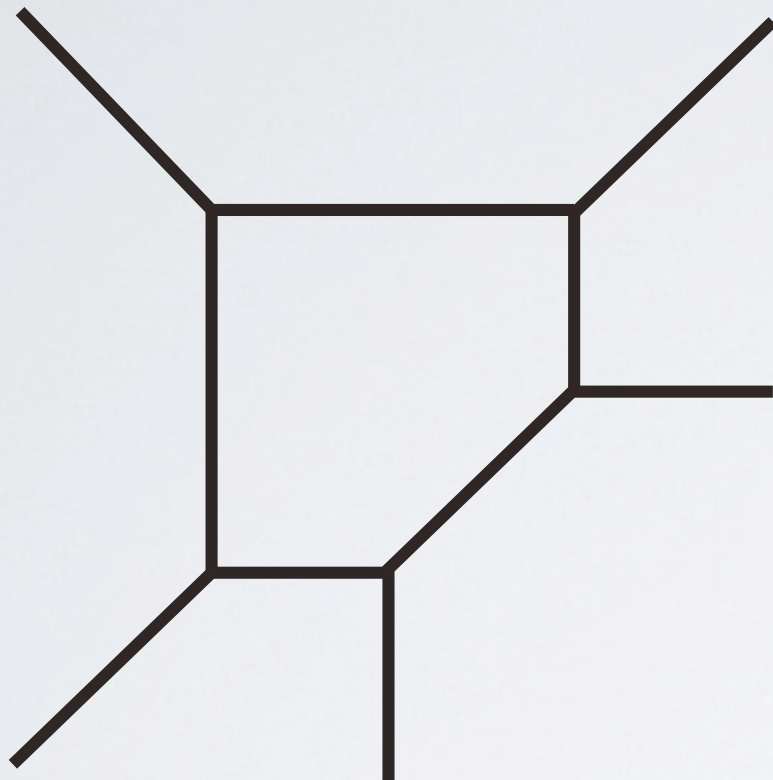
pure $SU(2)$ YM [DeWolfe-Hanany-Iqbal-Katz, '99]



$N_f=1$ $SU(2)$ SQCD [DeWolfe-Hanany-Iqbal-Katz, '99]



$N_f=1$ $SU(2)$ SQCD



5d UV fixed pt thy

E_2 SCFT

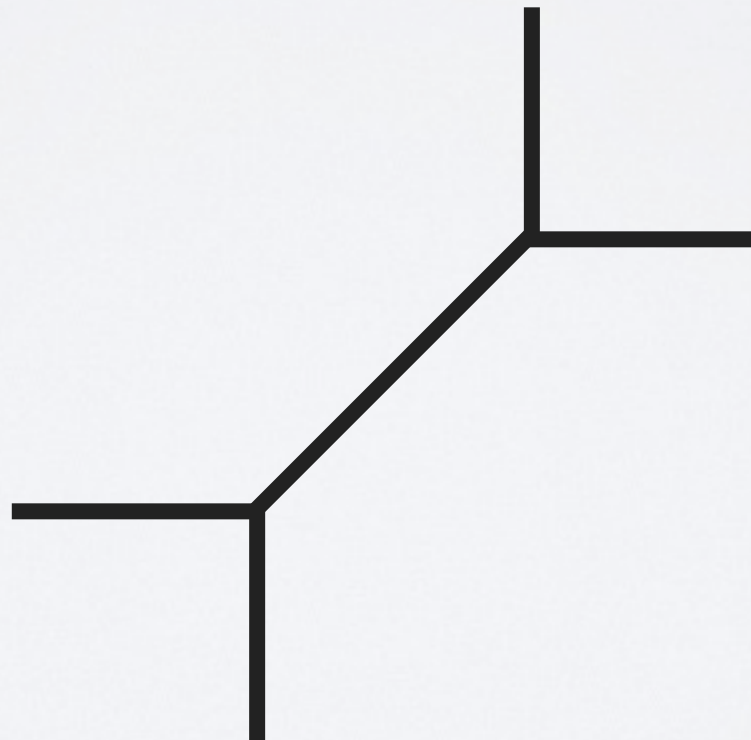
5d theory via Calabi-Yau compactification

**We can also construct them by using
M-theory on toric geometry (web)**

5d theory via Calabi-Yau compactification

We can **also** construct them by using
M-theory on **toric geometry (web)**

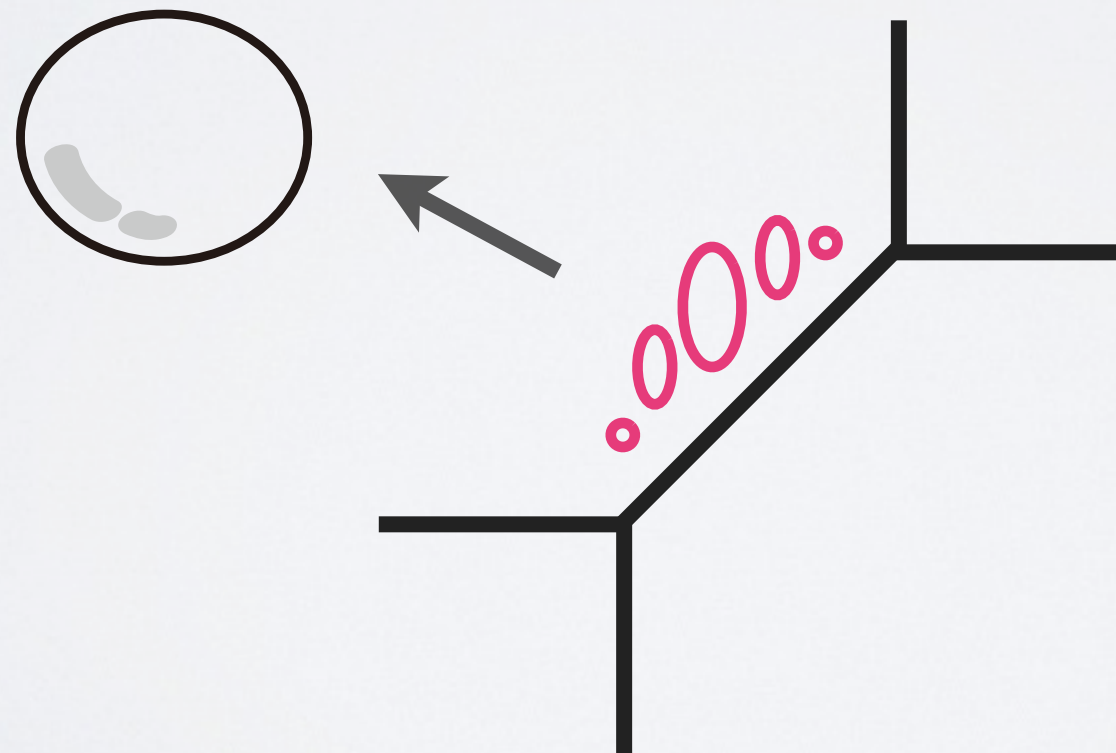
resolved conifold $A_1 B_2 - A_2 B_2 = 0$



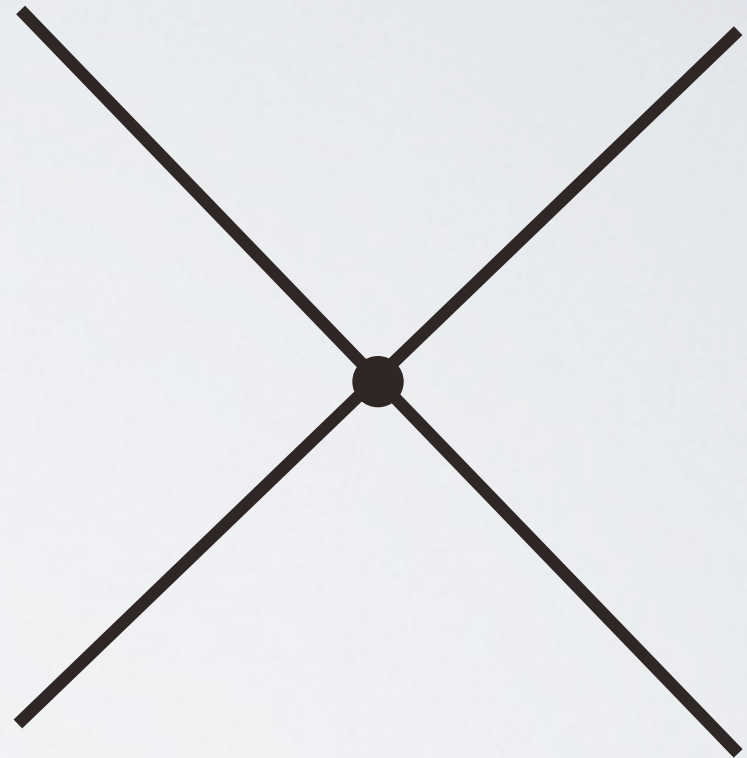
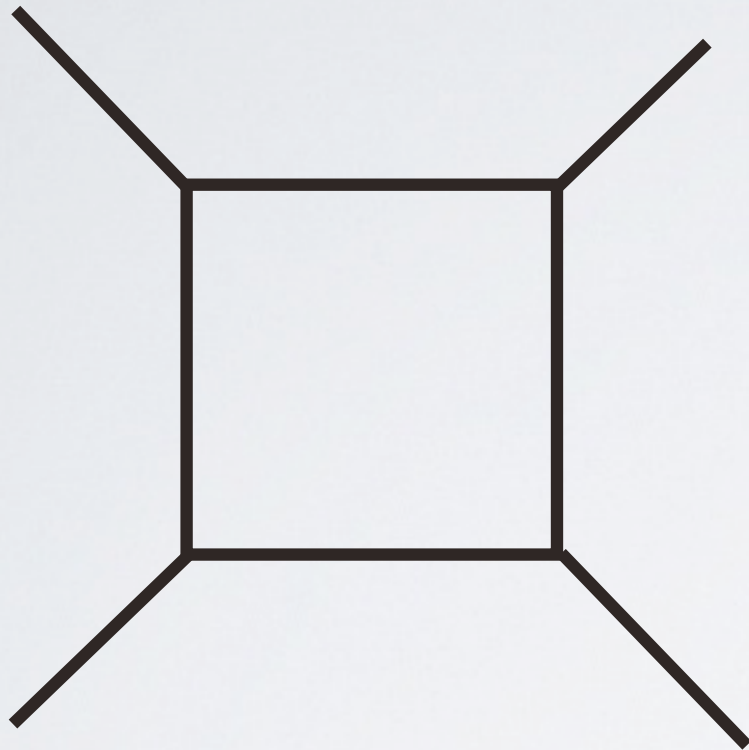
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We can **also** construct them by using
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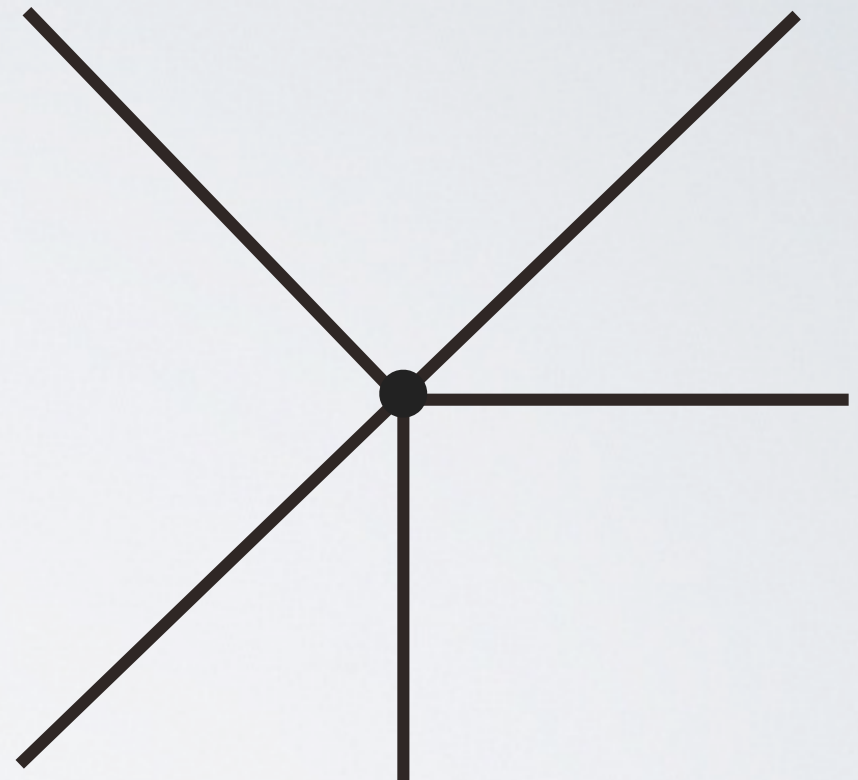
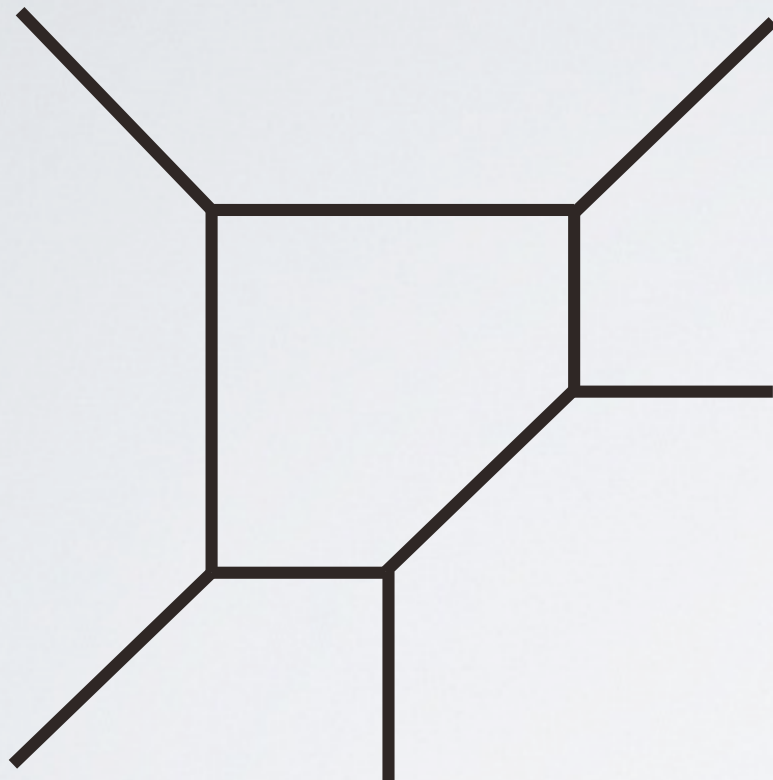


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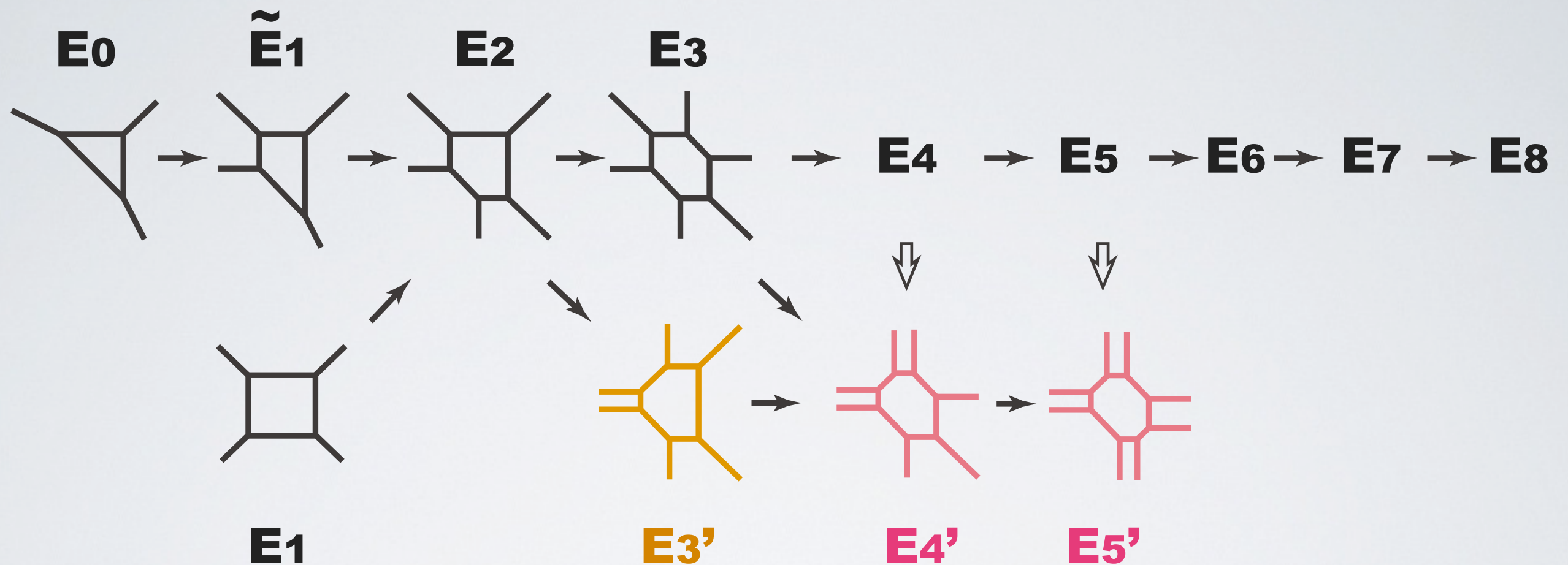
E_1 SCFT

$N_f = 1$ SU(2) SQCD

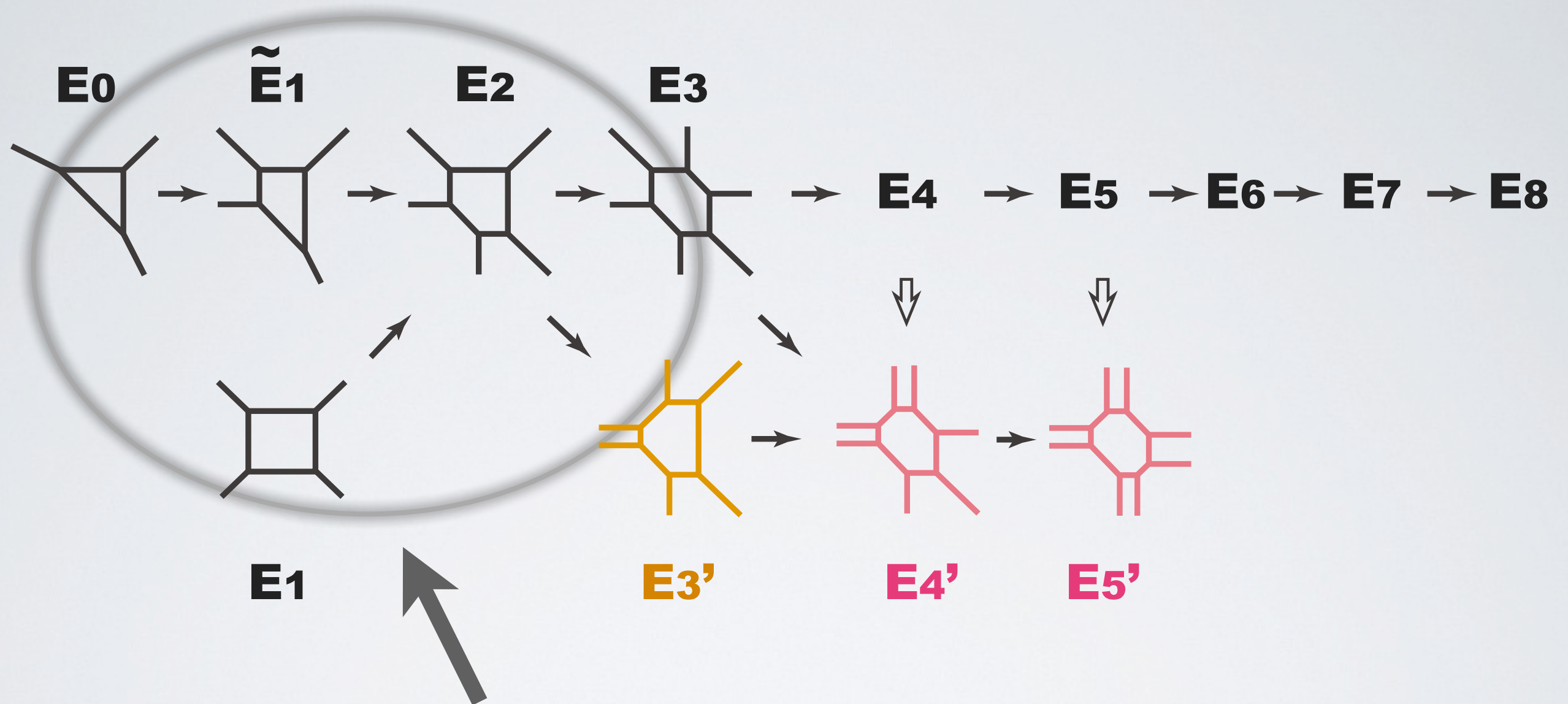


E_2 SCFT

Possible theories of this sequence

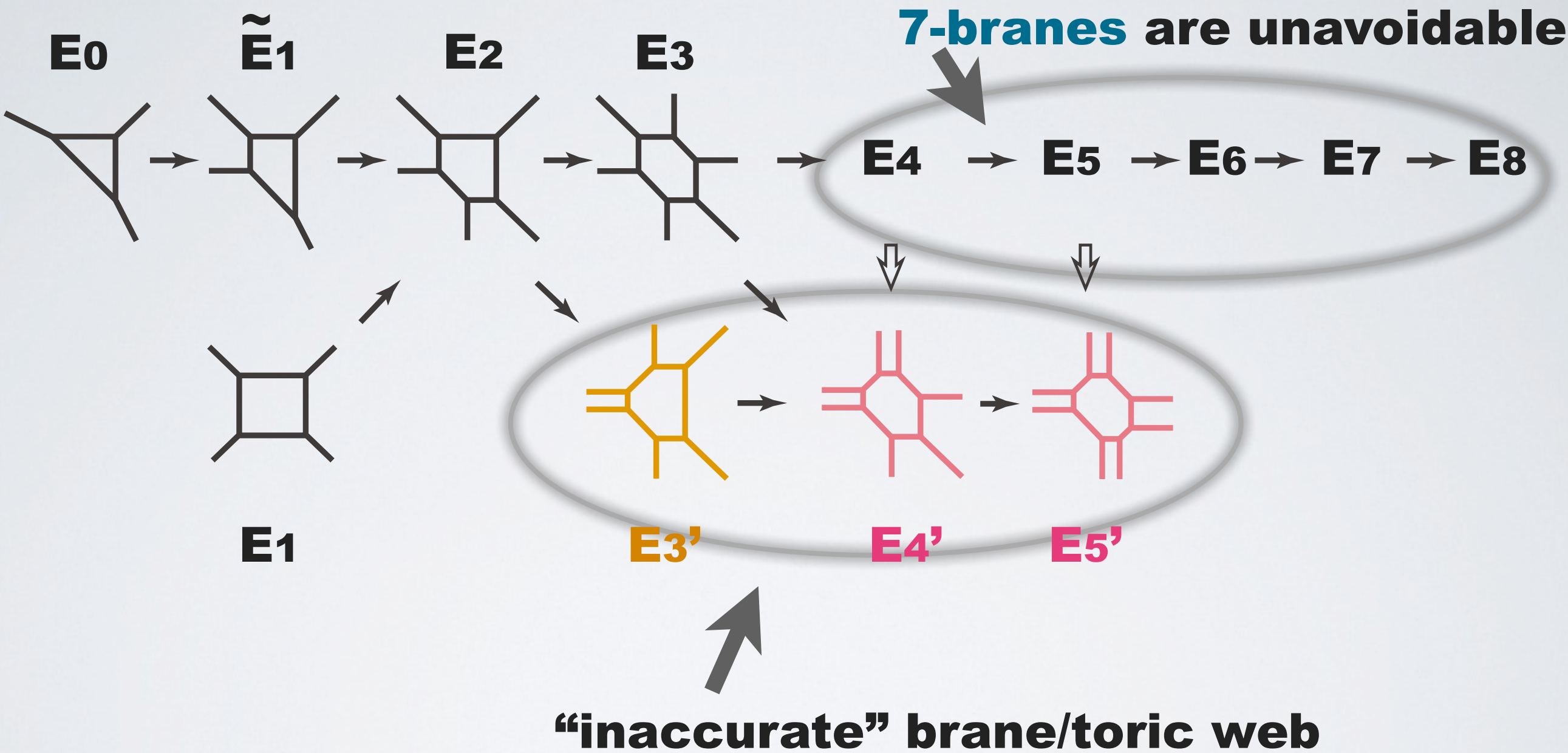
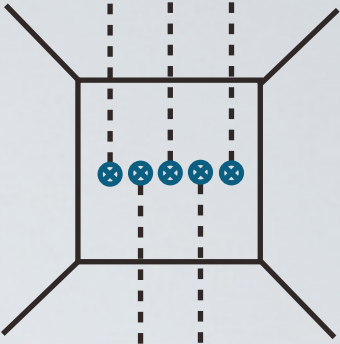


Possible theories of this sequence



we can compute partition function
by **topological vertex** formalism

Possible theories of this sequence



2. Superconformal

Index of 5D SCFTs

Superconformal Index

bosonic part of 5d N=1 SCA

$$SO(2, 5) \times SU(2)_R$$

Superconformal Index

bosonic part of 5d N=1 SCA

$$SO(2, 5) \times SU(2)_R$$

$$Q = Q_2^1 \quad \text{a super charge}$$

$$S = S_1^2 \quad \text{a superconformal charge}$$

Superconformal Index

bosonic part of 5d N=1 SCA

$$SO(2, 5) \times SU(2)_R$$

$$\begin{array}{l} Q = Q_2^1 \\ S = S_1^2 \end{array} \begin{array}{l} \nwarrow \\ \swarrow \end{array} SU(2)_R \text{ index}$$

Superconformal Index

bosonic part of 5d N=1 SCA

$$SO(2, 5) \times SU(2)_R$$

$$Q = Q_2^1 \quad \leftarrow \text{spinor index for 5d Lorentz}$$
$$S = S_1^2 \quad \leftarrow$$

Superconformal Index

bosonic part of 5d N=1 SCA

$$SO(2, 5) \times SU(2)_R$$

$$Q = Q_2^1$$

$$S = S_1^2$$

$$\Delta = \{Q, S\} = \epsilon_0 - 2j_1 - 3R \geq 0$$

1/8 BPS with dim. $\epsilon_0 = 2j_1 + 3R$



Superconformal Index

bosonic part of 5d N=1 SCA

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1/8 BPS with dim. $\epsilon_0 = 2j_1 + 3R$ 

Let's count these states

Superconformal Index

$$I = \text{Tr} (-1)^F = N_{\text{bosons}} - N_{\text{fermions}}$$

Superconformal Index

$$I = \text{Tr} (-1)^F = N_{\text{bosons}} - N_{\text{fermions}}$$
$$= \infty - \infty !$$

To make the expression meaningful, we need to introduce regulators which correspond to the Cartan generators commuting with Q, S, and each other

$$\Delta, \quad j_1 + R, \quad j_2, \quad H_i, \quad J = *\text{tr}(F \wedge F)$$

Superconformal Index

$$I(x, y, m, u) =$$

$$\text{Tr} \left((-1)^F e^{-\beta \{Q, S\}} x^{2(j_1 + R)} y^{2j_2} e^{-i \sum m_i H_i} u^k \right)$$

Superconformal Index

$$I(x, y, m, u) =$$

$$\text{Tr} (-1)^F e^{-\beta\{Q,S\}} x^{2(j_1+R)} y^{2j_2} e^{-i \sum m_i H_i} u^k$$

**5d SCFTs are strongly-coupled,
so free field limit is not available.**

Superconformal Index

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Localization works !!

Superconformal Index

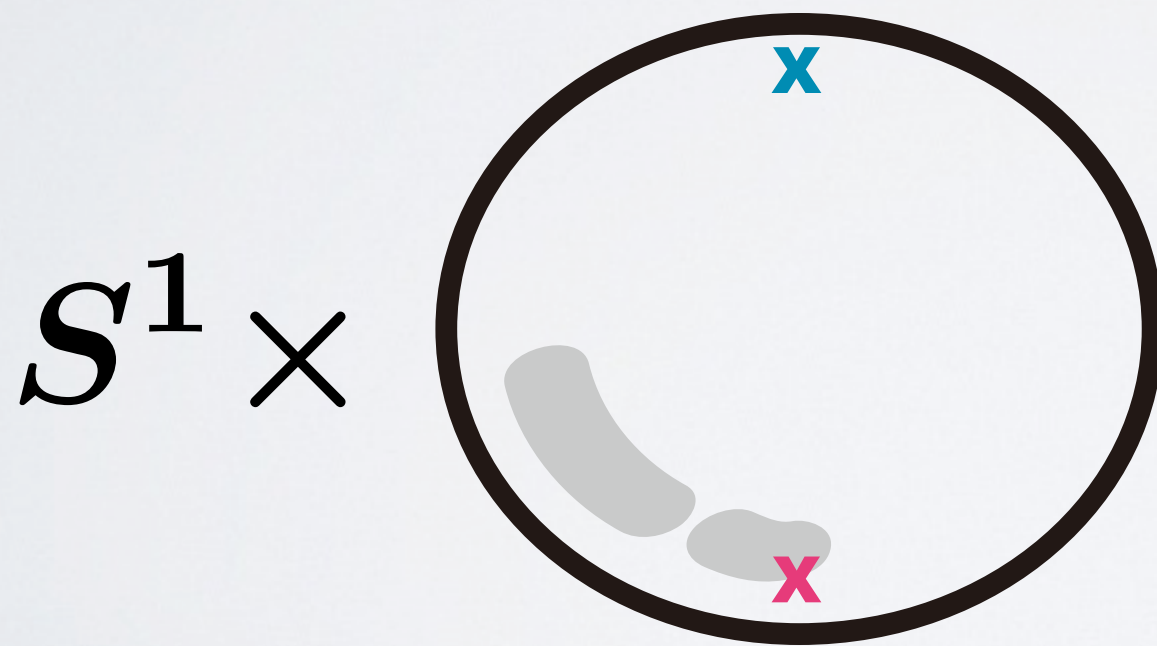
$I(x, y, m, u) =$ **a path integral expression**
on $S^1 \times S^4$



This is the form where we can apply the
localization method ! [Kim-Kim-Lee, '12]

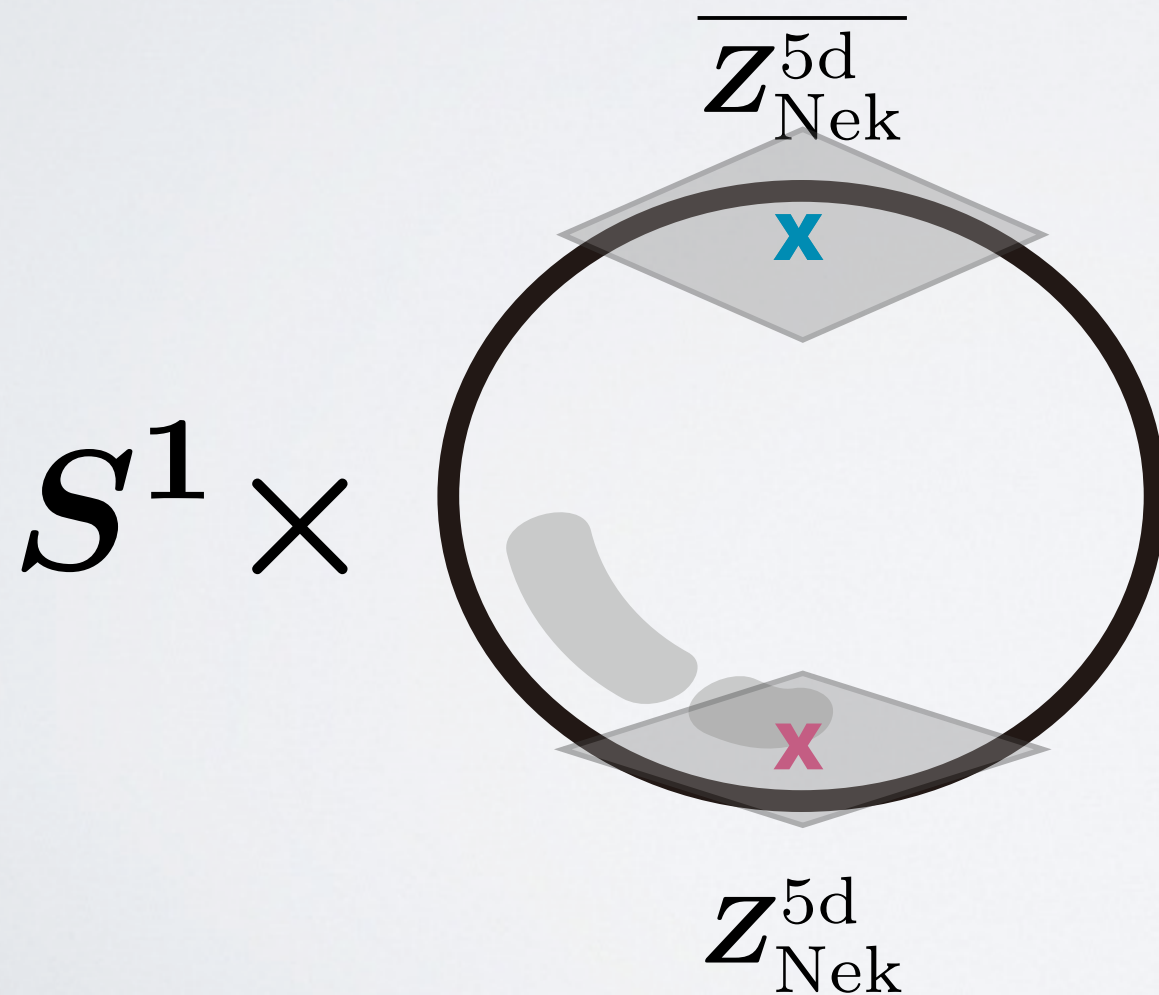
Superconformal Index [Kim-Kim-Lee, '12], [Iqbal-Vafa, '12]

$$I(x, y, m, u) = \int [da] \left| Z_{\text{Nek}}^{5\text{d}}(t, q, m, u, a) \right|^2$$



Superconformal Index [Kim-Kim-Lee, '12], [Iqbal-Vafa, '12]

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[Kim-Kim-Lee] use those for $\text{Sp}(1)$ gauge theories.

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→ E_1 and E_2 are OK

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→ E_1 and E_2 are OK

→ $E_3, E_4, \dots$ are **not !! **[BMPTY]****

Superconformal Index [Kim-Kim-Lee, '12], [Iqbal-Vafa, '12]

$$I(x, y, m, u) = \int [da] \left| Z_{\text{Nek}}^{5\text{d}}(t, q, m, u, a) \right|^2$$



[Kim-Kim-Lee] use those for Sp(1) gauge theories.

→ E_n symmetry !

[Iqbal-Vafa] use those for SU(2) gauge theories.

→ E₁ and E₂ are OK

→ E₃, E₄, ... are **not !! [BMPTY]**

[Hayashi-Kim-Nishinaka]

Superconformal Index [Kim-Kim-Lee, '12], [Iqbal-Vafa, '12]

$$I(x, y, m, u) = \int [da] \left| Z_{\text{Nek}}^{5\text{d}}(t, q, m, u, a) \right|^2$$

Q.

$$Sp(1) \neq SU(2) \text{ !?}$$

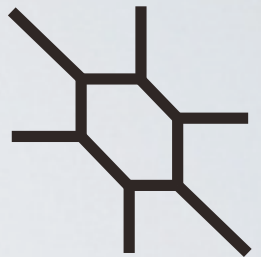
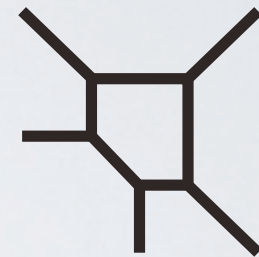
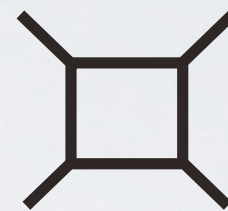
in **some** sense (physically).

3. Solution & partition function for 7-brane b.k.g.d.

5d gauge theory & topological string

[Nekrasov, '03] etc

topological string theory on



||

...

5d $SU(2)$ gauge theory

5d gauge theory & topological string

[Nekrasov, '03] etc.

$Z_{\text{top. str.}}$

$\parallel$

Z_{Nek}^{5d}

5d gauge theory & topological string

[Nekrasov, '03] etc.

$Z_{\text{top. str.}}$ **(5-brane web)**

$\parallel$

$Z_{\text{Nek}}^{5\text{d}}$

$\parallel$

SU(2) partition function (E_n SCFT)

5d gauge theory & topological string

[Nekrasov, '03] etc.

$Z_{\text{top. str.}}$ **(5-brane web)**

$\parallel$

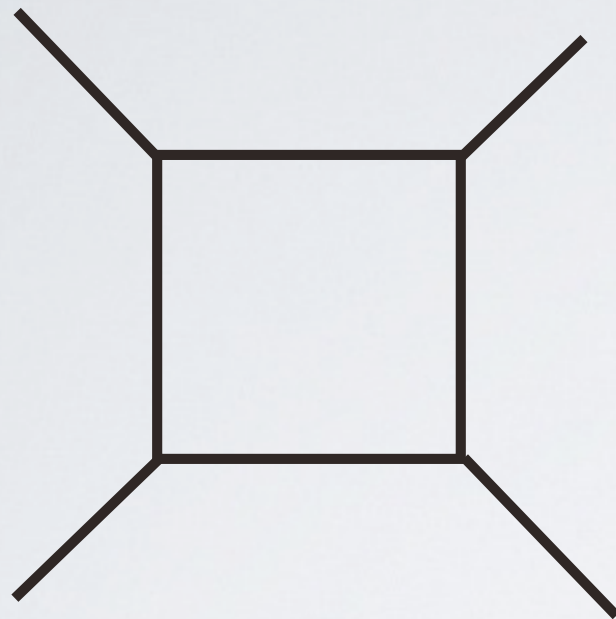
$Z_{\text{Nek}}^{5\text{d}}$

$\parallel$

 **not correct ! (preconception)**

SU(2) partition function (E_n SCFT)

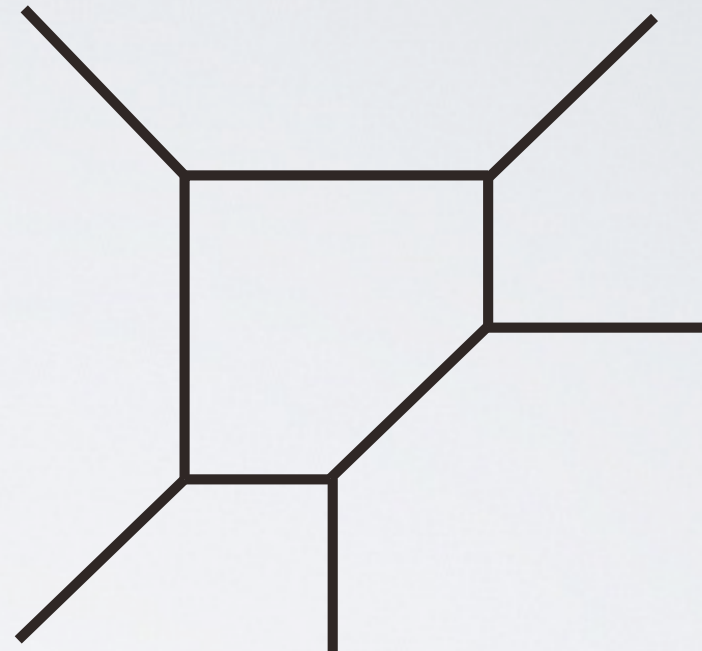
5d gauge theory & topological string



$N_f = 0$

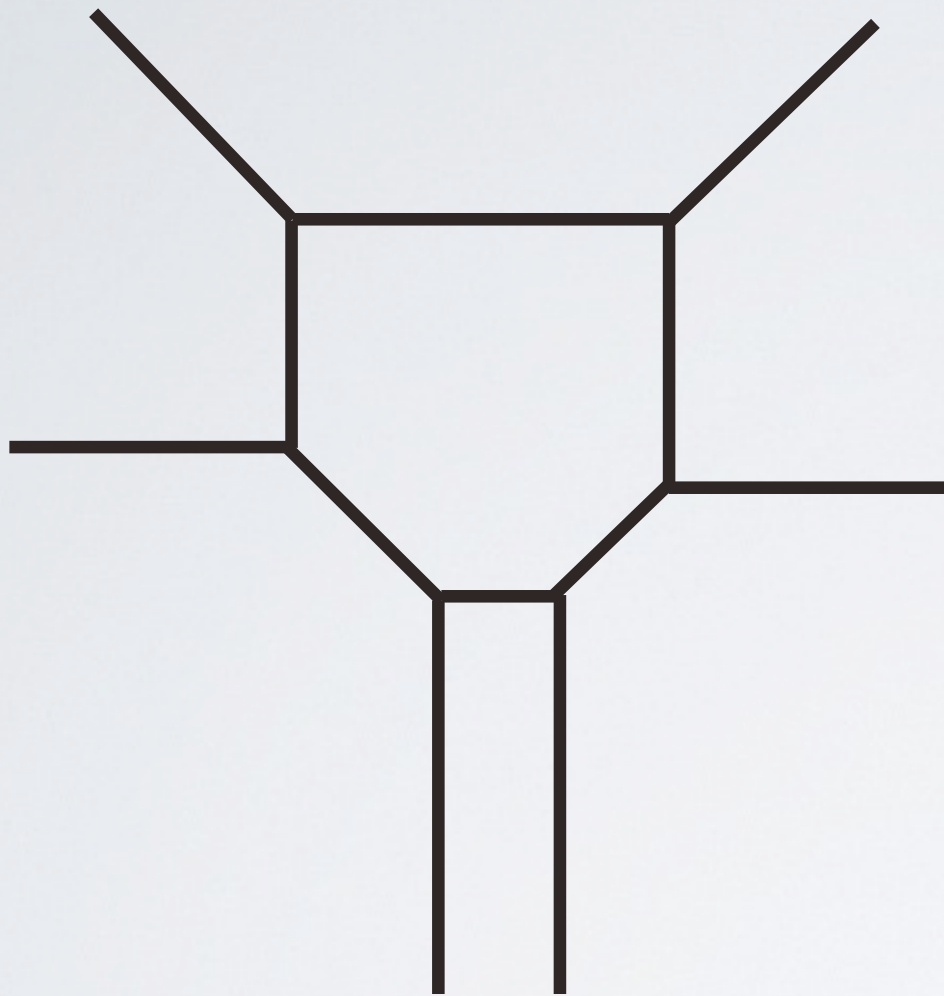


blow-up

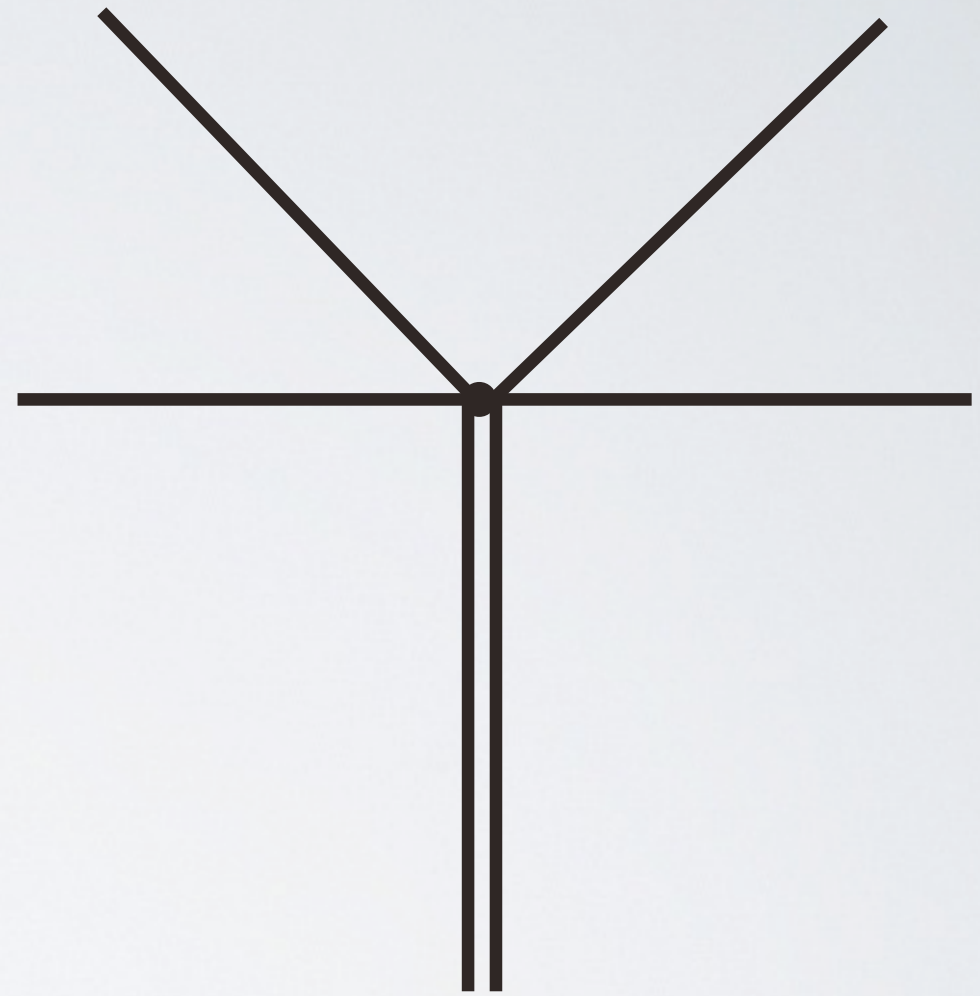


$N_f = 1$

5d gauge theory & topological string

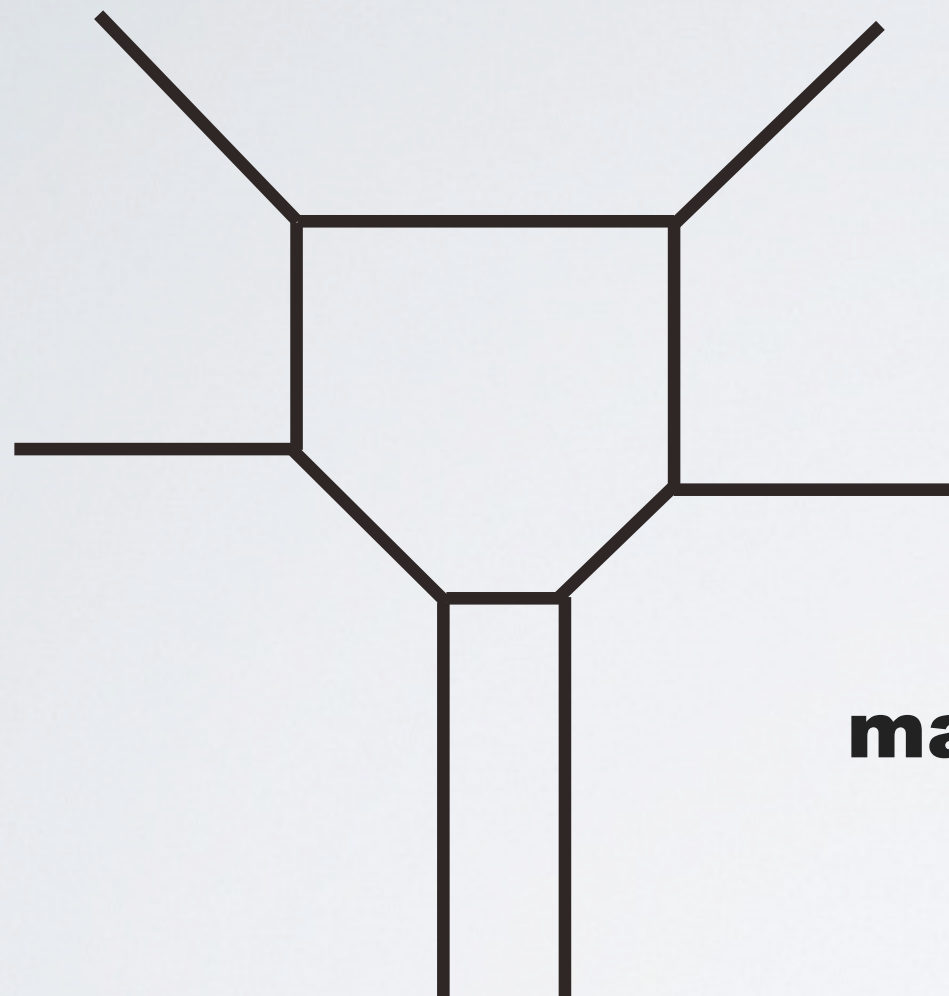


$N_f = 2$



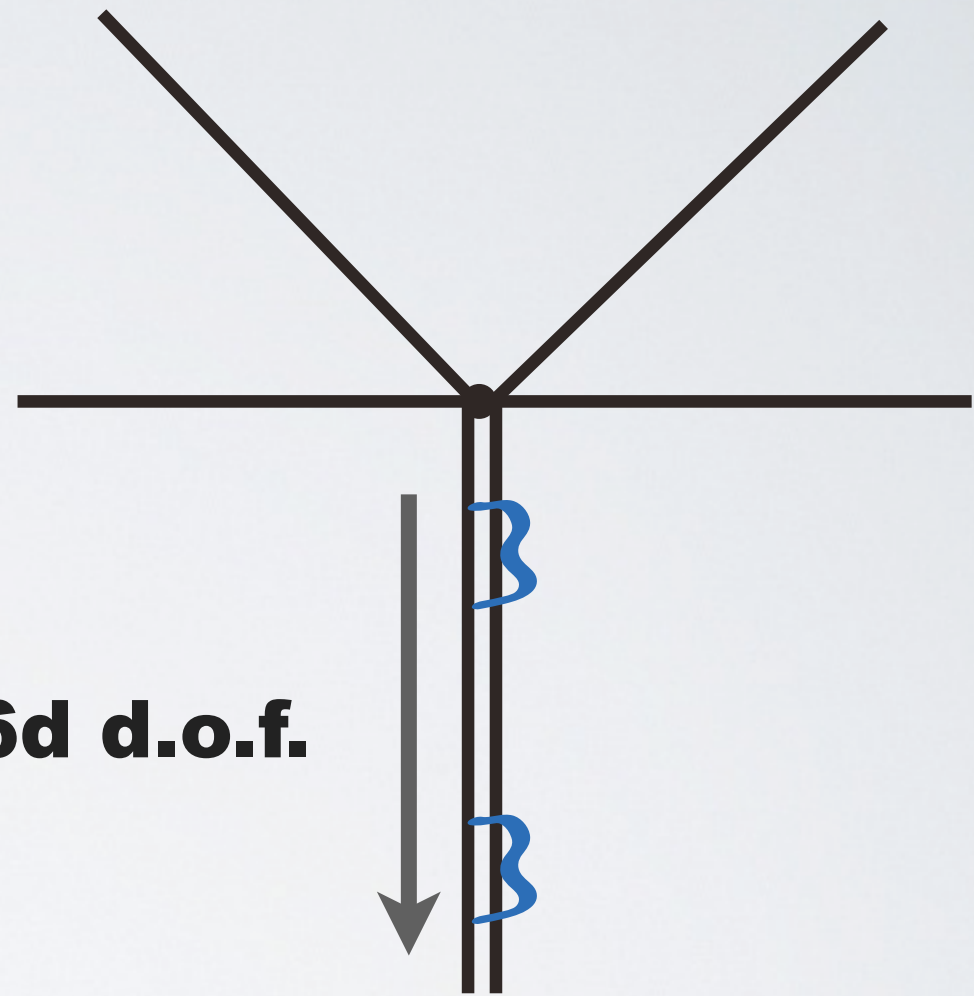
$E_3 ?$

5d gauge theory & topological string



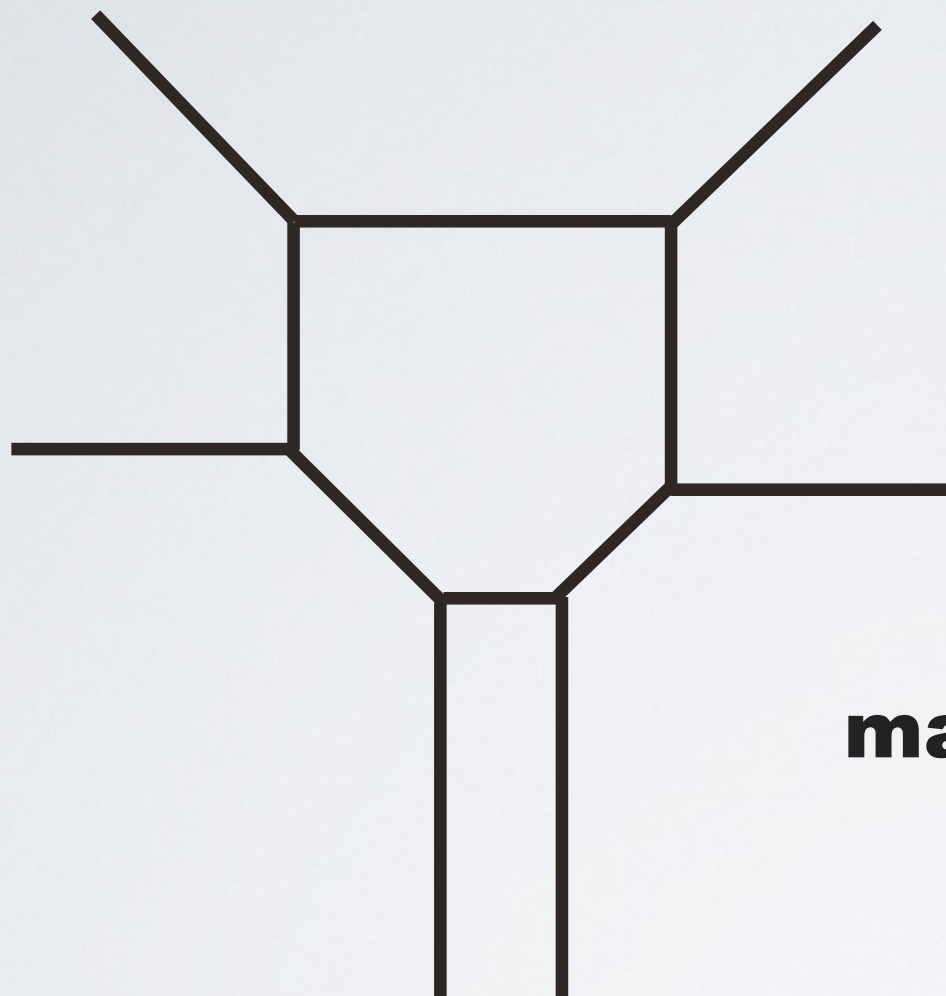
$N_f = 2$

massless 6d d.o.f.

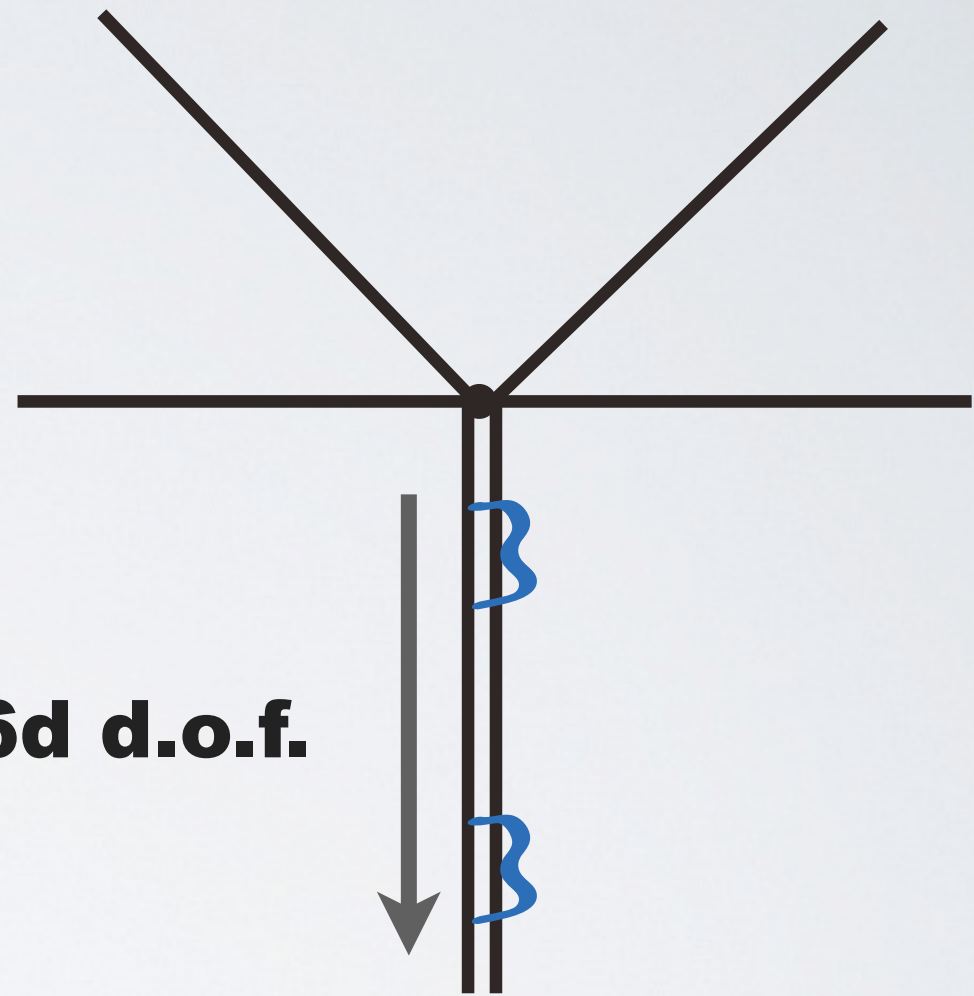


E_3 ?

5d gauge theory & topological string



$N_f = 2$



massless 6d d.o.f.

NOT 5d SCFT !

5d gauge theory & topological string

Modified statement

[Bao-Mitev-Pomoni-M.T.-Yagi] [Hayashi-Kim-Nishinaka]

$Z_{\text{top. str.}}$

$\parallel$

genuine $Z_{\text{Nek}}^{5\text{d}}$ for $\text{SU}(2)$

with 6d additional contribution

5d gauge theory & topological string

Modified statement

[Bao-Mitev-Pomoni-M.T.-Yagi] [Hayashi-Kim-Nishinaka]

conventional $SU(2)$ ($U(2)$) Nekrasov p. f.

$\parallel$

$Z_{\text{top. str.}}$

$\parallel$

genuine Z_{Nek}^{5d} + extra contribution

5d gauge theory & topological string

Claim [BMPT] [HKN]

$$Z_{\text{Nek}}^{5\text{d}} = \frac{Z_{\text{top. str.}}}{Z_{\text{extra}}}$$

$$I = \frac{I[Z_{\text{top. str.}}]}{I_{\text{extra}}}$$

extra contribution can be factored out

5d gauge theory & topological string

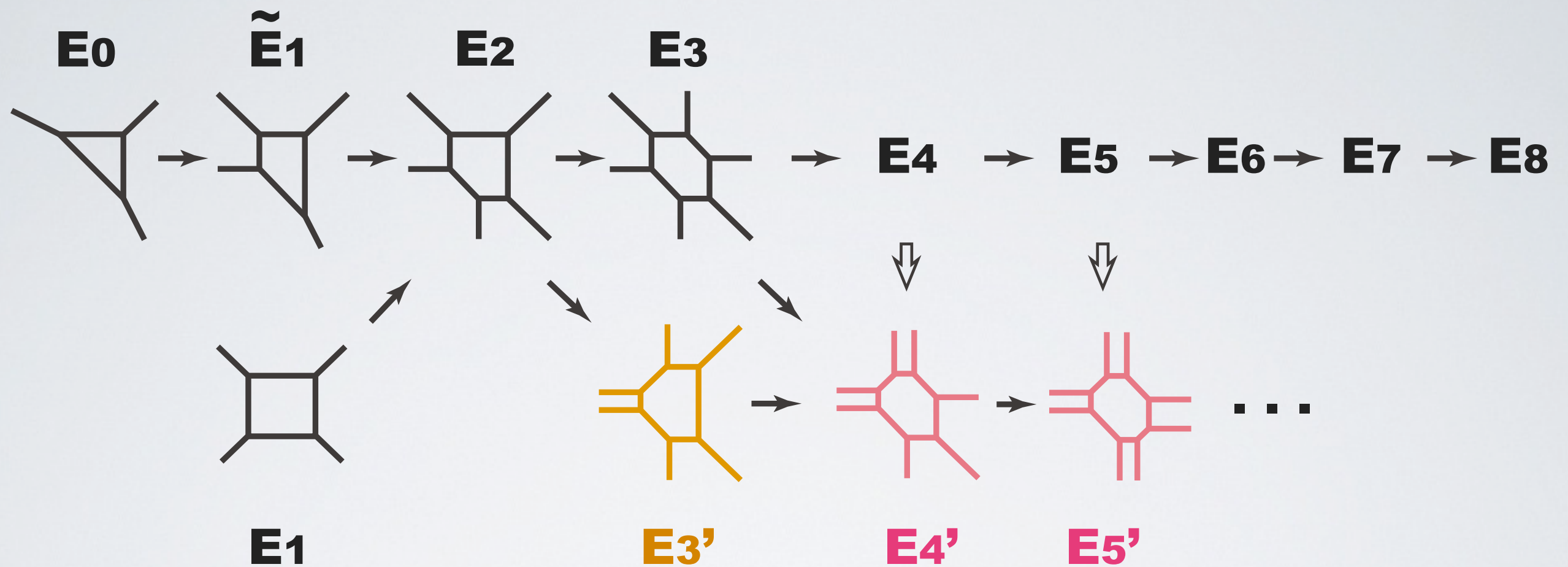
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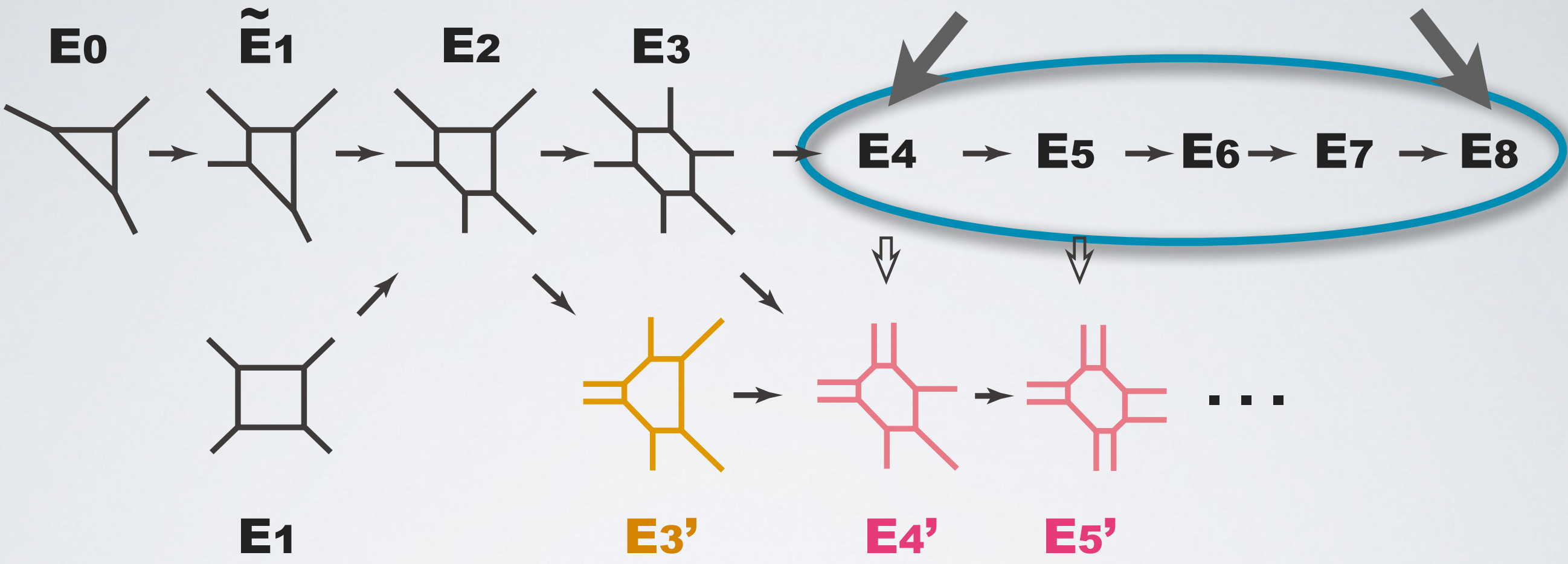
Q. How can we compute Z_{extra} & I_{extra} ??

Extra contribution

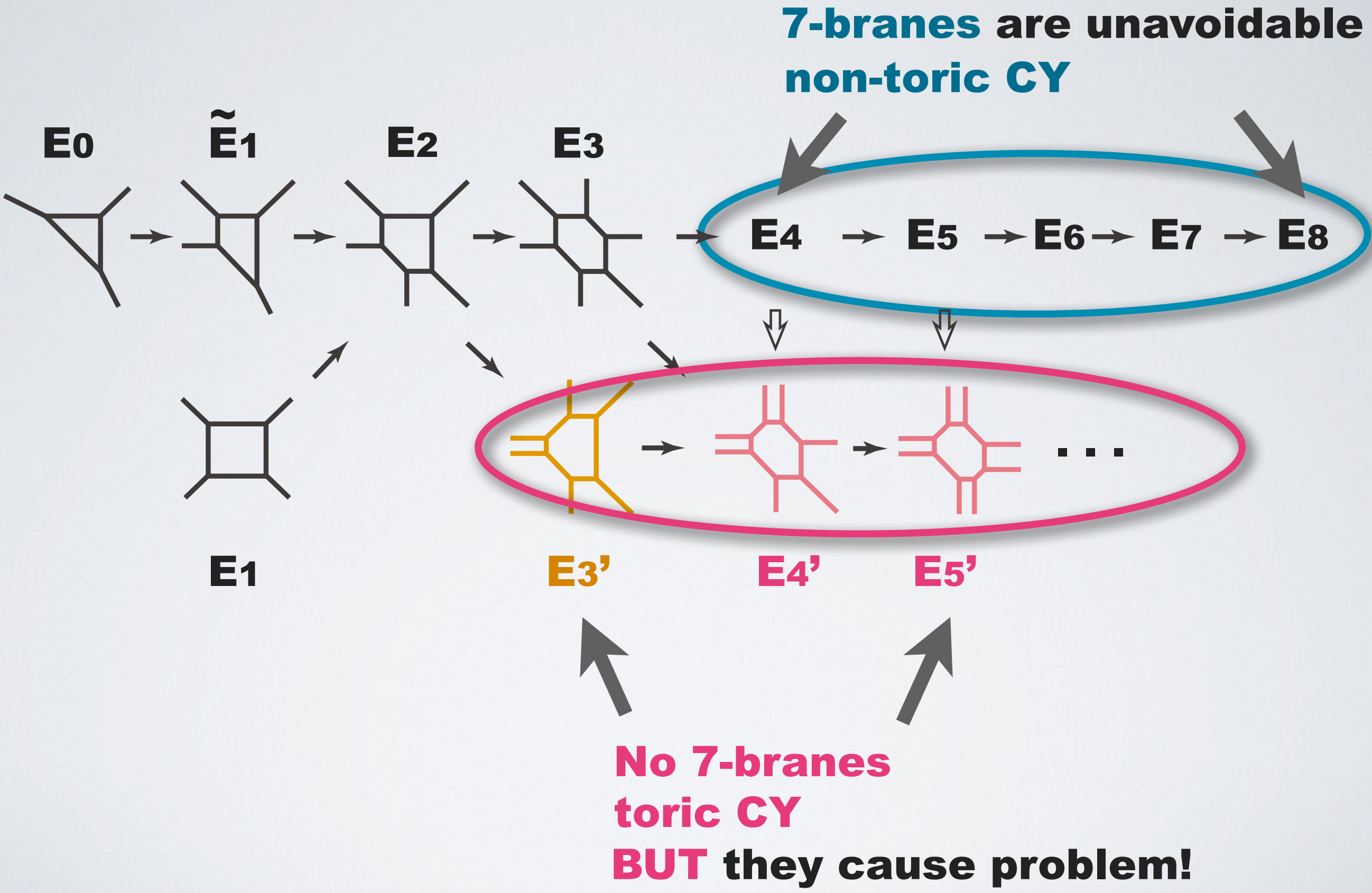


Extra contribution

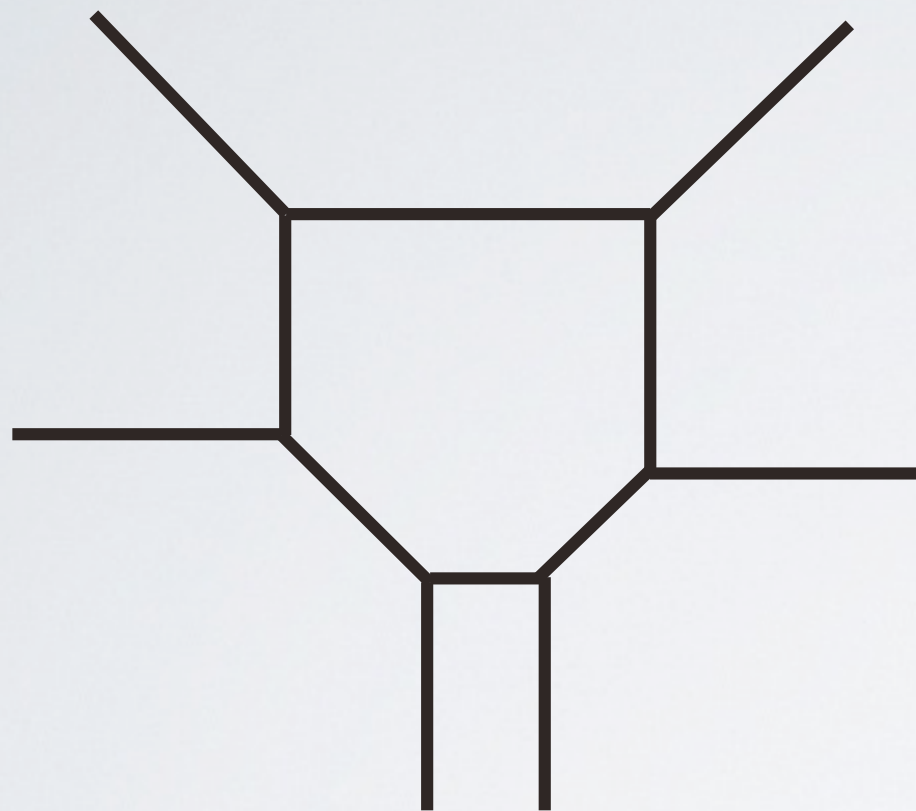
7-branes are unavoidable
non-toric CY



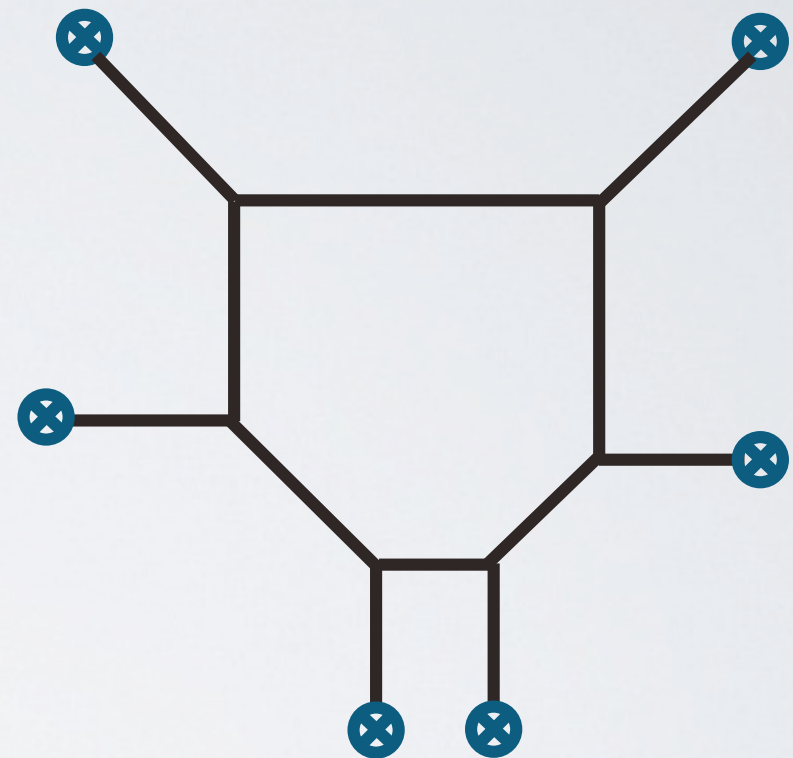
Extra contribution



Extra contribution: typical example

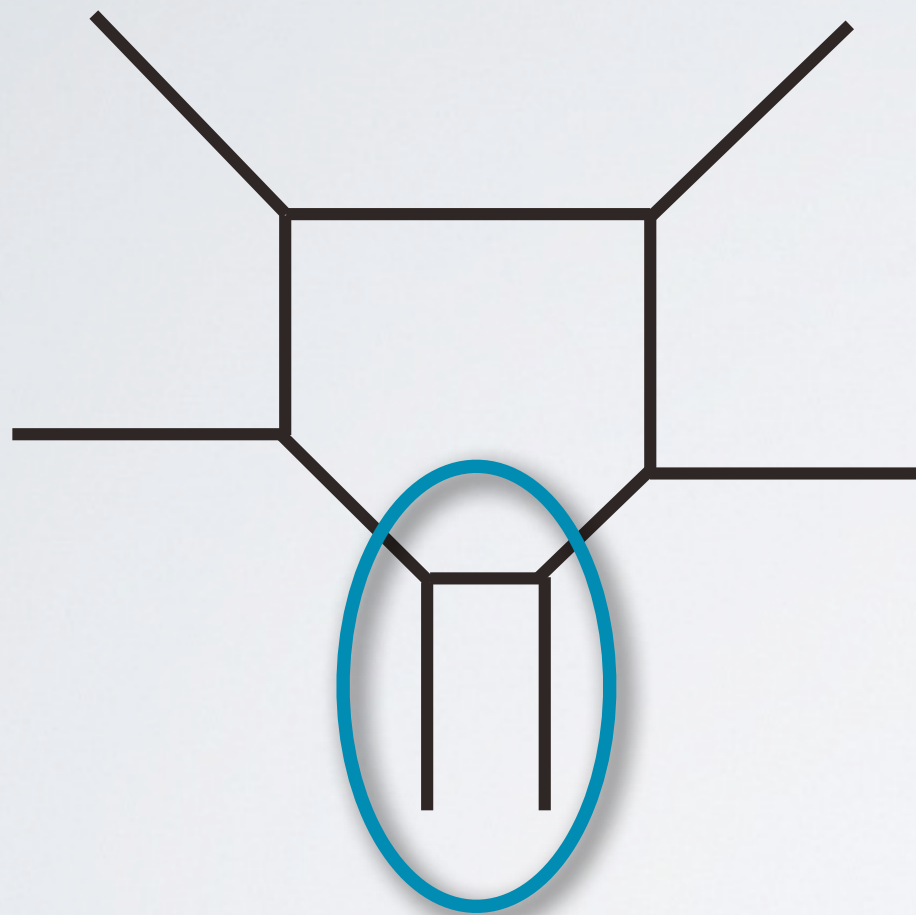


$Z_{\text{top. str.}}$

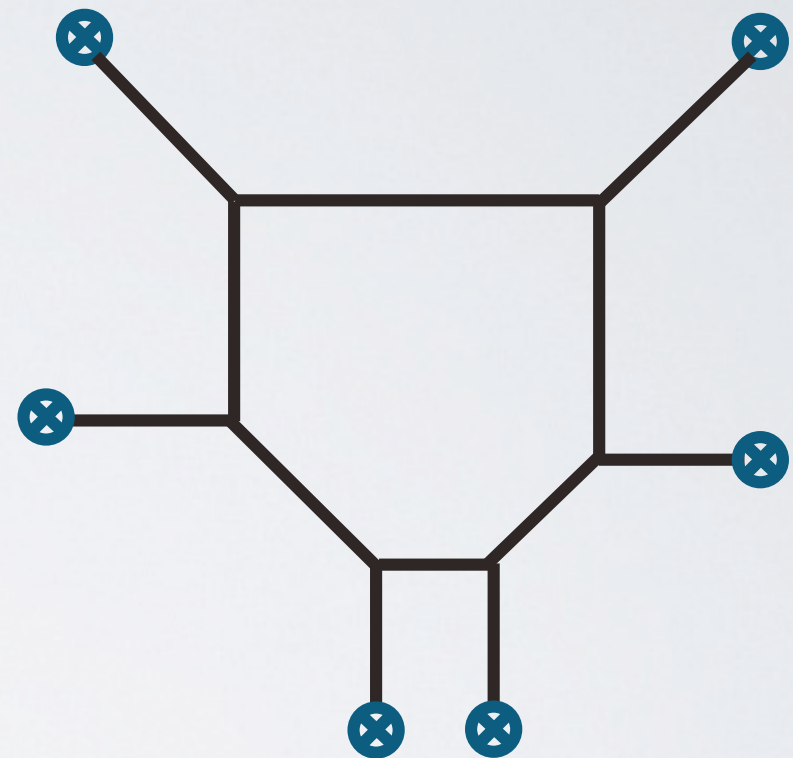


genuine Z_{Nek}^{5d}

Extra contribution: typical example

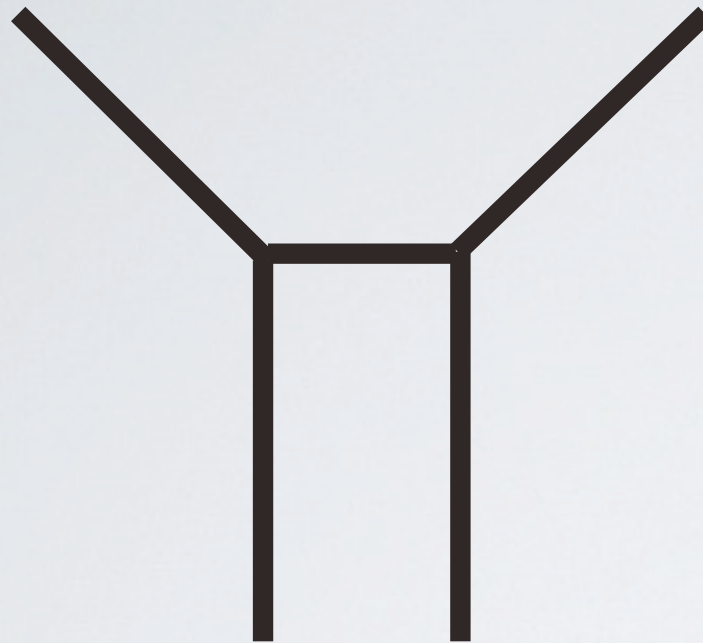


$Z_{\text{top. str.}}$

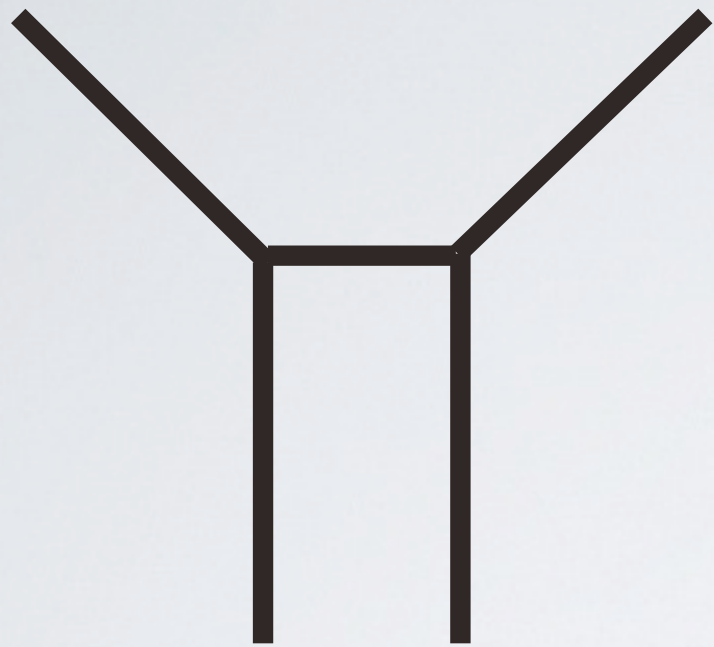


genuine Z_{Nek}^{5d}

Extra contribution: typical example


$$= Z_{\text{extra}}$$

Extra contribution: typical example



$$= Z_{\text{extra}}$$

$$= \frac{1}{\prod_{i,j=1}^{\infty} (1 - u e^{-R(m_1+m_2)} t^i q^{j-1})}$$

Extra contribution

Then we can check the appearance of E_3

$$I = \frac{I[Z_{\text{top. str.}}]}{I_{\text{extra}}}$$

Extra contribution

Then we can also check

$$I = \frac{I[Z_{\text{top. str.}}]}{I_{\text{extra}}}$$

for E₃, E₄, E₅, E₆... theories !

4. E_6 SCFT

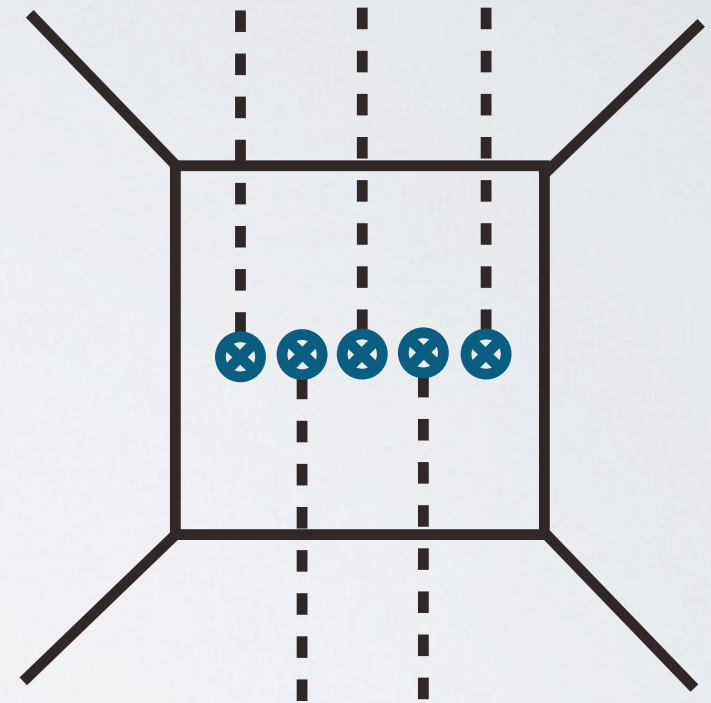
&

Gaiotto's T_3 SCFT

E₆ SCFT

SU(2) theory with 5 flavors

✓ **web involves 7-branes**



✓ **CY is not toric (no genuine web)**

E_6 SCFT [Benini-Benvenuti-Tachikawa,'09]

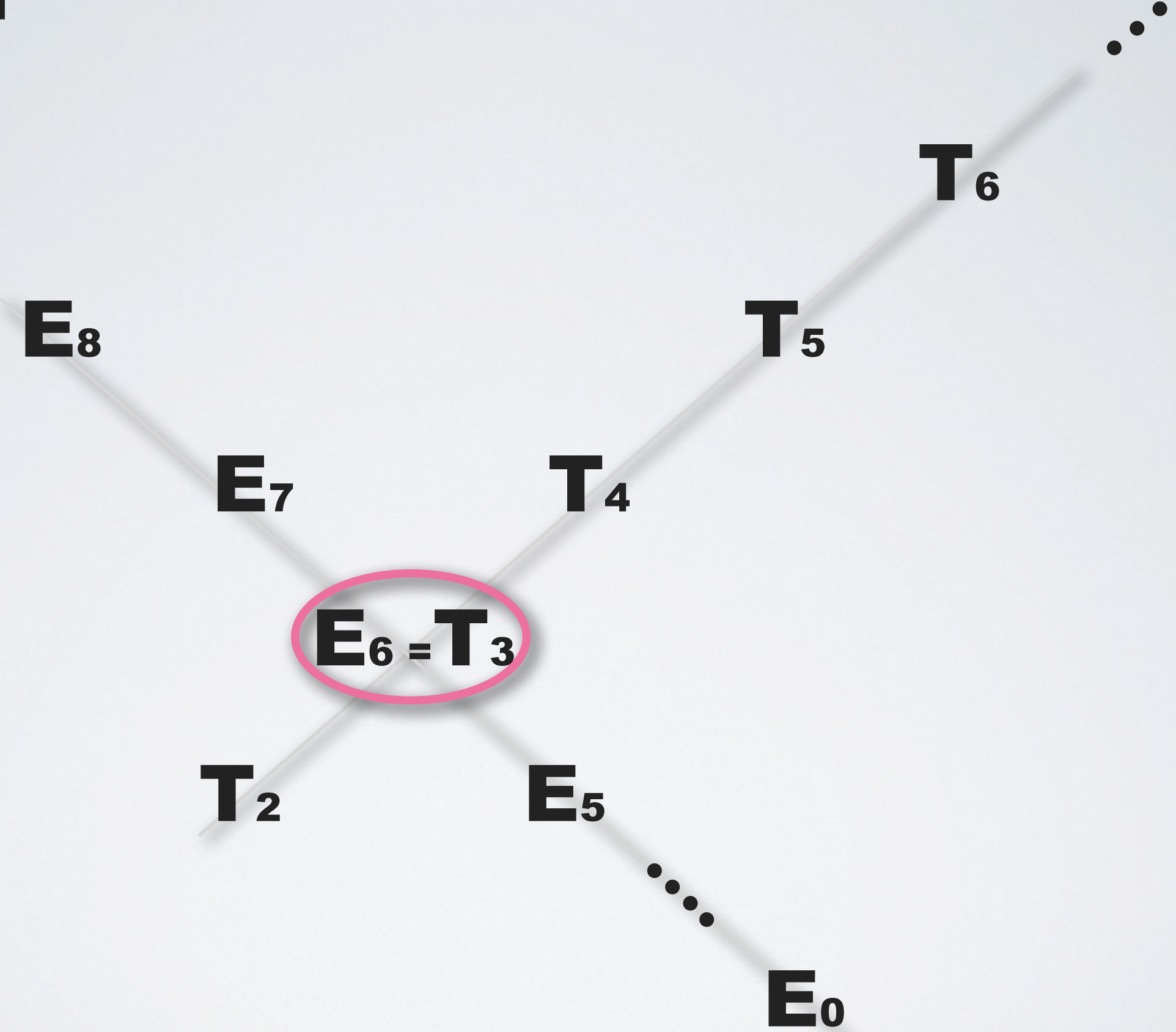
three M5-branes on sphere (Gaiotto's 4d T_3)

✓ **5d uplift of the Gaiotto theory**

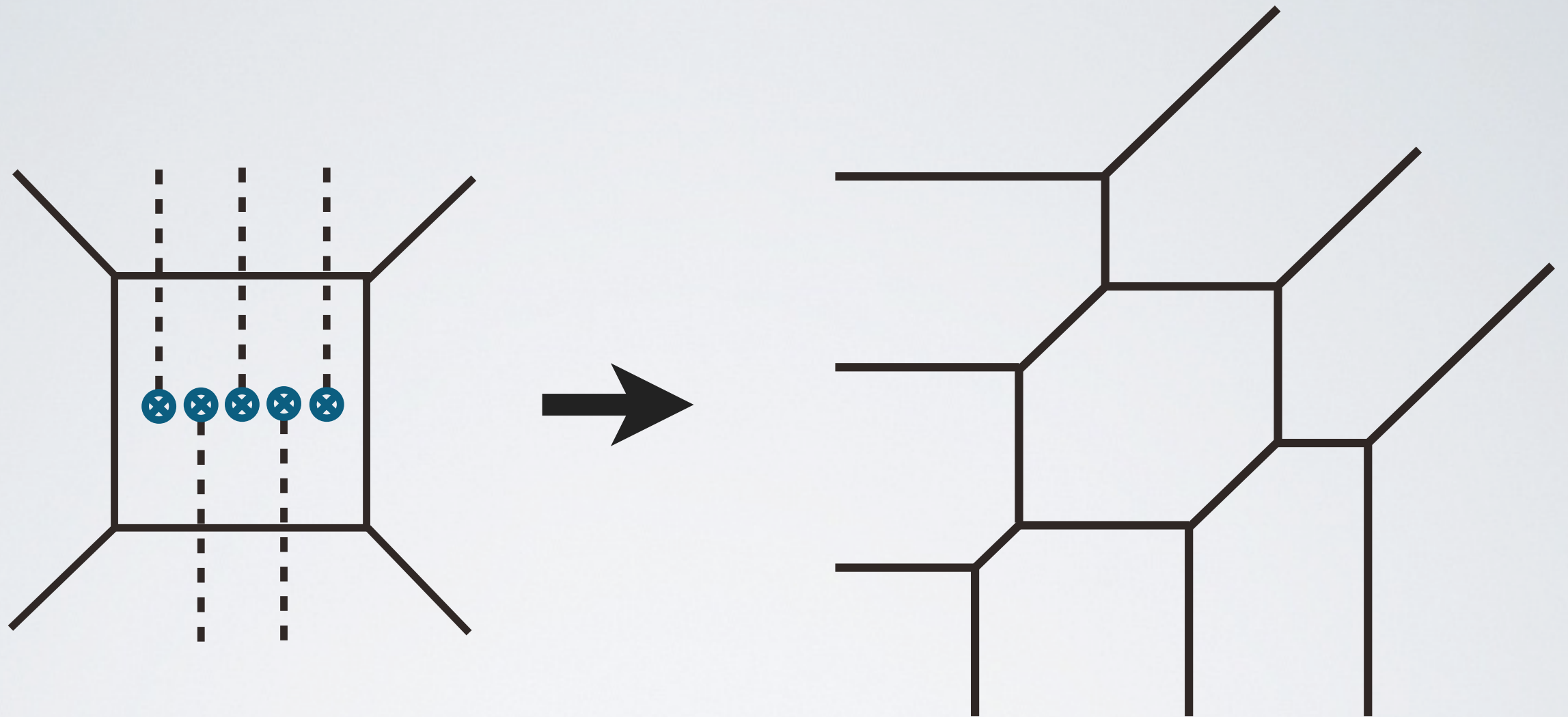


✓ **N M5s gives T_N theory**

E₆ SCFT

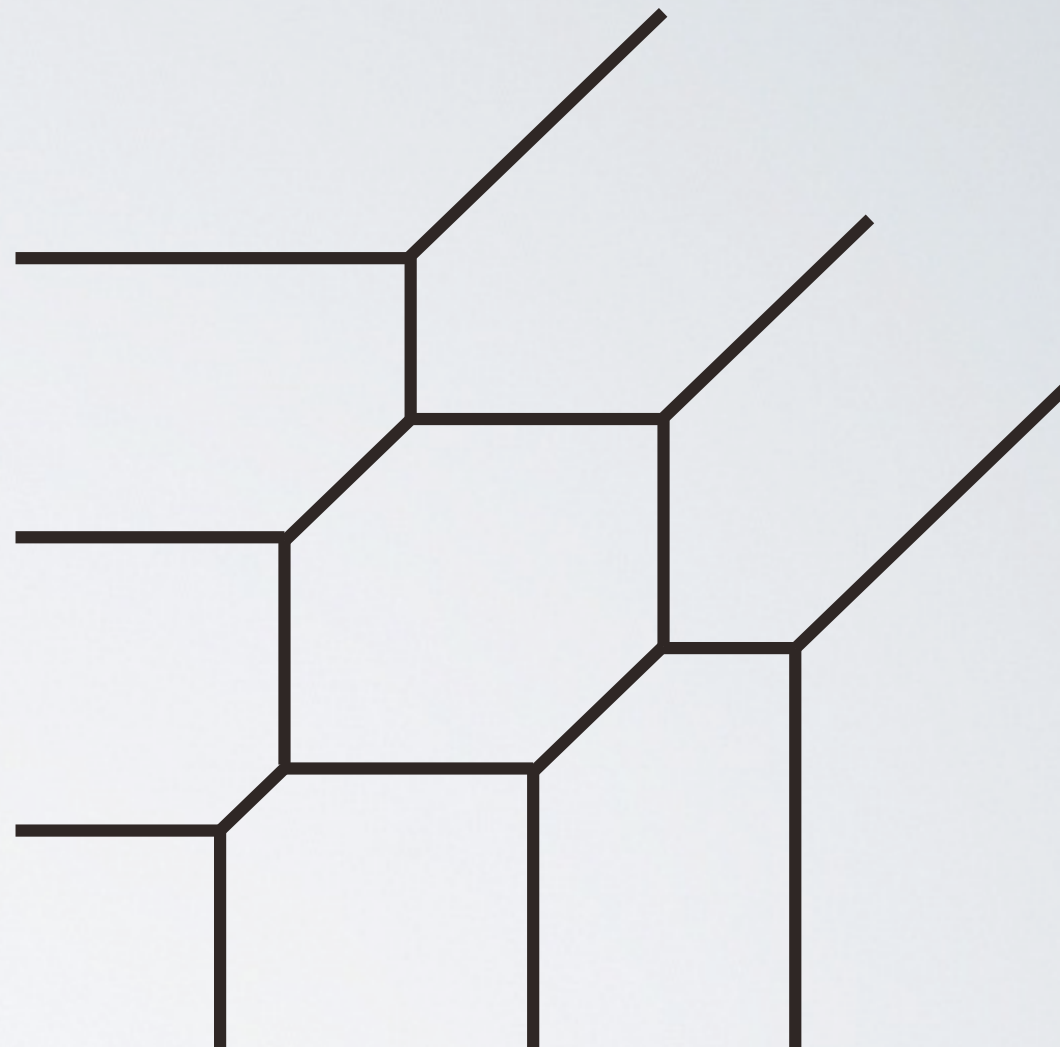
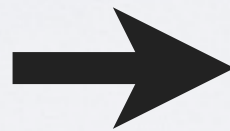
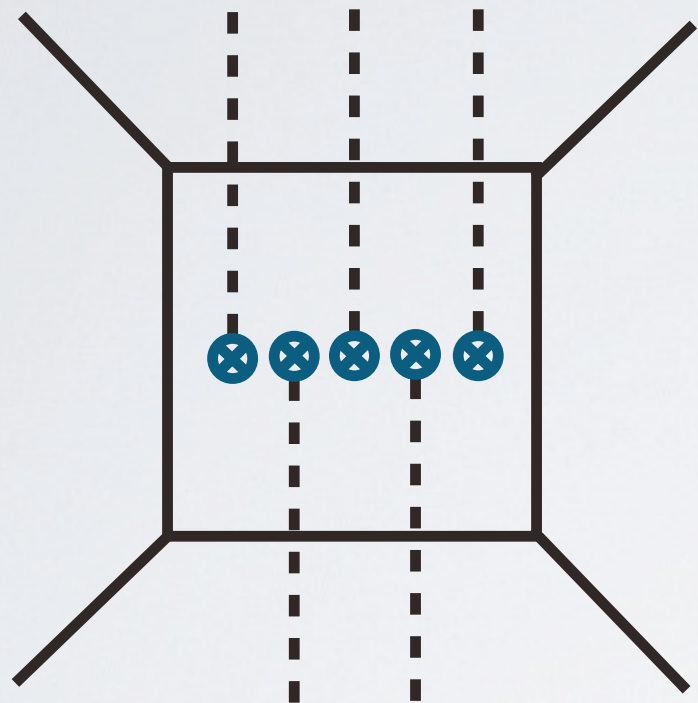


E_6 superconformal index



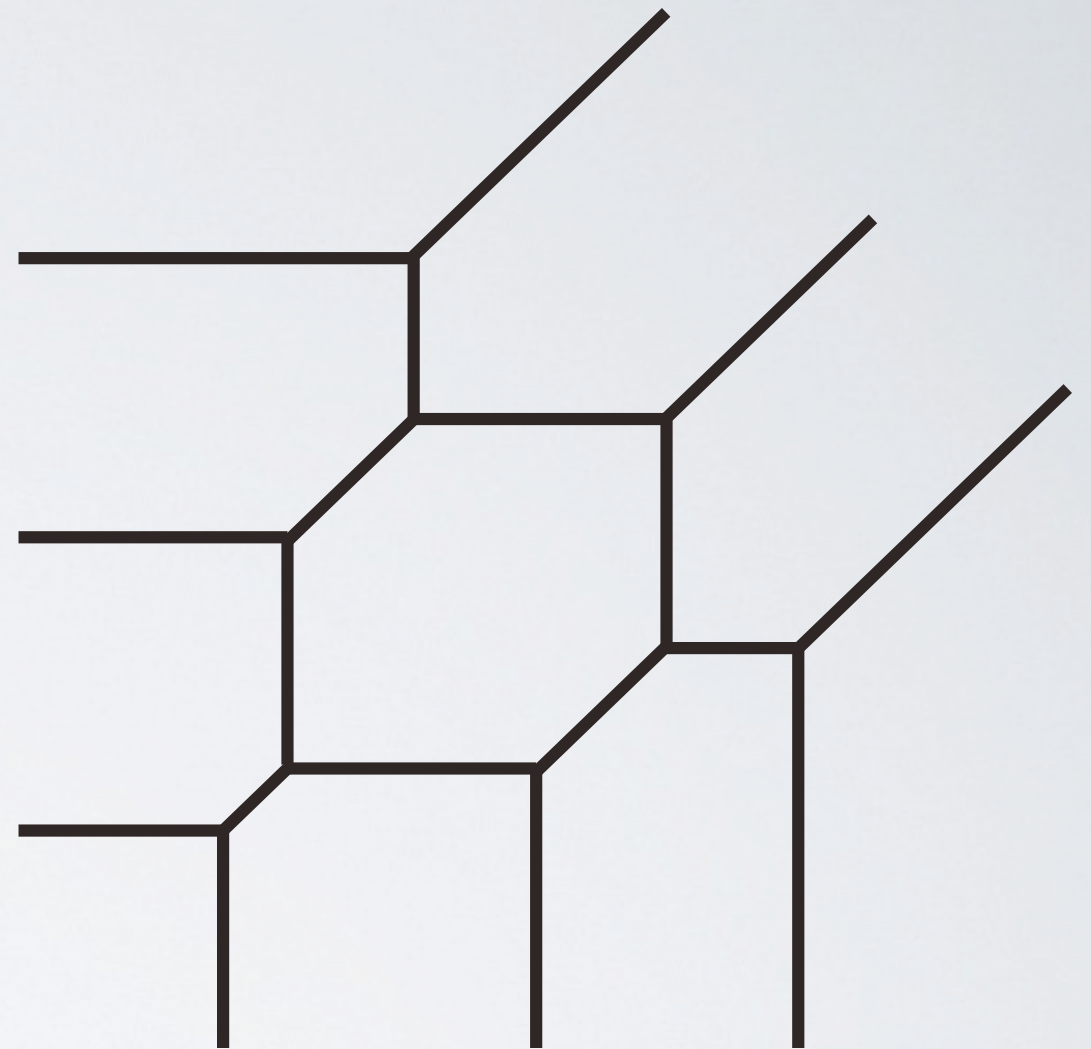
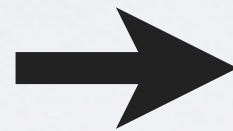
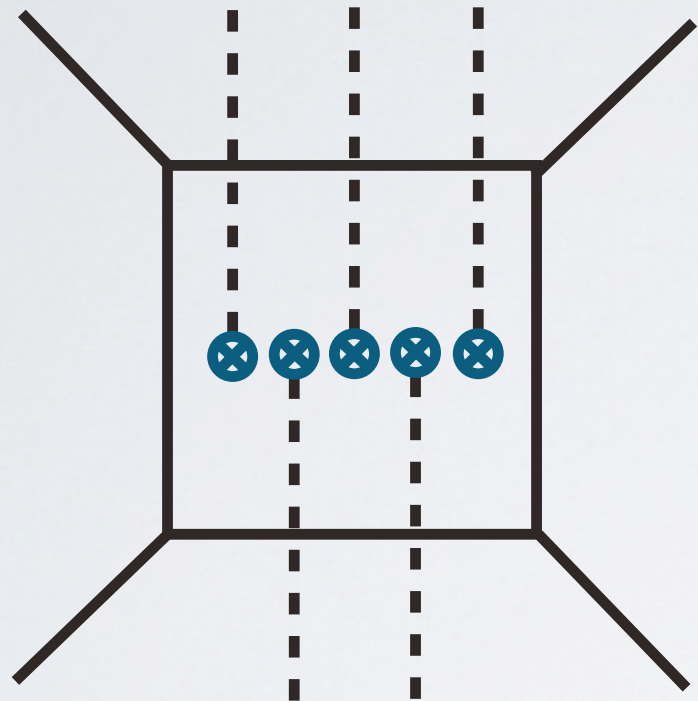
[Benini-Benvenuti-Tachikawa,'09]

E_6 superconformal index



5-brane junction

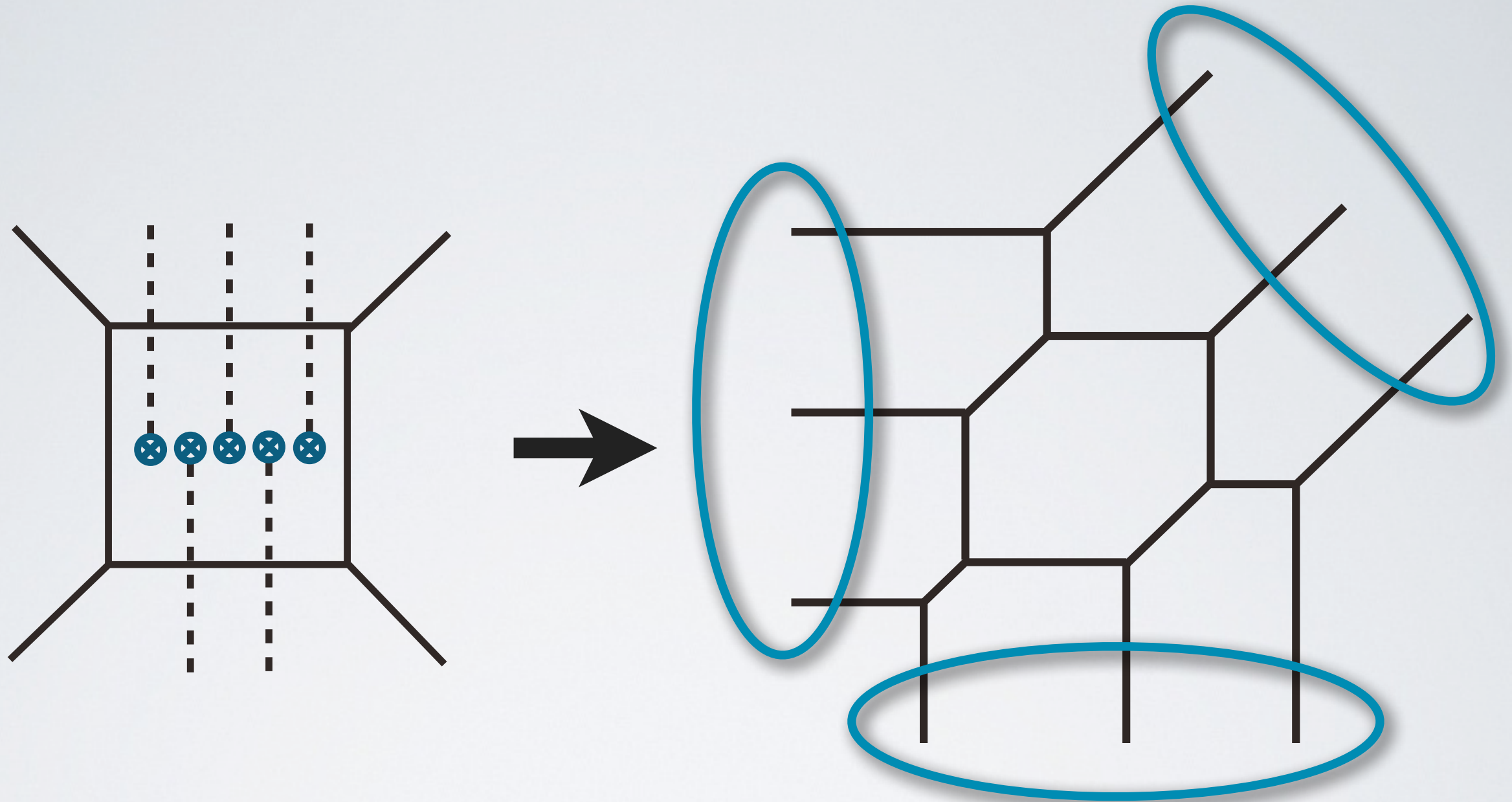
E_6 superconformal index



**we need this web
partition function**

**we can compute it by
topological vertex**

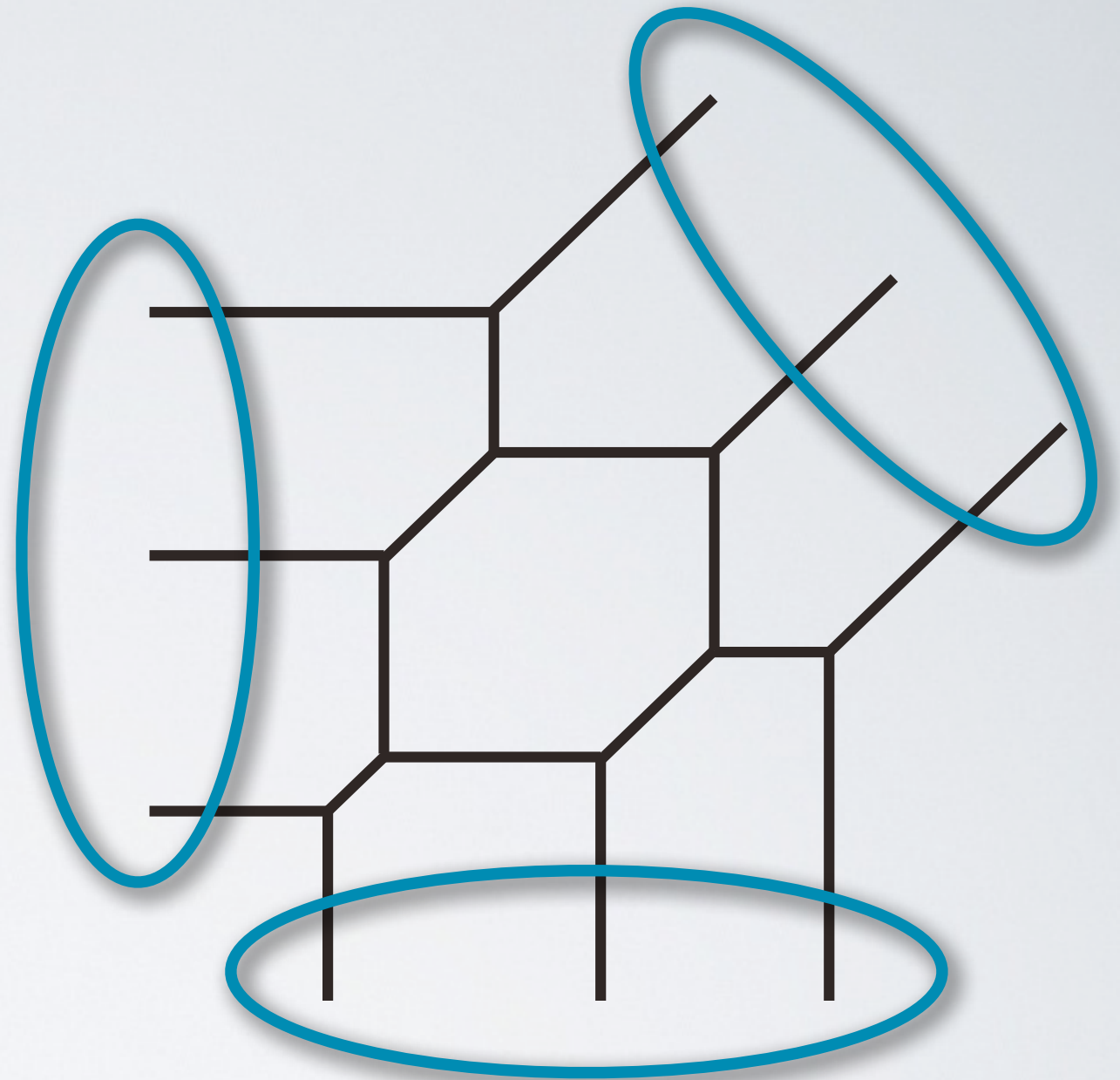
E_6 superconformal index



**these three stacks
cause the discrepancy**

E_6 superconformal index

$$I_{E_6} = \frac{I_{T_3 \text{ web}}}{I_{3 \text{ stacks}}}$$



**these three stacks
cause the discrepancy**

E_6 superconformal index

$$\begin{aligned} I_{E_6} &= \frac{I_{T_3 \text{ web}}}{I_{3 \text{ stacks}}} \\ &= 1 + \chi_{78}^{E_6} x^2 + (1 + \chi_{78}^{E_6}) \chi_1(y) x^3 \\ &\quad + (1 + (1 + \chi_{78}^{E_6}) \chi_2(y) + \chi_{2430}^{E_6}) x^4 + \dots \end{aligned}$$

agrees with [Kim-Kim-Lee]'s $Sp(1)$ index !!

generalization

Our method should work for generic theories without purely 5-brane web description (i.e. generalized webs involving 7-branes)

→ new theory !?

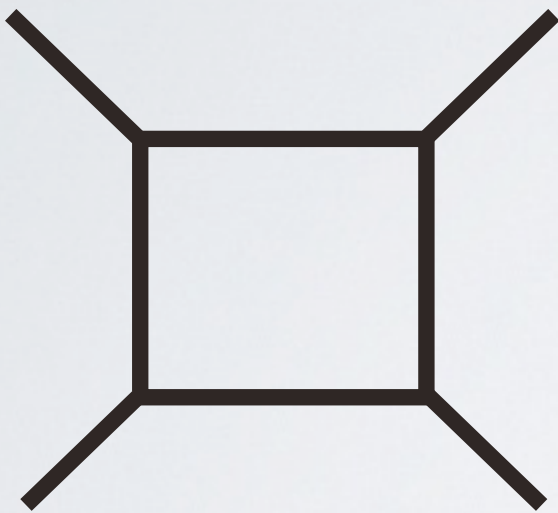
These set-up are dual to Tyrell theory on complicated singularities. So far it is difficult to deal with them.

rank-one theories [M.T. to appear]

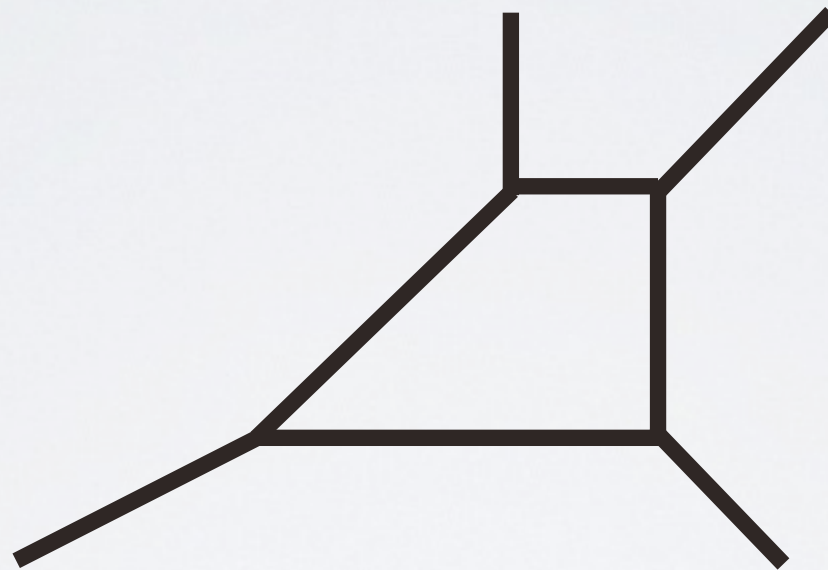
discussion so far

[Douglas-Katz-Vafa,'97][Aharony-Hanany,'97]

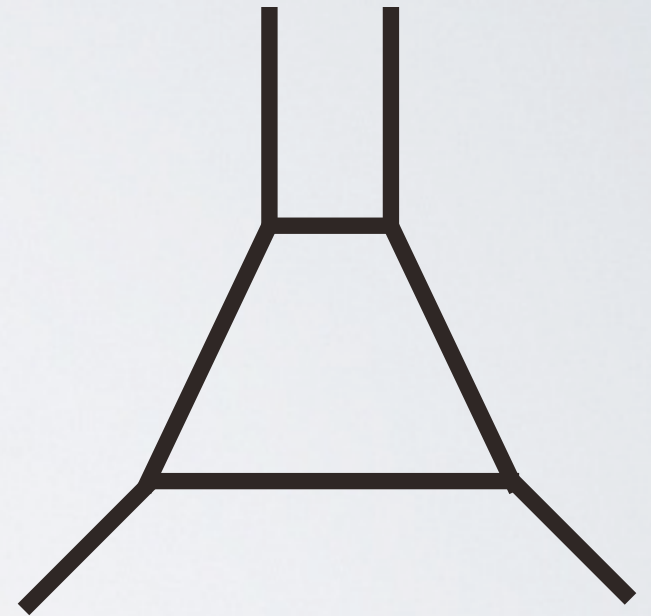
[Aharony-Hanany-Kol,'97] ...



F_0



F_1



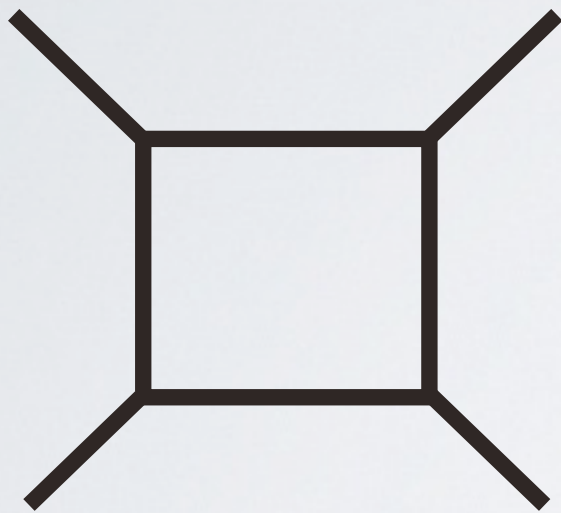
F_2

rank-one theories [M.T. to appear]

discussion so far

[Douglas-Katz-Vafa,'97][Aharony-Hanany,'97]

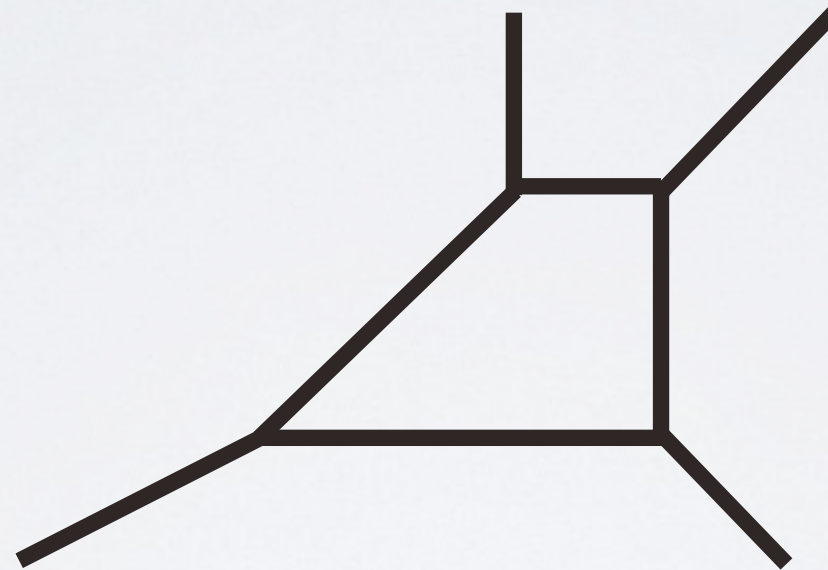
[Aharony-Hanany-Kol,'97] ...



$\mathbb{F}_0$

$\mathbf{E}_1$

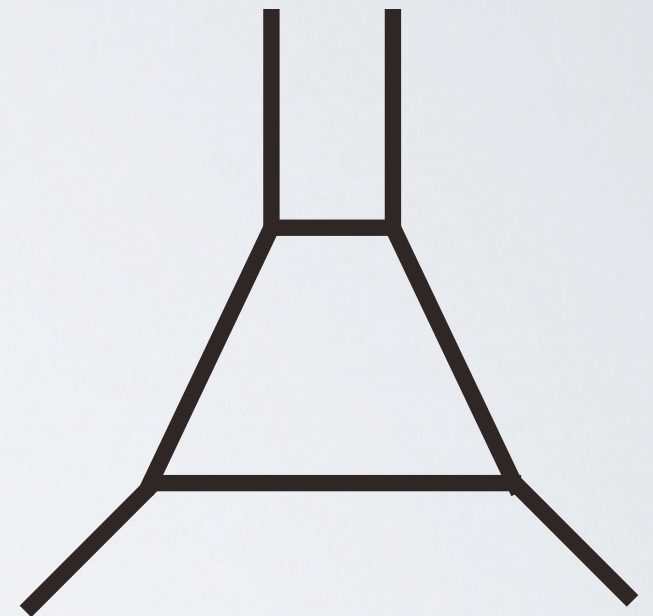
$\mathrm{SU}(2)$ YM $\theta=0$



$\mathbb{F}_1$

$\tilde{\mathbf{E}}_1$

$\mathrm{SU}(2)$ YM $\theta=\pi$



$\mathbb{F}_2$

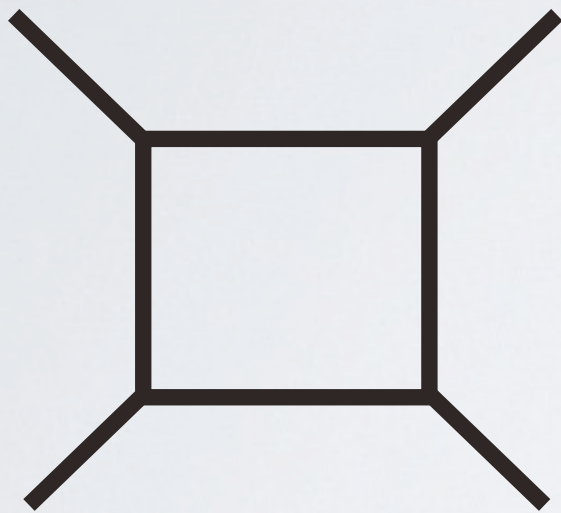
??

rank-one theories [M.T. to appear]

discussion so far

[Douglas-Katz-Vafa,'97][Aharony-Hanany,'97]

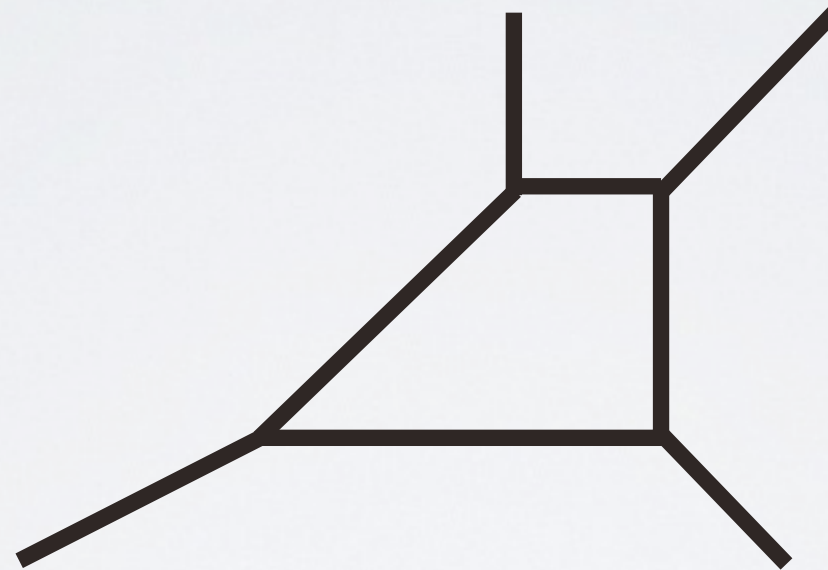
[Aharony-Hanany-Kol,'97] ...



$\mathbb{F}_0$

$\mathbf{E}_1$

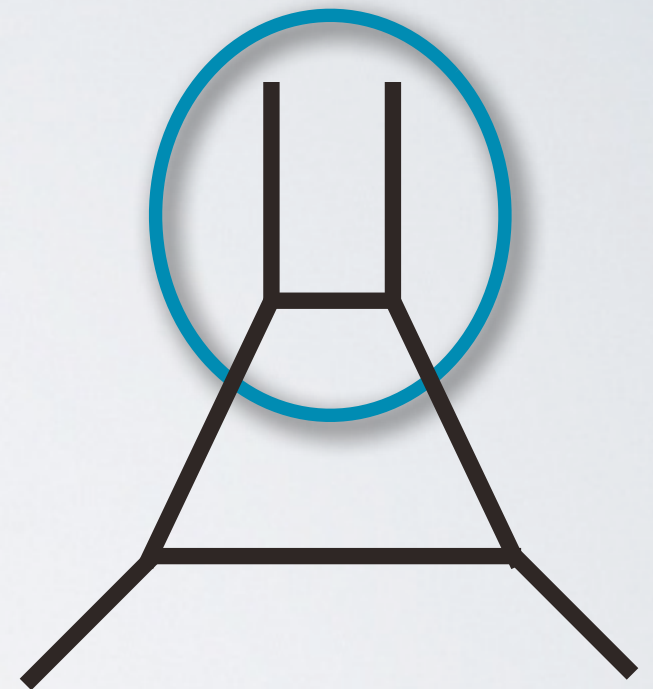
$\mathrm{SU}(2)$ YM $\theta=0$



$\mathbb{F}_1$

$\tilde{\mathbf{E}}_1$

$\mathrm{SU}(2)$ YM $\theta=\pi$



$\mathbb{F}_2$

??

$$I_{\mathbb{F}_2}(u; x, y)$$

$$\begin{aligned}
&= 1 + \chi_3^{E_1}(u)x^2 + \chi_2(y) (1 + \chi_3^{E_1}(u)) x^3 + \left\{ \chi_3(y) (1 + \chi_3^{E_1}(u)) + 1 + \chi_5^{E_1}(u) \right\} x^4 \\
&+ \left\{ \chi_4(y) (1 + \chi_3^{E_1}(u)) + \chi_2(y) (1 + \chi_3^{E_1}(u) + \chi_5^{E_1}(u)) \right\} x^5 \\
&+ \left\{ \chi_5(y) (1 + \chi_3^{E_1}(u)) + 2\chi_3(y) (1 + \chi_3^{E_1}(u) + \chi_5^{E_1}(u)) - 1 + \chi_3^{E_1}(u) + \chi_7^{E_1}(u) \right\} x^6 \\
&+ \left\{ \chi_6(y) (1 + \chi_3^{E_1}(u)) + \chi_4(y) (2 + 4\chi_3^{E_1}(u) + 2\chi_5^{E_1}(u)) \right. \\
&\quad \left. + \chi_3(y) (1 + 3\chi_3^{E_1}(u) + 2\chi_5^{E_1}(u) + \chi_7^{E_1}(u)) \right\} x^7 + \left\{ \chi_7(y) (1 + \chi_3^{E_1}(u)) \right. \\
&\quad \left. + \chi_5(y) (4 + 5\chi_3^{E_1}(u) + 3\chi_5^{E_1}(u)) + \chi_3(y) (2 + 7\chi_3^{E_1}(u) + 3\chi_5^{E_1}(u) + 2\chi_7^{E_1}(u)) \right. \\
&\quad \left. + 3 + 2\chi_3^{E_1}(u) + 2\chi_5^{E_1}(u) + \chi_9^{E_1}(u) \right\} x^8 + \left\{ \chi_8(y) (1 + \chi_3^{E_1}(u)) \right. \\
&\quad \left. + \chi_6(y) (4 + 7\chi_3^{E_1}(u) + 3\chi_5^{E_1}(u)) + \chi_4(y) (6 + 10\chi_3^{E_1}(u) + 6\chi_5^{E_1}(u) + 3\chi_7^{E_1}(u)) \right. \\
&\quad \left. + \chi_2(y) (4 + 7\chi_3^{E_1}(u) + 4\chi_5^{E_1}(u) + 2\chi_7^{E_1}(u) + \chi_9^{E_1}(u)) \right\} x^9 + \dots
\end{aligned}$$

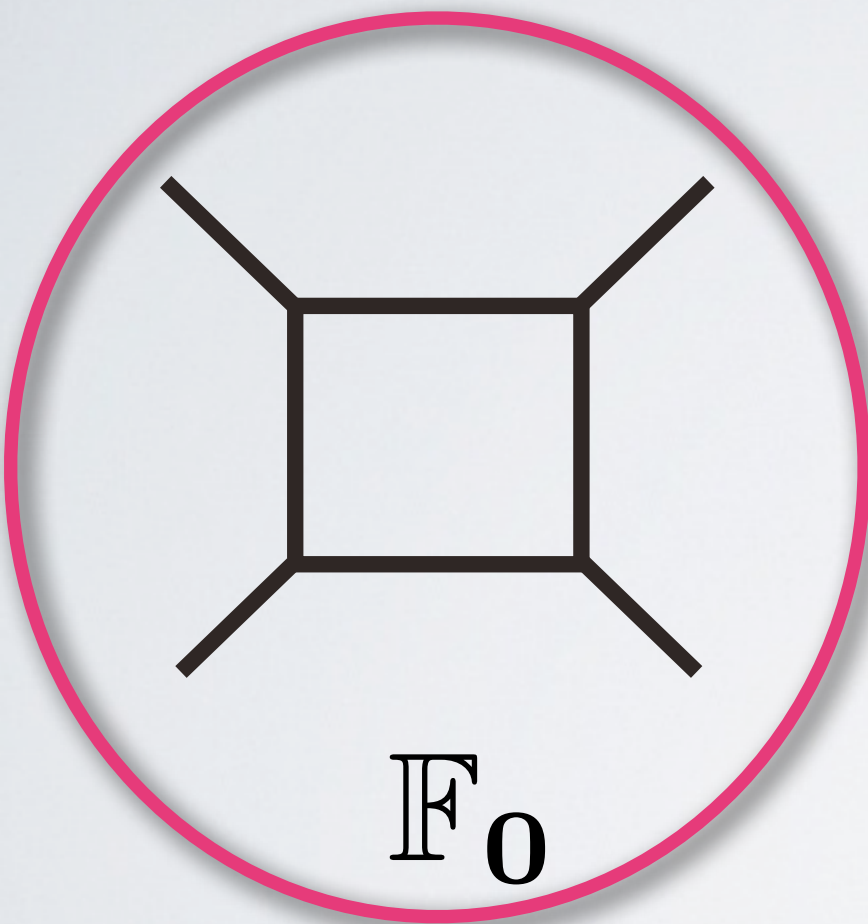
$$\mathbf{I}_{\mathbb{F}_2}(u; x, y)$$

$$\begin{aligned}
&= 1 + \chi_3^{E_1}(u)x^2 + \chi_2(y) \left(1 + \chi_3^{E_1}(u)\right) x^3 + \left\{ \chi_3(y) \left(1 + \chi_3^{E_1}(u)\right) + 1 + \chi_5^{E_1}(u) \right\} x^4 \\
&+ \left\{ \chi_4(y) \left(1 + \chi_3^{E_1}(u)\right) + \chi_2(y) \left(1 + \chi_3^{E_1}(u) + \chi_5^{E_1}(u)\right) \right\} x^5 \\
&+ \left\{ \chi_5(y) \left(1 + \chi_3^{E_1}(u)\right) + 2\chi_3(y) \left(1 + \chi_3^{E_1}(u) + \chi_5^{E_1}(u)\right) - 1 + \chi_3^{E_1}(u) + \chi_7^{E_1}(u) \right\} x^6 \\
&+ \left\{ \chi_6(y) \left(1 + \chi_3^{E_1}(u)\right) + \chi_4(y) \left(2 + 4\chi_3^{E_1}(u) + 2\chi_5^{E_1}(u)\right) \right. \\
&\left. + \chi_3(y) \left(1 + 3\chi_3^{E_1}(u) + 2\chi_5^{E_1}(u) + \chi_7^{E_1}(u)\right) \right\} x^7 + \left\{ \chi_7(y) \left(1 + \chi_3^{E_1}(u)\right) \right. \\
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&\left. + \chi_6(y) \left(4 + 7\chi_3^{E_1}(u) + 3\chi_5^{E_1}(u)\right) + \chi_4(y) \left(6 + 10\chi_3^{E_1}(u) + 6\chi_5^{E_1}(u) + 3\chi_7^{E_1}(u)\right) \right. \\
&\left. + \chi_2(y) \left(4 + 7\chi_3^{E_1}(u) + 4\chi_5^{E_1}(u) + 2\chi_7^{E_1}(u) + \chi_9^{E_1}(u)\right) \right\} x^9 + \dots
\end{aligned}$$

$$= \mathbf{I}_{\mathbb{F}_0}(u; x, y)$$

rank-one theories [M.T. to appear]

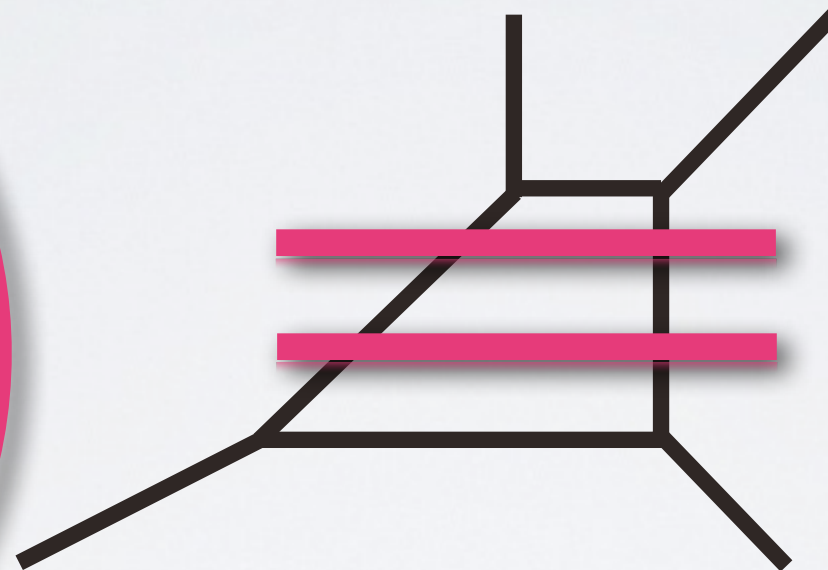
Computing index, we find



F_0

E_1

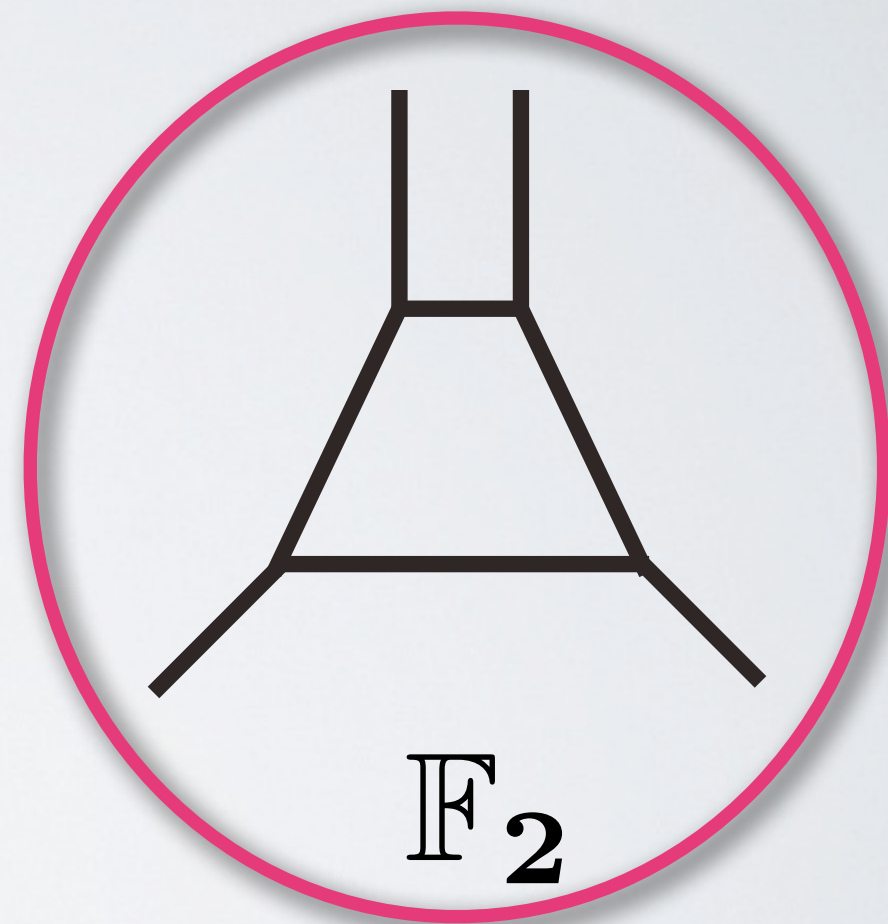
$SU(2)$ YM $\theta=0$



F_1

$\tilde{E}_1$

$SU(2)$ YM $\theta=\pi$



F_2

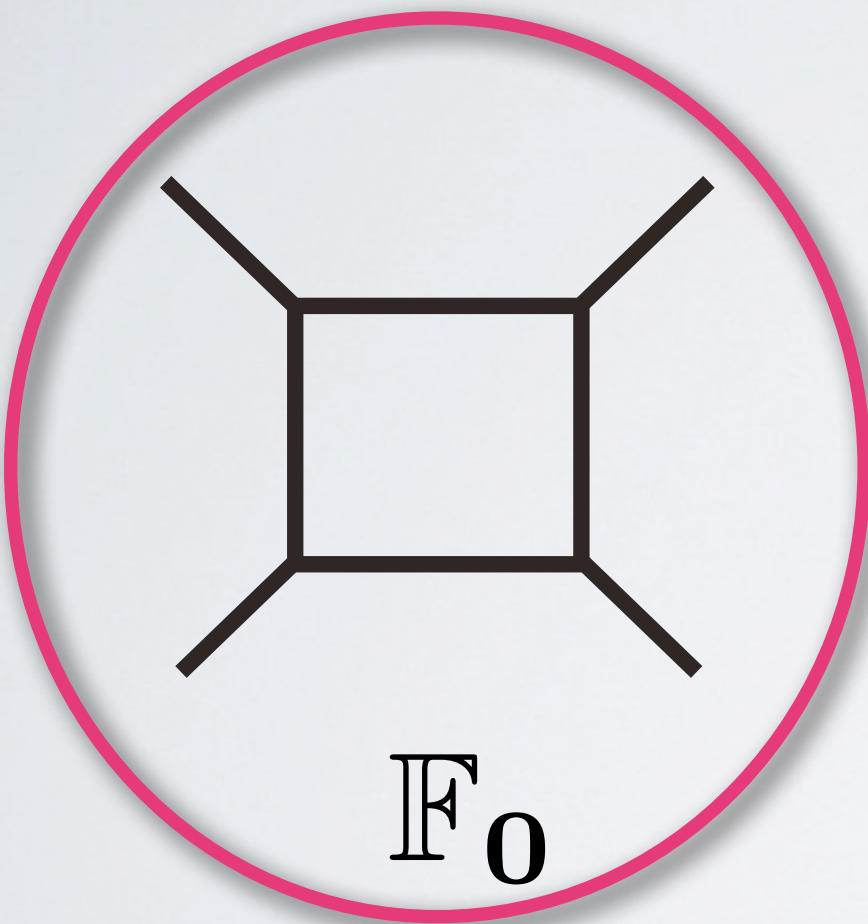
E_1

$SU(2)$ YM $\theta=0$

rank-one theories [M.T. to appear]

[Bergman-Gomez-Zafrir,'13]

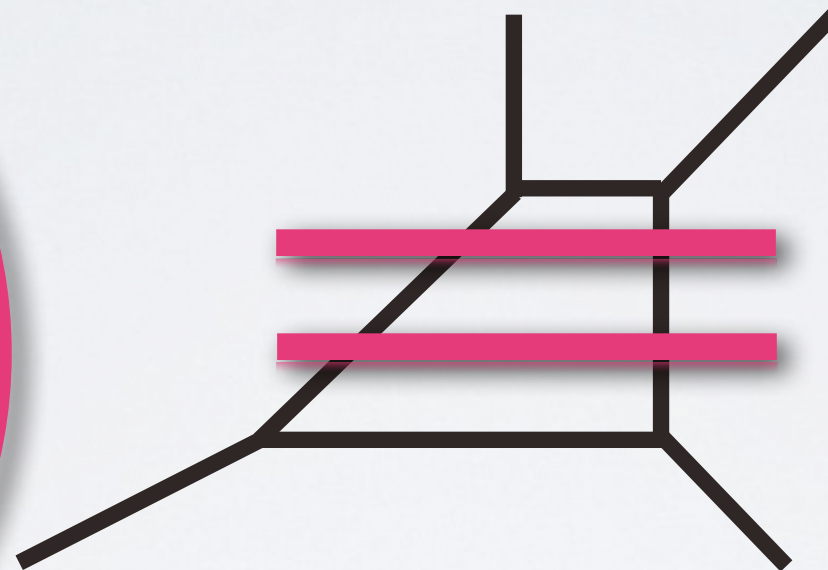
Computing index, we find



F_0

E_1

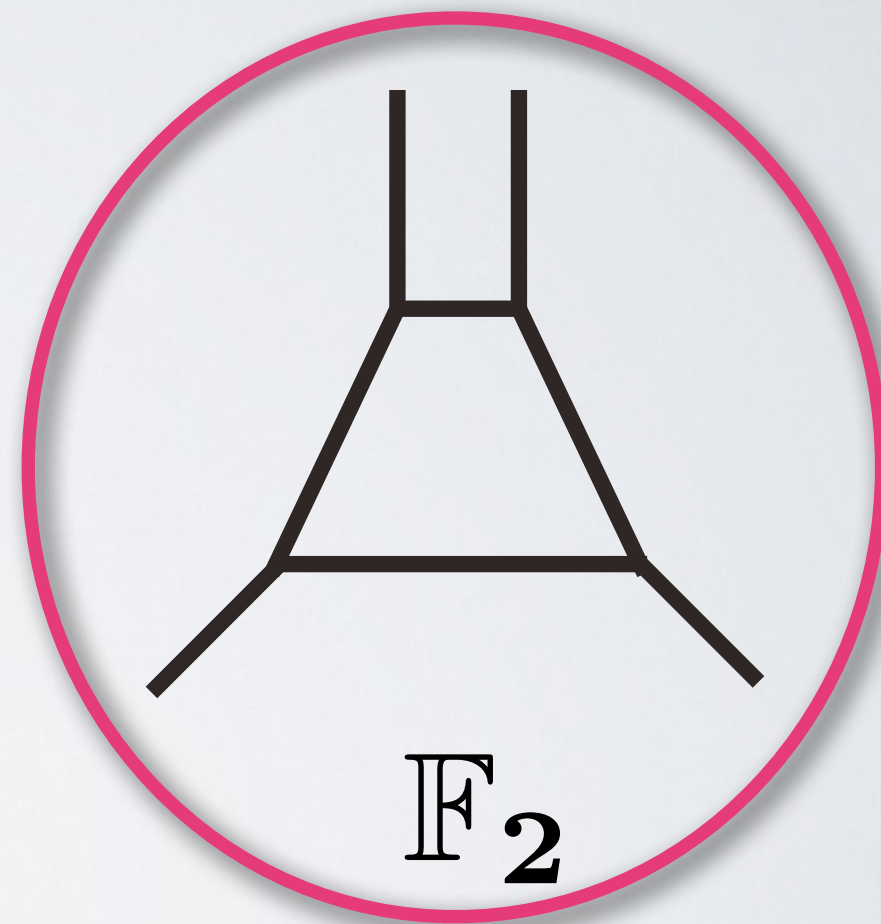
SU(2) YM $\theta=0$



F_1

$\tilde{E}_1$

SU(2) YM $\theta=\pi$

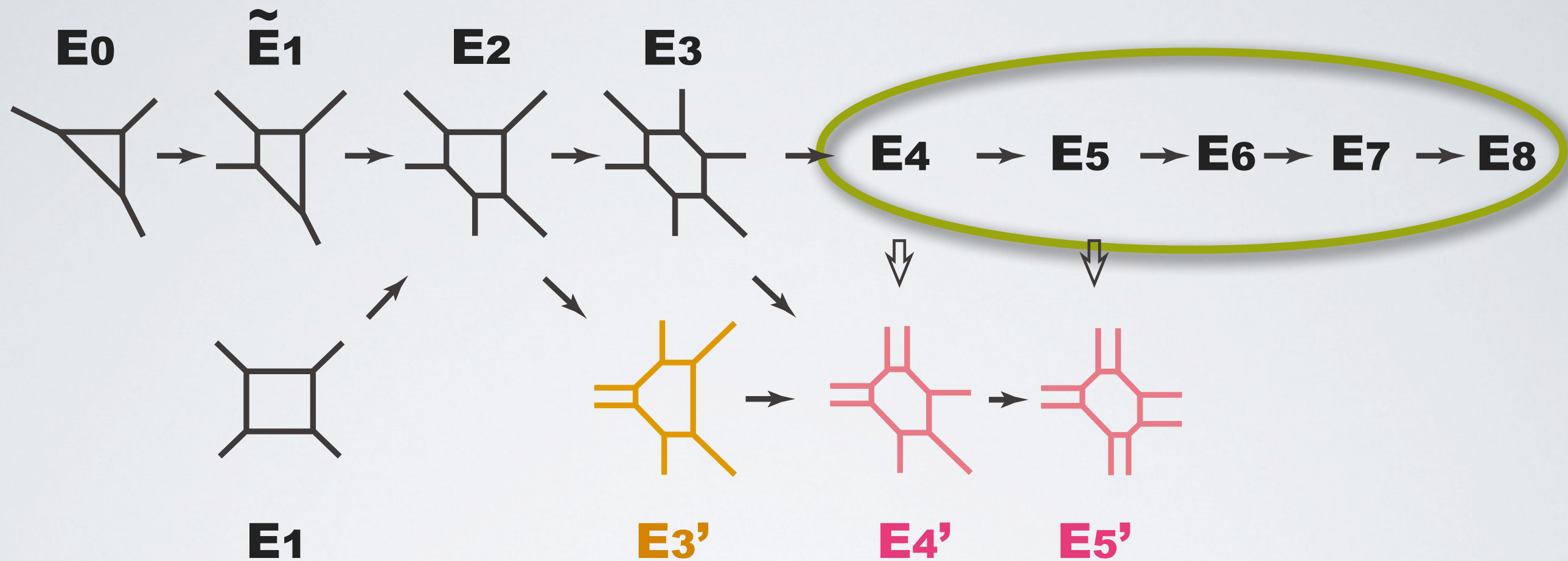


F_2

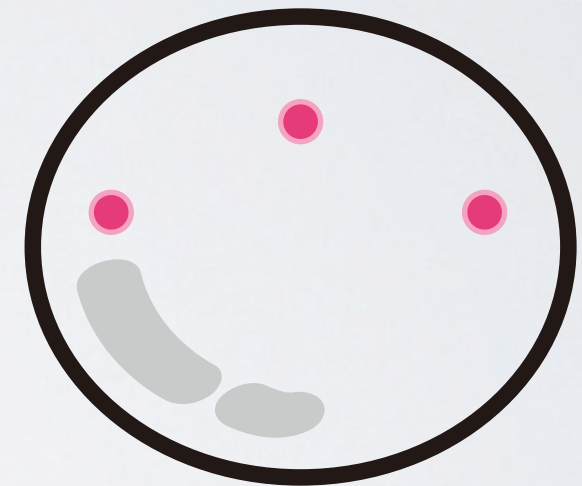
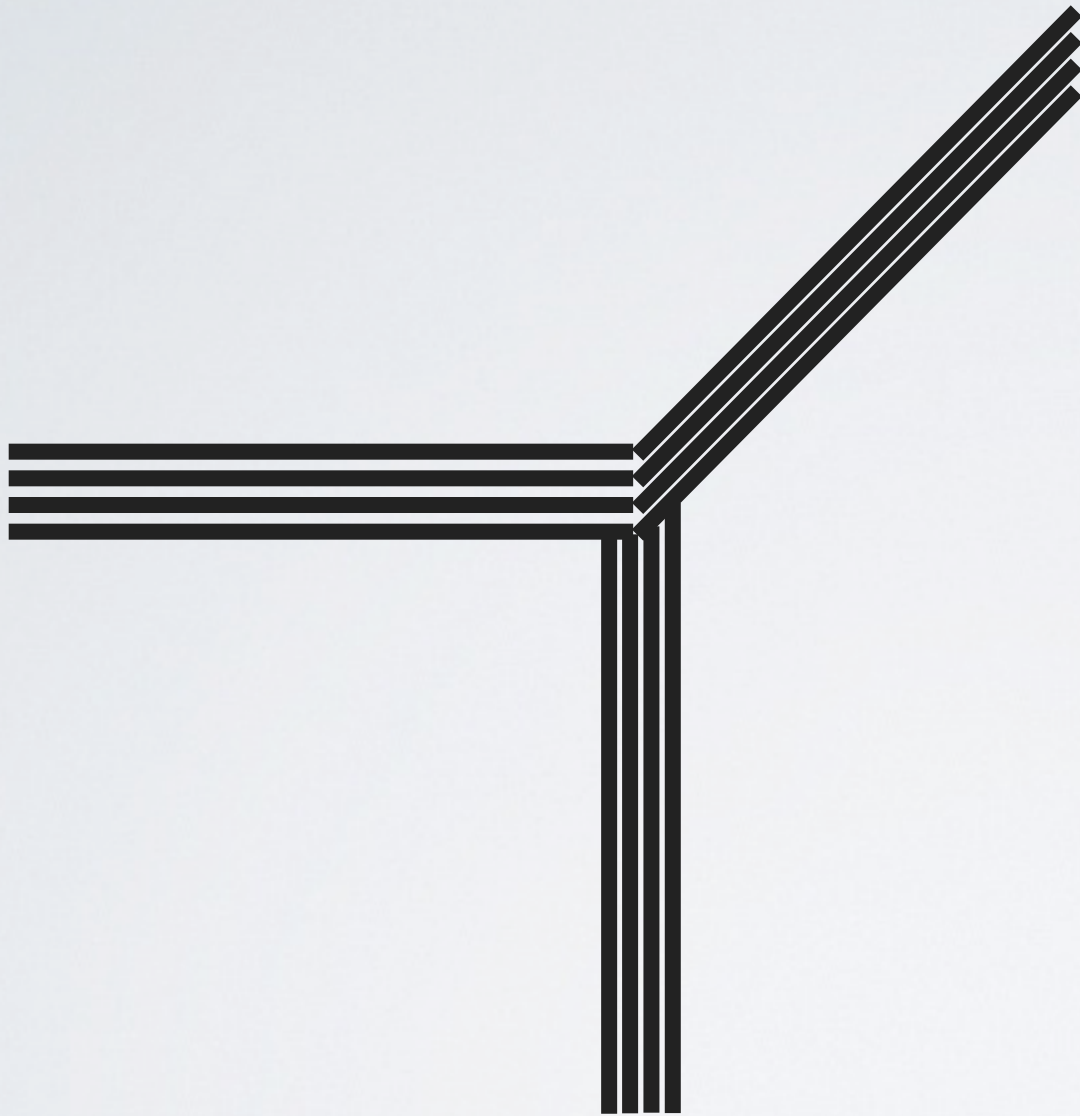
E_1

SU(2) YM $\theta=0$

generalization: non del Pezzo cases



generalization: T_N junction



N M5-branes

T_4 junction : [Hayashi-Kim-Nishinaka]

5. 5d SCFT & AGT relation

4d AGT (weak version)

(Pestun's) partition function on S^4

$\equiv$

correlation function of 2d Toda CFT

4d SU(2) theory v.s. 2d Liouville CFT

5d AGT [Awata-Yamada][Nieri-Pasquetti-Passerini][BMPTY]

(Pestun's) partition function on $S^1 \times S^4$

||

correlation function of 2d q -Toda CFT

5d AGT [Awata-Yamada][Nieri-Pasquetti-Passerini]**BMPTY**

5d superconformal index $I(x, y, m, u)$

||

correlation function of 2d **q-Toda CFT**

5d AGT [Awata-Yamada][Nieri-Pasquetti-Passerini]**[BMPTY]**

5d superconformal index $I(x, y, m, u)$

||

correlation function of 2d **q-Toda CFT**

This proposal may resolve the long-standing difficulty of Toda CFT

5d AGT [BMPTY]

correlation function of 2d (q-)Toda CFT



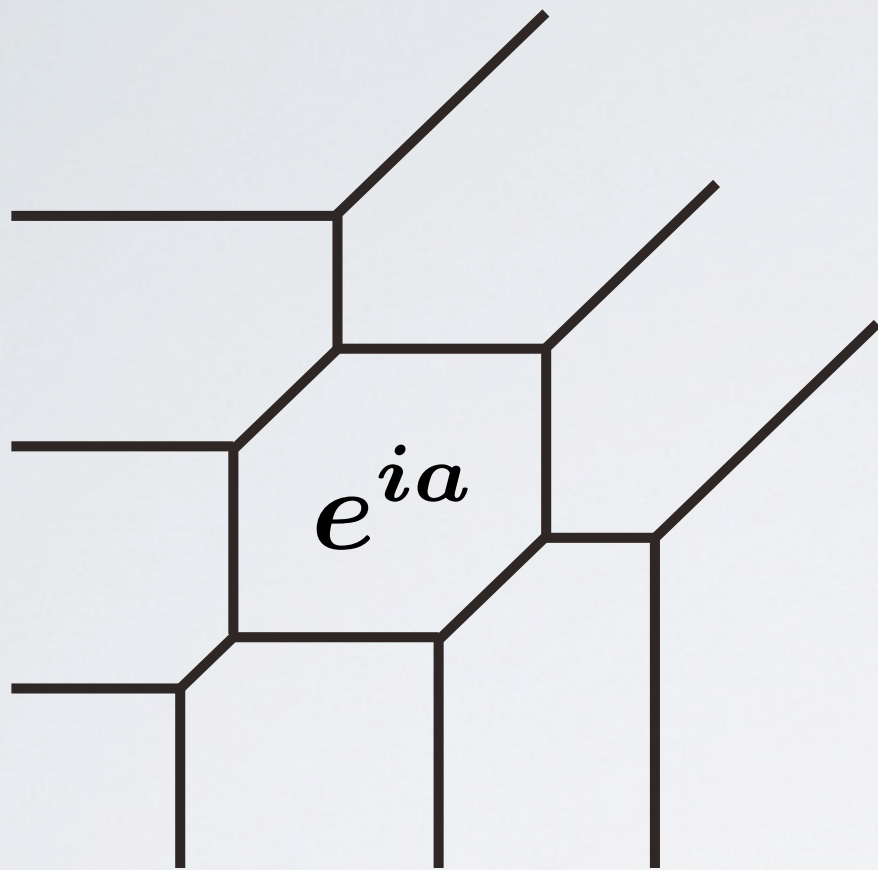
**three point functions of W-primaries
are not enough !**

e.g. A₂ Toda

$$\langle \alpha_1 | (W_{-1})^n V_{\alpha_2} | \alpha_3 \rangle$$

one integral parameter n

5d AGT [BMPTY]

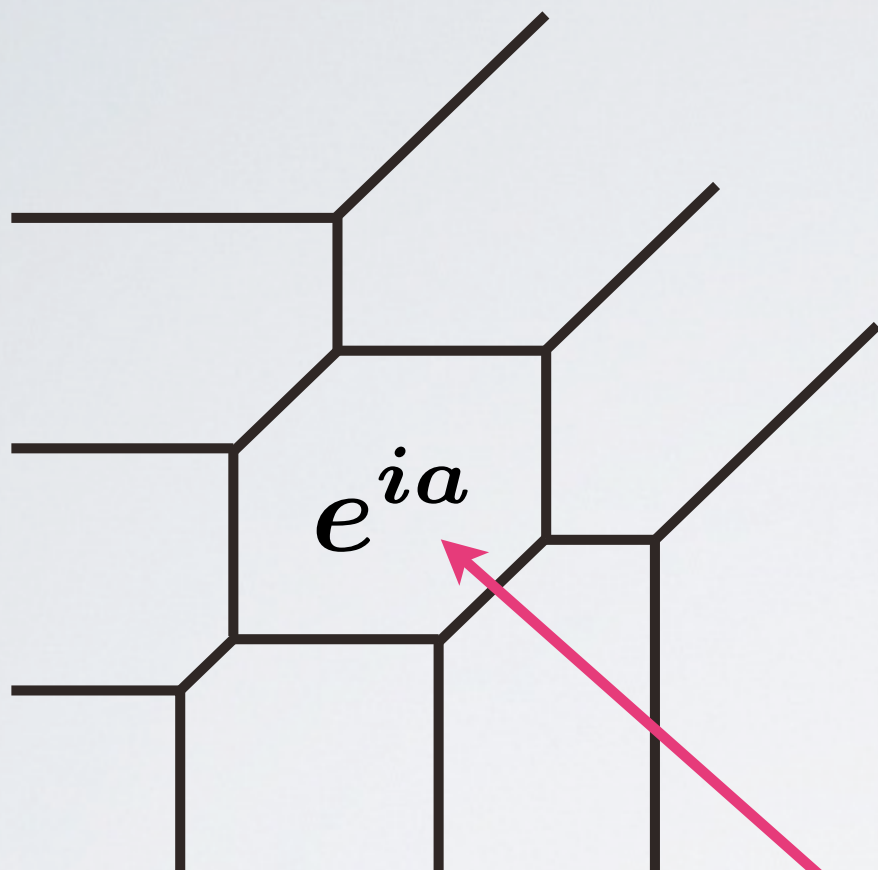


$$I = \oint d(e^{ia}) \dots$$

$$\langle \alpha_1 | (W_{-1})^n V_{\alpha_2} | \alpha_3 \rangle$$

one integral parameter n

5d AGT [BMPTY]



$$I = \oint d(e^{ia}) \dots$$

$$\langle \alpha_1 | (W_{-1})^n V_{\alpha_2} | \alpha_3 \rangle$$

6. Summary

we revisit 5d SCFT from the perspective of index

7-brane is now treatable !

**5d index is a junction of various topics:
UV CFT, non-toric CY, AGT, ...**

FIN